

# Graph Homomorphism Tutorial

*Field's Institute Covering Arrays Workshop 2006*

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# Preparing this talk

What should I say?

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What do you want to know?

# Talk Outline

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- Basic Definitions;

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- Graph Covering Arrays;
- Categorical Aspects;
- Computational Aspects.

# References

- P. Hell and J. Nešetřil, *Graphs and Homomorphisms*, Oxford University Press, 2004.
- C. Godsil and G. Royle, *Algebraic Graph Theory*, Springer-Verlag, 2001.
- A. Pultr and V. Trnková, *Combinatorial, Algebraic, and Topological Representations of Groups, Semigroups and Categories*, North-Holland, 1980.

# References

- G. Hahn and C. Tardif, Graph homomorphisms: structure and symmetry, in *Graph Symmetry, Algebraic Methods and Applications* (G. Hahn and G. Sabidussi eds.) NATO ASI Series C 497, Kluwer 1997.
- G. Hahn and G. MacGillivray, Graph homomorphisms: computational aspects and infinite graphs, manuscript, 2002.
- P. Hell, Algorithmic aspects of graph homomorphisms, in *Surveys in Combinatorics 2003* (C. D. Wensley ed.) *London Math. Soc. Lecture Notes Series 307* Cambridge University Press.

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Adjacent vertices receive adjacent images.

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$$xy \in E(G) \Rightarrow f(x)f(y) \in E(H).$$

We write  $G \rightarrow H$  ( $G \not\rightarrow H$ ) if there is a *homomorphism* (*no homomorphism*) of  $G$  to  $H$ .

# Beyond graphs

Definition of a homomorphism naturally extends to:

- digraphs;
- edge-coloured graphs;
- relational systems.



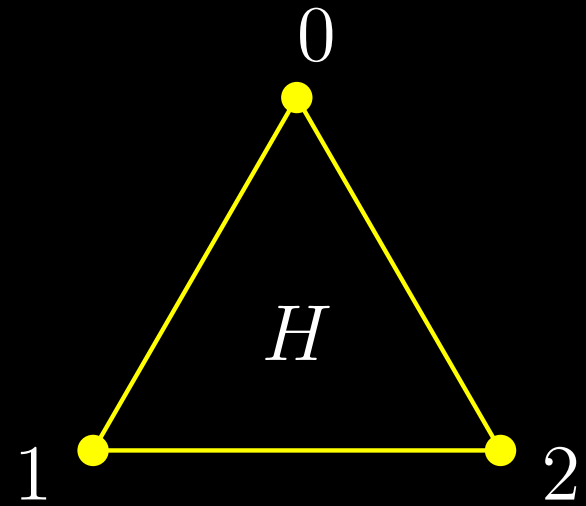
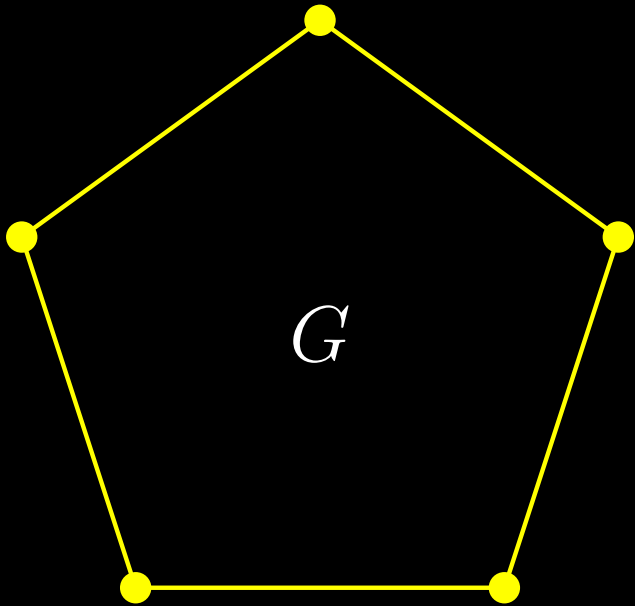
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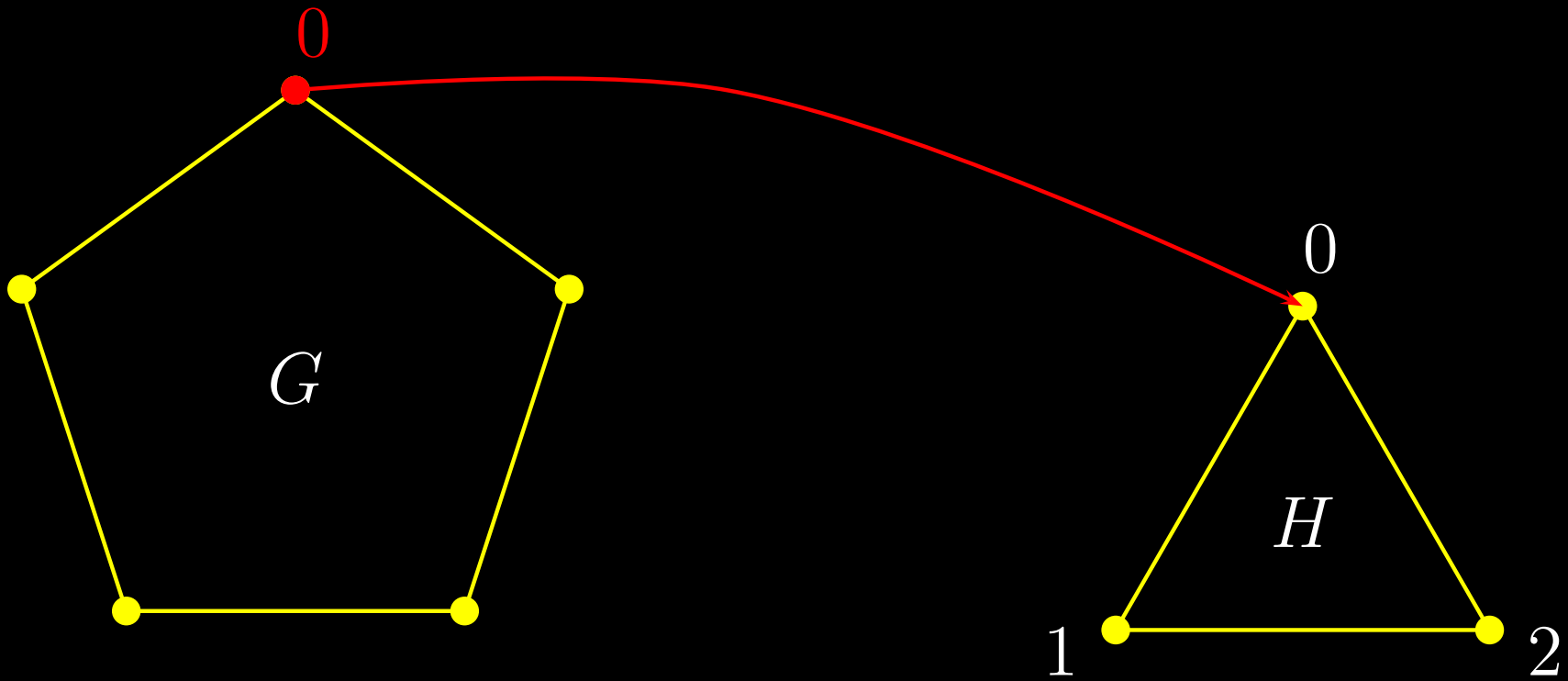
- digraphs;
- edge-coloured graphs;
- relational systems.

