



Chapter 16: Non-Context-Free Languages

I. Theory of Automata

→ II. Theory of Formal Languages

III. Theory of Turing Machines ...

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- Theorem.** Let G be a context-free grammar in Chomsky normal form. Let L be the subset of words generated by G which have derivations such that each production of the form:
 $\text{Nonterminal} \rightarrow \text{Nonterminal Nonterminal}$
is used at most once. L is a finite set of words.

Proof. At each step in a derivation, a nonterminal is replaced by either 2 nonterminals or one terminal. Thus, if there are p productions of the form:

$\text{Nonterminal} \rightarrow \text{Nonterminal Nonterminal}$

a word in L contains at most $p+1$ letters.

The set of words that contain at most $p+1$ letters is finite

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Example:

$S \rightarrow AB / a \quad A \rightarrow XY / a \quad Y \rightarrow SX \quad X \rightarrow a / b \quad B \rightarrow b$

■ $S \Rightarrow AB \Rightarrow aB \Rightarrow ab$

■ $S \Rightarrow AB \Rightarrow XYB \Rightarrow bYB \Rightarrow bSXB \Rightarrow baXB \Rightarrow baaB \Rightarrow baab$
(1) (2) (3)

■ $S \Rightarrow AB \Rightarrow XYB \Rightarrow XSXB \Rightarrow bSXB \Rightarrow baXB \Rightarrow baaB \Rightarrow baab$
(1) (2) (3)

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a **branch**: a path between the root and a leaf of a derivation tree

$S \rightarrow AZ \quad Z \rightarrow BB \quad B \rightarrow ZA$

$A \rightarrow a \quad B \rightarrow b$

$S \Rightarrow A(Z) \Rightarrow aZ \Rightarrow aBB \Rightarrow abB \Rightarrow ab(Z)A \dots$

the same nonterminal twice on the same branch (The 2nd is a **tree descendant** of the 1st. The nonterminal is **self-embedded**.)

$S \rightarrow AA \quad A \rightarrow BC \quad C \rightarrow BB$

$A \rightarrow a \quad B \rightarrow b$

$S \Rightarrow AA \Rightarrow (B)CA \Rightarrow bCA \Rightarrow b(B)BA \dots$

two different branches

Remark: derivation trees are binary

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- Theorem.** Let G be a context-free grammar in Chomsky normal form that has p productions of the form:

Nonterminal \rightarrow Nonterminal Nonterminal.

Let w be a word such that $\text{length}(w) > 2^p$. Then in every derivation tree for w there exists some nonterminal Z that appears twice on the same branch.

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Example

1st rang 2^0 nodes

2nd rang 2^1 nodes

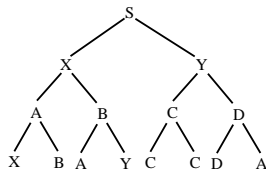
3rd rang 2^2 nodes

4th rang 2^3 nodes

$p=3$

$p+1$ rows

2^p leaves, maximum

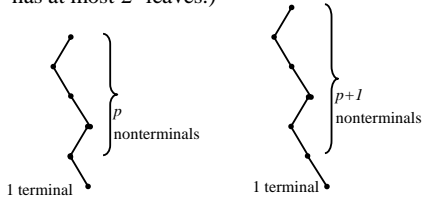


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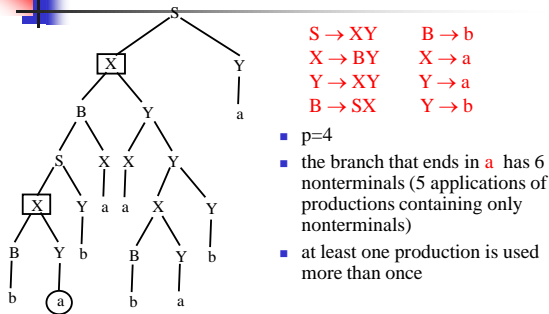


Proof. A tree that has more than 2^p leaves has more than $p+1$ rows. (A tree that has $p+1$ rows has at most 2^p leaves.)



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Example: PALINDROME - $\{\Lambda\}$

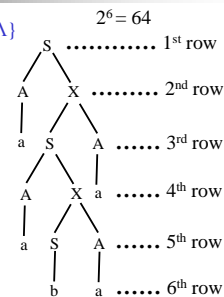
$S \rightarrow AX/BY/a/b/AA/BB$

$X \rightarrow SA$

$Y \rightarrow SB$

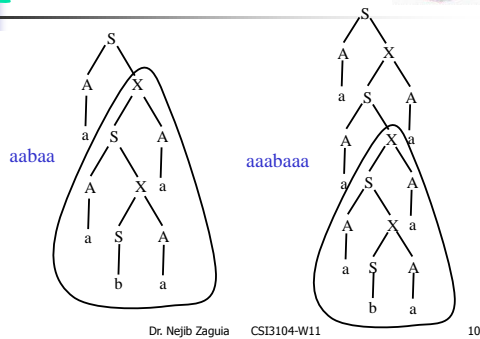
$A \rightarrow a$

$B \rightarrow b$



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The pumping lemma (for context-free languages)

Let G be a context-free grammar in Chomsky normal form that has p productions of the form:

Nonterminal \rightarrow Nonterminal Nonterminal.

Let w be a word such that $\text{length}(w) > 2^p$. Then w can be decomposed into 5 factors: $w = uvxyz$ such that

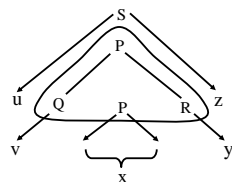
- x is not Λ
- at least one of v and y is not Λ
- for all $n \geq 1$, uv^nxy^n is in the language generated by G .

- **Proof.** From the previous theorem, there exists a non terminal P that occurs at least twice on the same branch.

$w = uvxyz$

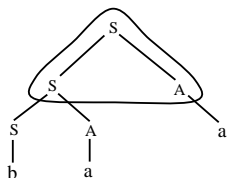
$x \neq \Lambda$

either $v \neq \Lambda$, or $y \neq \Lambda$





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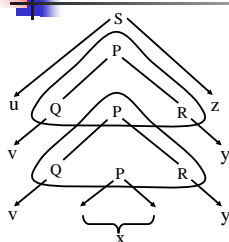
Note: u, z, and at least one of v and y could be Λ .
 $u = \Lambda \quad v = \Lambda \quad x = ba \quad y = a \quad z = \Lambda$

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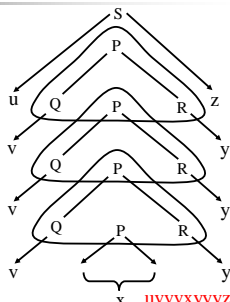
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$uvvxyyz$
 In general: $uv^nxy^n z$

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Alternatively:

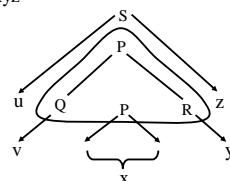
$S \Rightarrow^* uPz \quad P \Rightarrow^* vPy \quad P \Rightarrow^* x$

$S \Rightarrow^* uPz \Rightarrow^* uvPyz \Rightarrow^* uvxyz$

$w = uvxyz$

$x \neq \Lambda$

either $v \neq \Lambda$, or $y \neq \Lambda$



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