Hot idea: *Constraint Satisfaction Problems* encoded as homomorphisms.

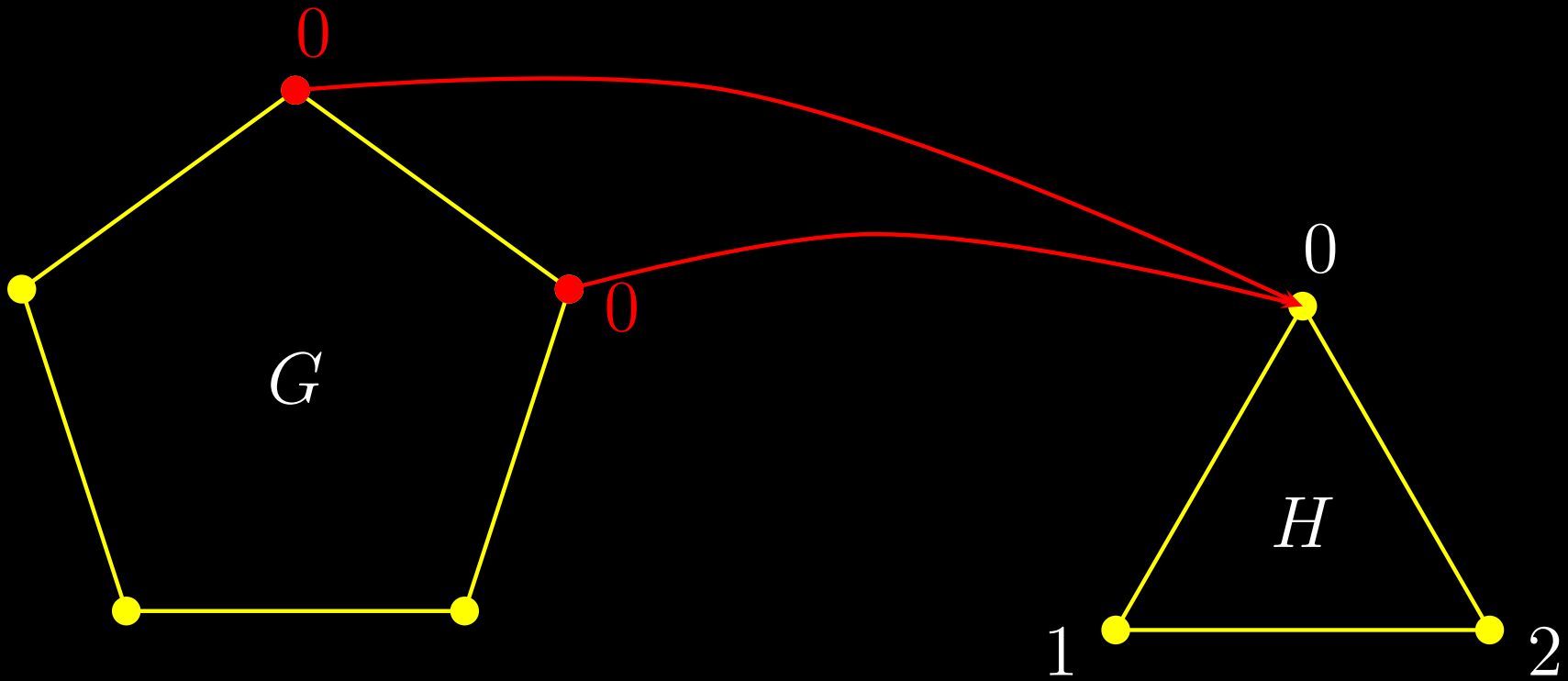
# An example



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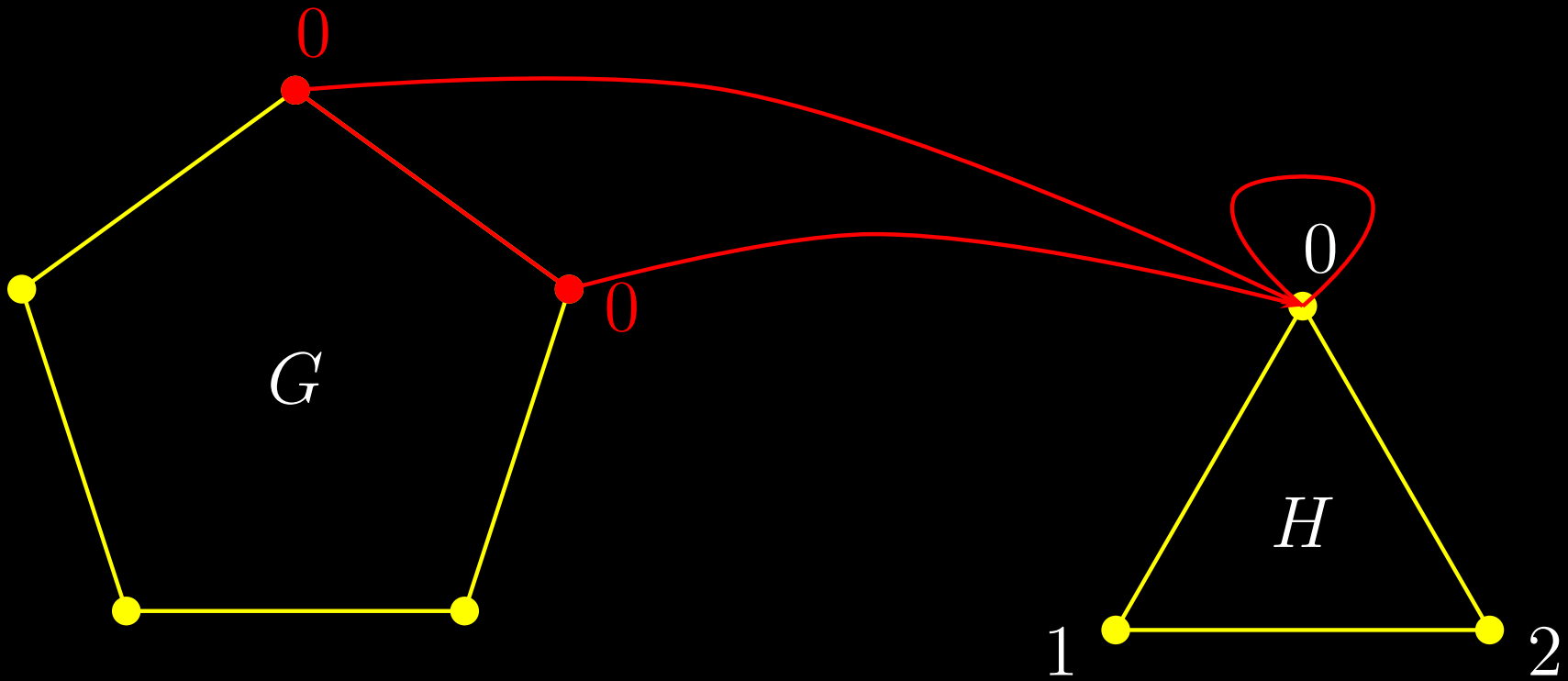


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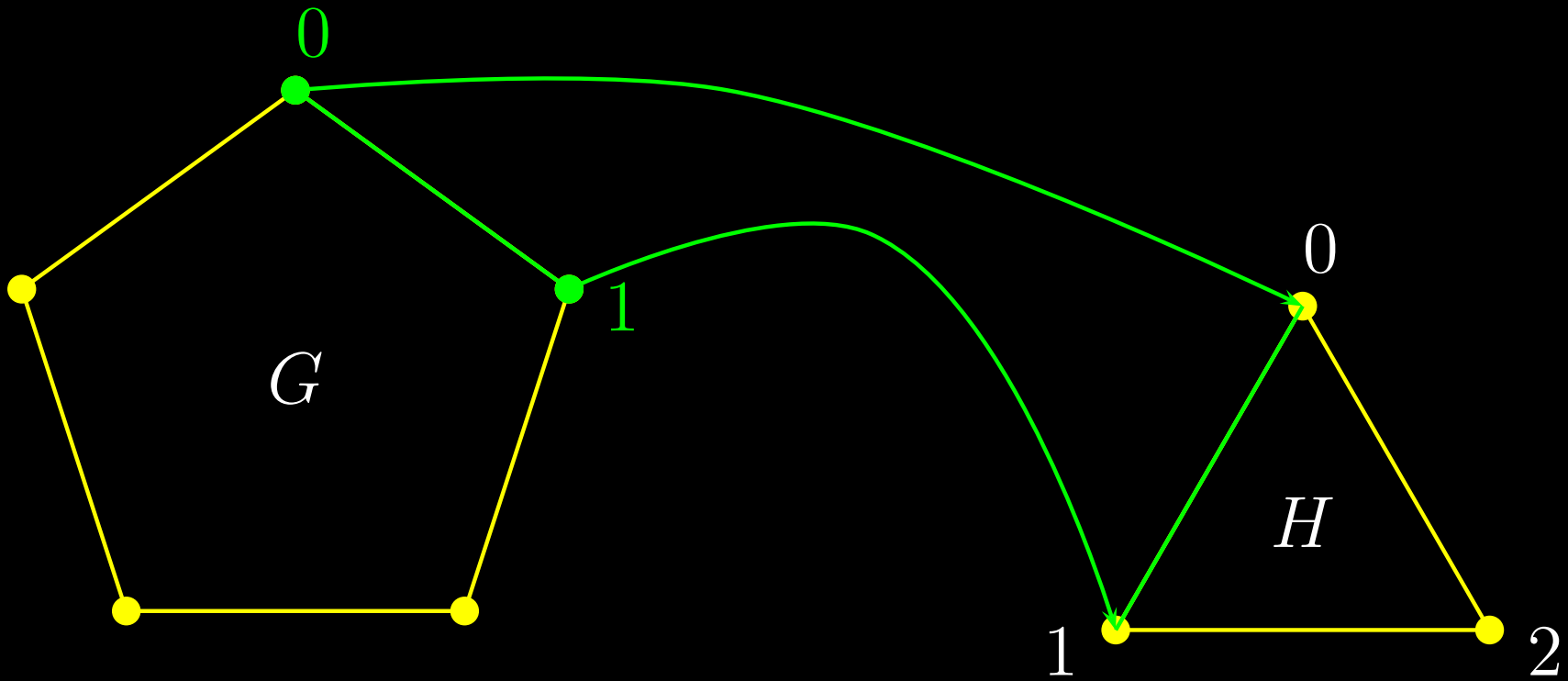
Why is this assignment not allowed?

# An example



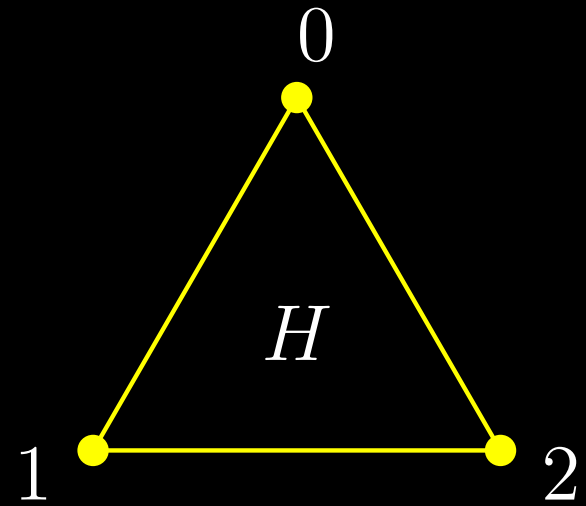
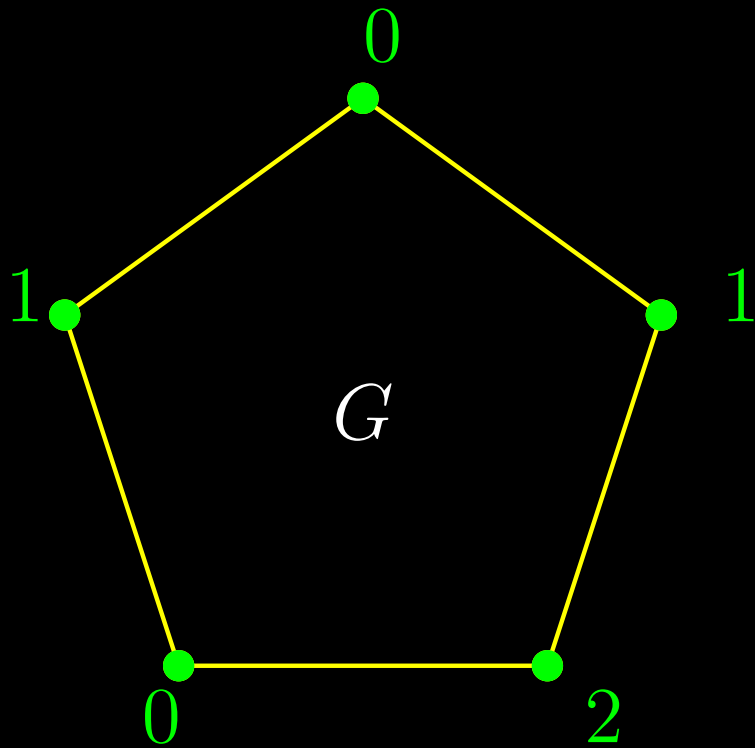
This assignment requires a loop on vertex 0 (in  $H$ )

# An example



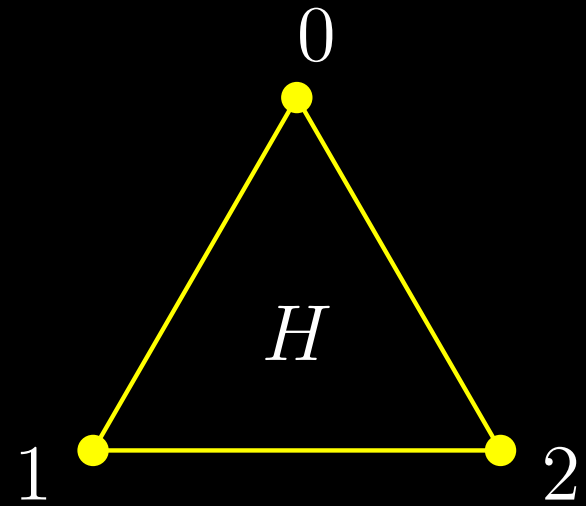
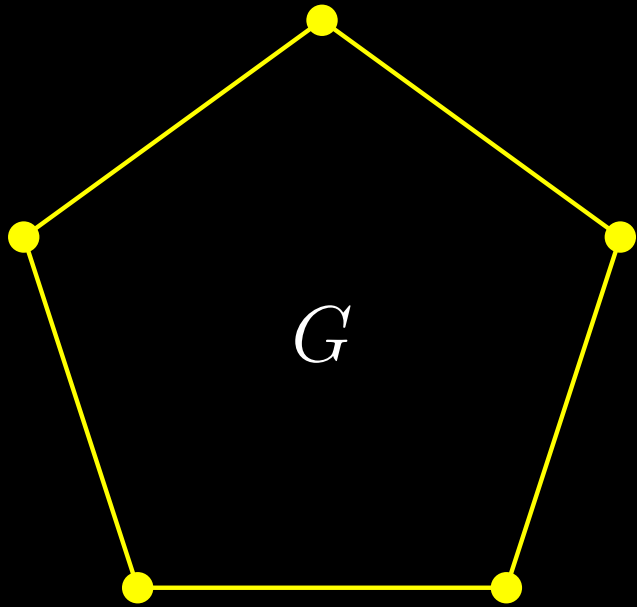
This assignment is allowed.

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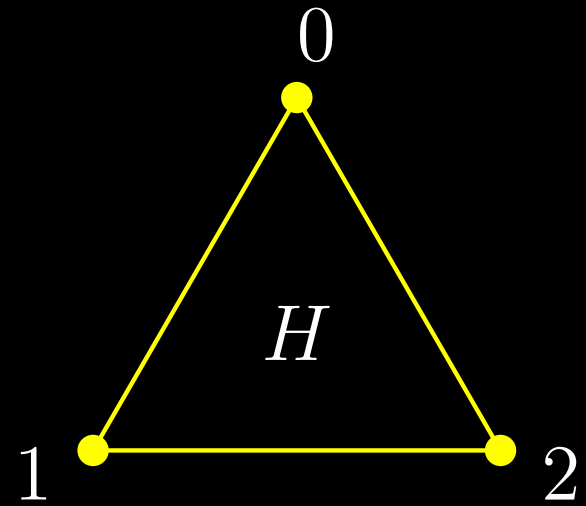
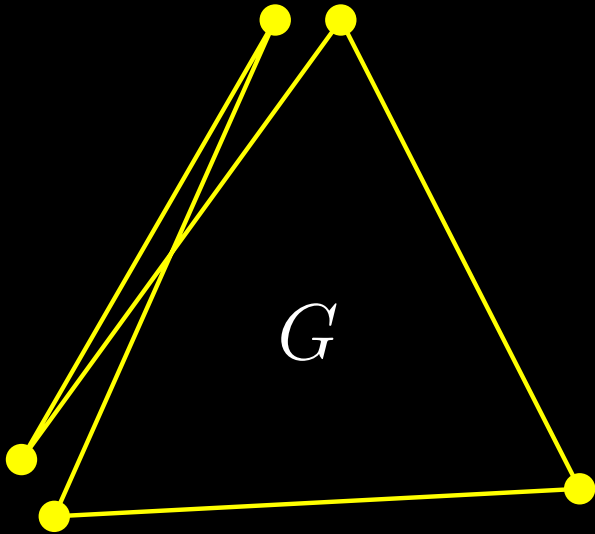
This labeling is a homomorphism  $G \rightarrow H$ .

# A partitioning problem

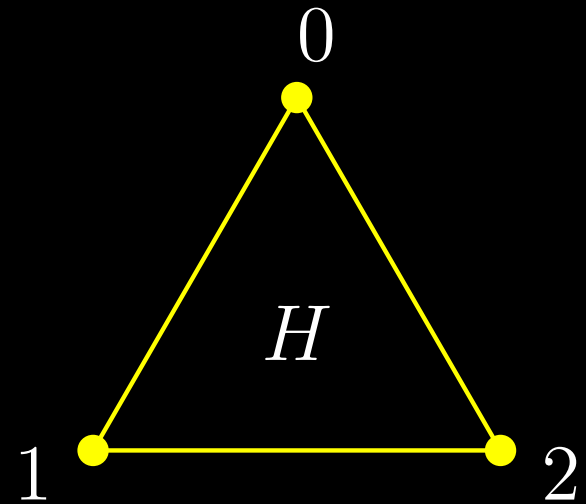
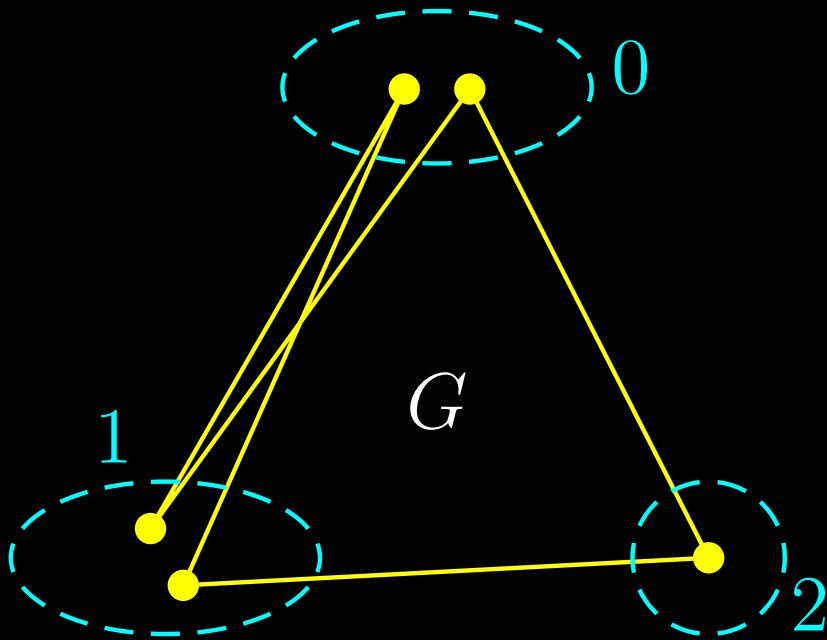




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The *quotient* of the partition is a subgraph of  $H$

The partition is the *kernel* of the map.

# Some observations

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- Testing the existence of a homomorphism is a hard problem.

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Notation:  $G \rightarrow H$  is an  $H$ -colouring of  $G$ .

# The complexity of $H$ -colouring

Let  $H$  be a fixed graph.

**$H$ -colouring**

**Instance:** A graph  $G$ .

**Question:** Does  $G$  admit an  $H$ -colouring.

**Theorem 1 (Hell and Nešetřil, 1990)** *If  $H$  is bipartite or contains a loop, then  $H$ -colouring is polynomial time solvable; otherwise,  $H$  is NP-complete.*

# About loops

- If  $H$  contains a loop, then the testing  $G \xrightarrow{?} H$  is trivial.
- Variants of  $H$ -colouring remain difficult when loops are allowed.

We will assume graphs are loop-free unless stated otherwise.



# In the language of homomorphisms

- Chromatic number

$$\chi(G) = \min_n \{n \mid G \rightarrow K_n\}$$

- Clique number

$$\omega(G) = \max_n \{n \mid K_n \rightarrow G\}$$

- Odd girth

$$og(G) = \min_{\ell} \{2\ell + 1 \mid C_{2\ell+1} \rightarrow G\}$$

# Homomorphism language con't


An  $H$ -colouring of  $G$  is a partition of  $V(G)$  subject to the edge structure in  $H$ .

- Independence number




$$\alpha(G) = \max_f \{ |f^{-1}(1)| \mid f : G \rightarrow H \}$$


# General partitioning problems

- Split graphs   $H$
- $G$  is a *split-graph* iff  $\exists g, g : G \rightarrow H$  such that  $g^{-1}(0)$  is complete.

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- Trigraphs in Hell and Nešetřil book.

# General partitioning problems

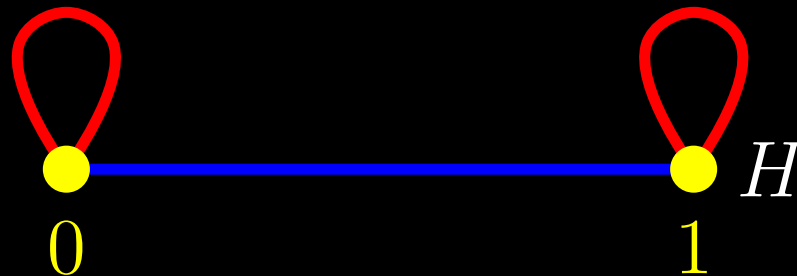
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- clique-cut set, skew partition, homogenous set, ...

# CSP encodings via Edge-coloured graphs

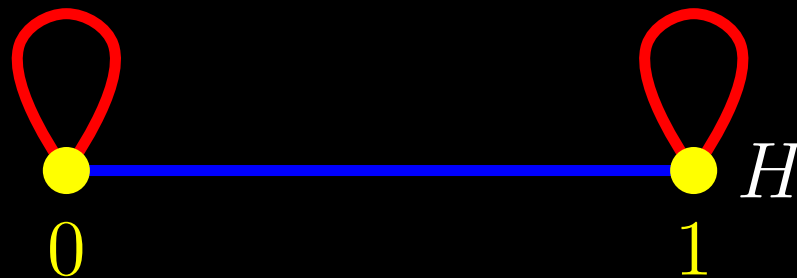
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- Graphs have coloured edges.
- Homomorphisms preserve edges and their colours.
- Red edges encode *same*; and
- blue edges encode *different*.

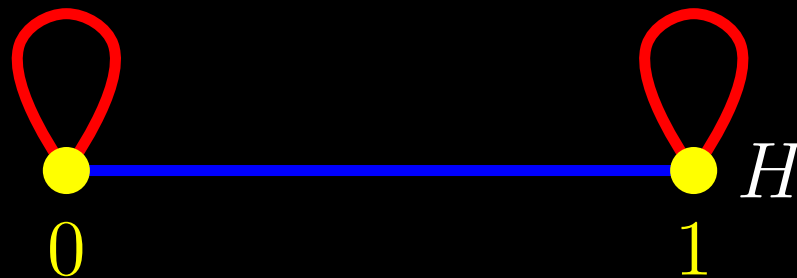
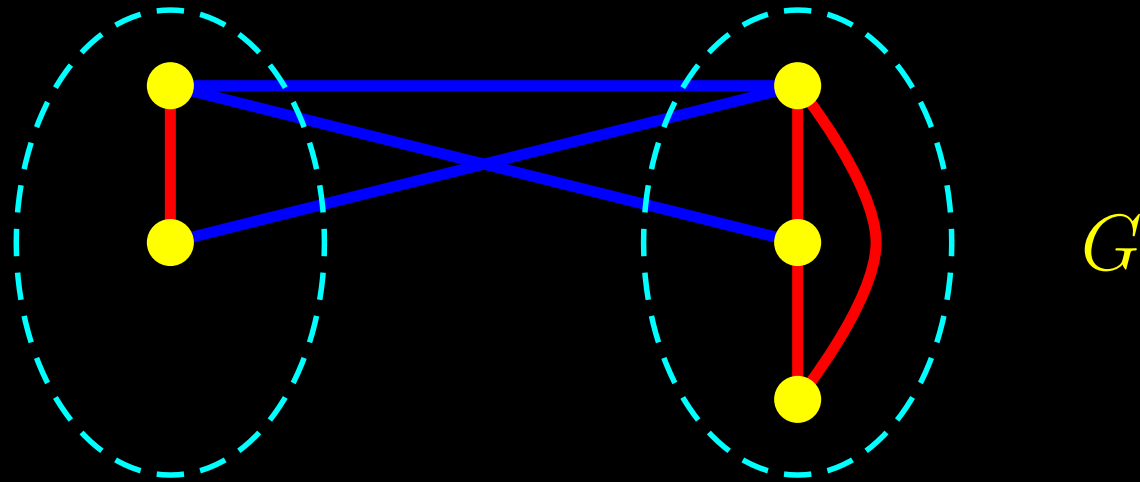


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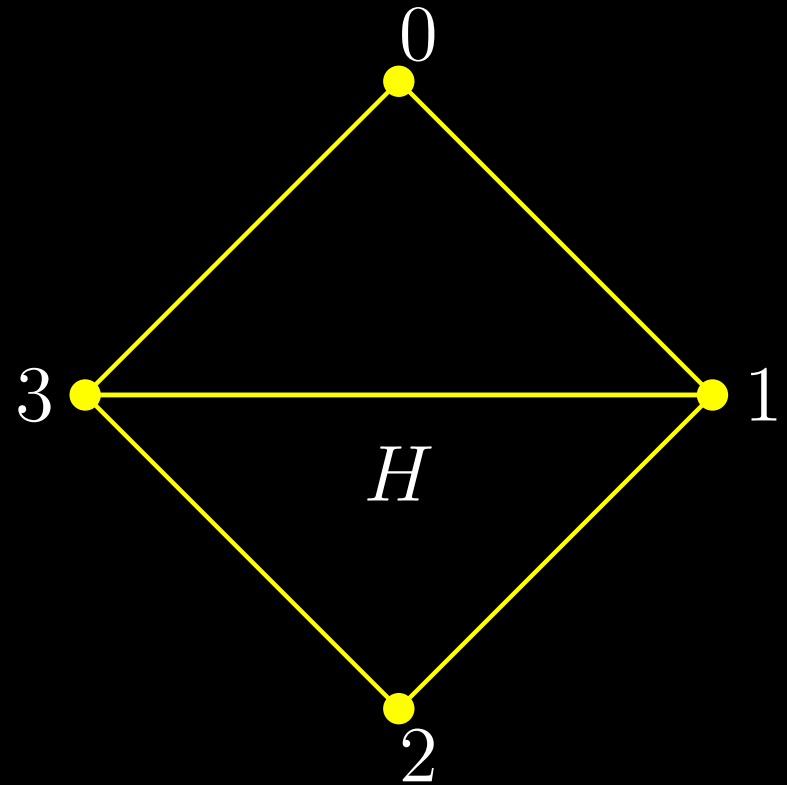
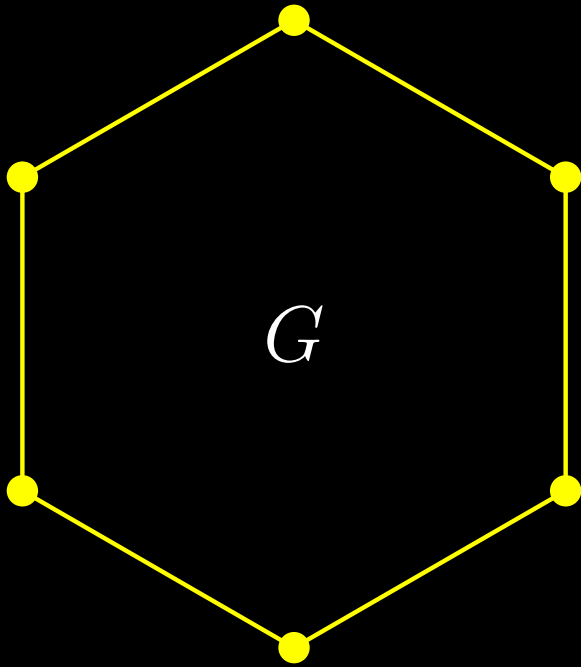
# Colouring interpolation theorem

- *Achromatic number*

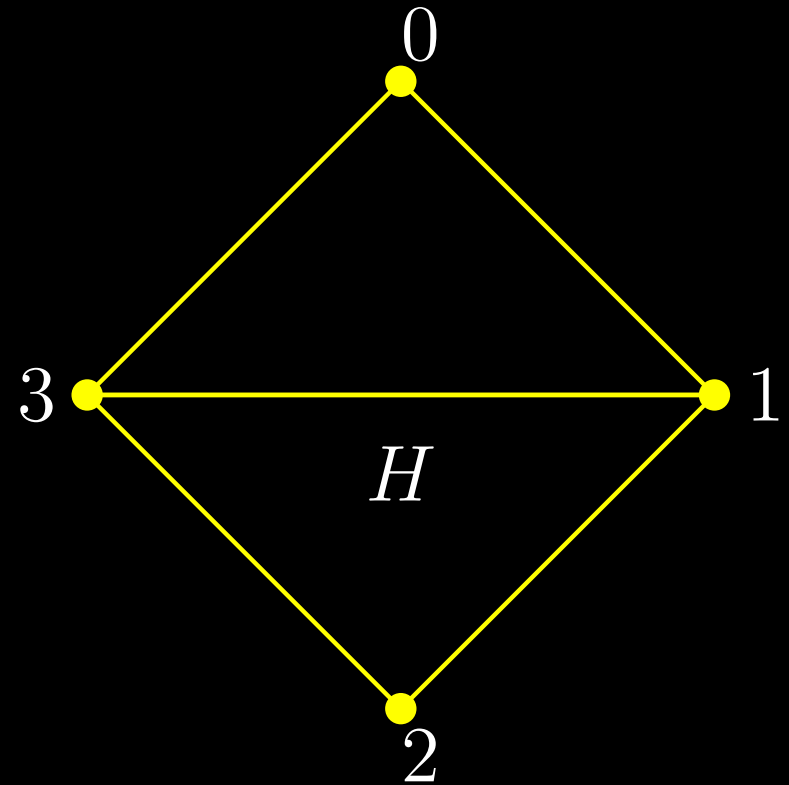
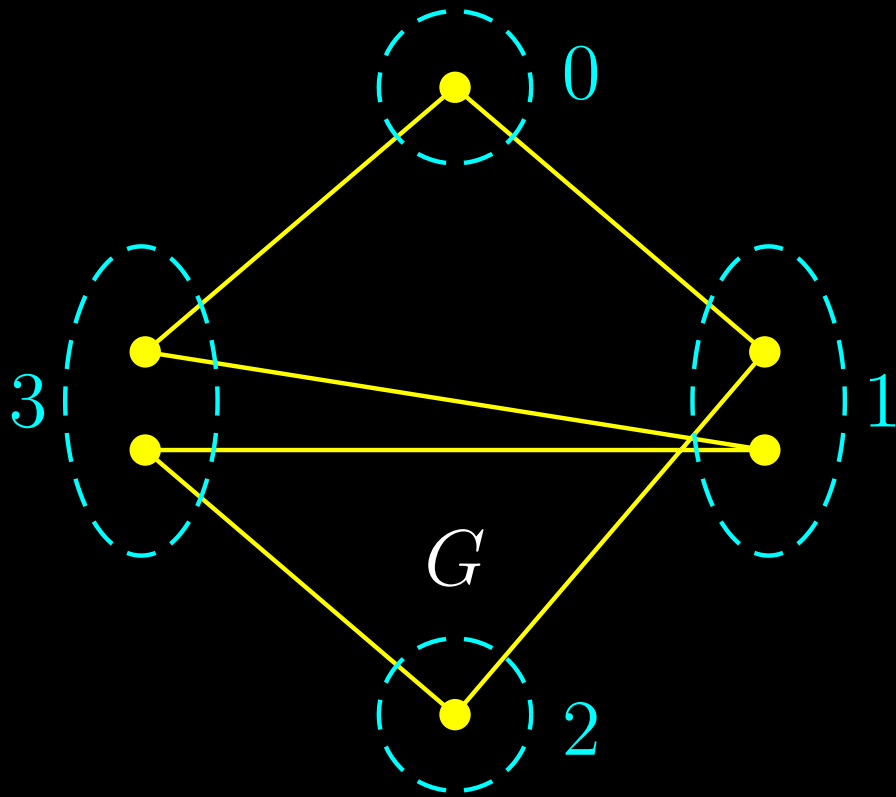
$$\psi(G) = \max_k \{k \mid G \xrightarrow{\text{sur}} K_k\}$$

- *Complete  $k$ -colourings*
- **Theorem 1** *Let  $G$  be a graph. For each  $i$ ,  $\chi(G) \leq i \leq \psi(G)$ ,  $G$  admits a complete  $i$ -colouring.*

# Another partitioning example

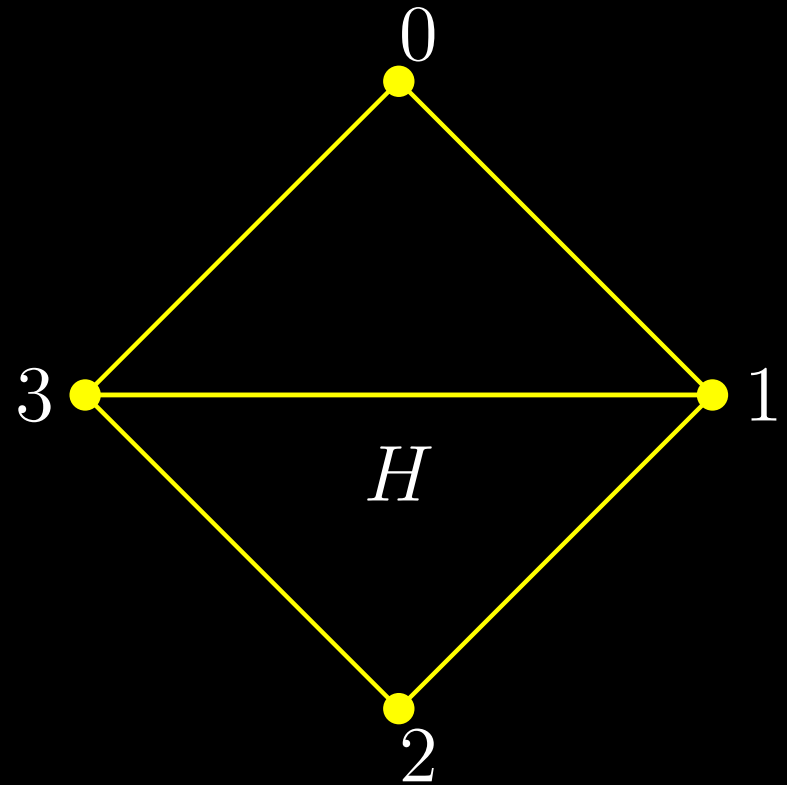
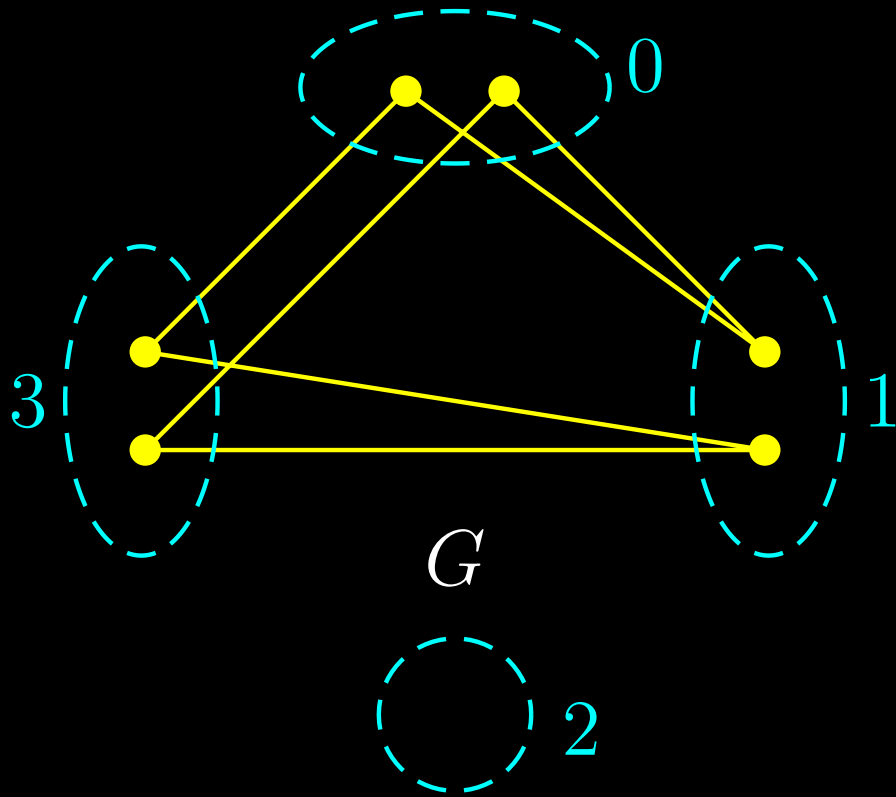


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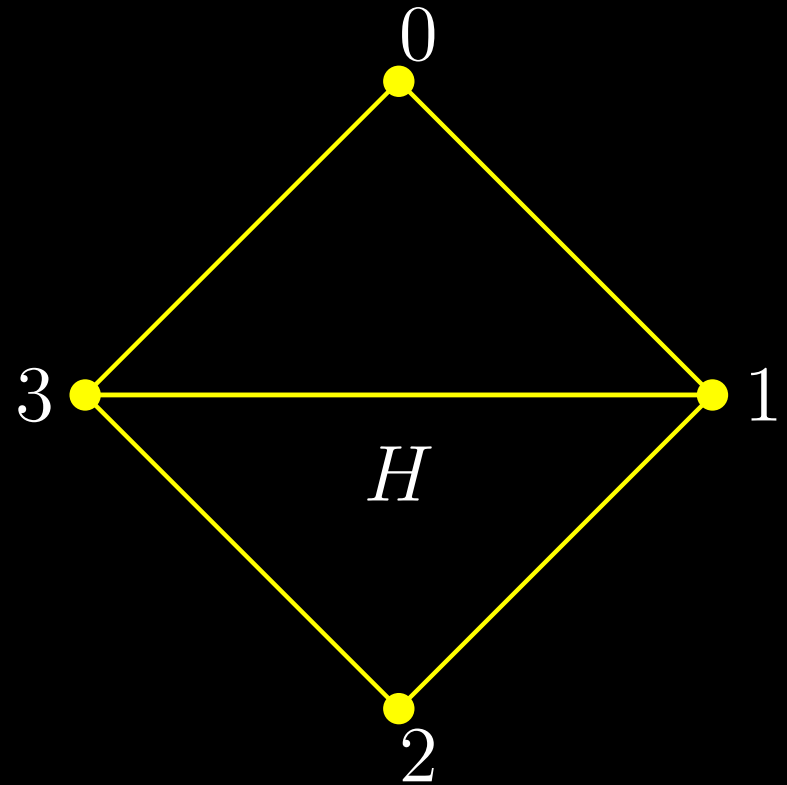
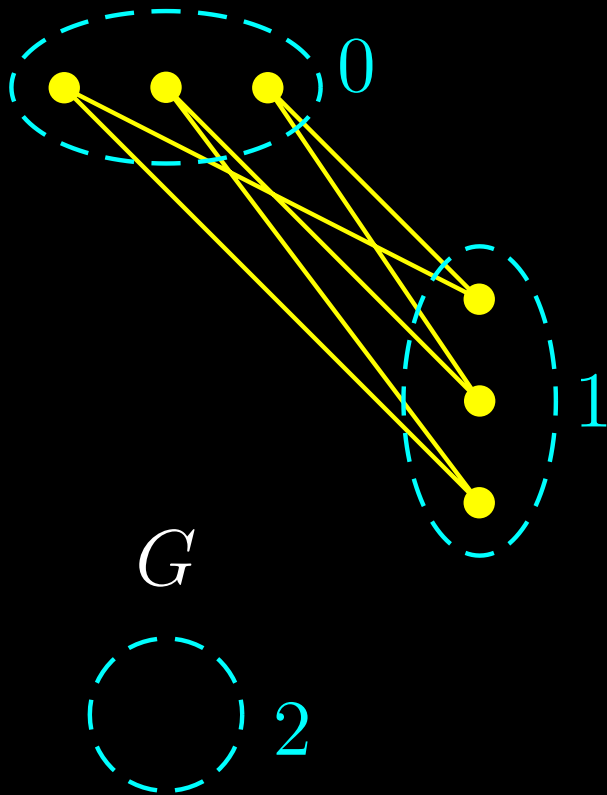
The quotient of the partition is the *homomorphic image*, in this case  $H$

# Another partitioning example



The homomorphic image is the upper triangle of  $H$

# Another partitioning example



The homomorphic image is the edge on  $\{0, 1\}$   
Note any bipartite graph will map to  $\{0, 1\}$

# A few natural questions about the hom-image

Given  $f : G \rightarrow H$ :

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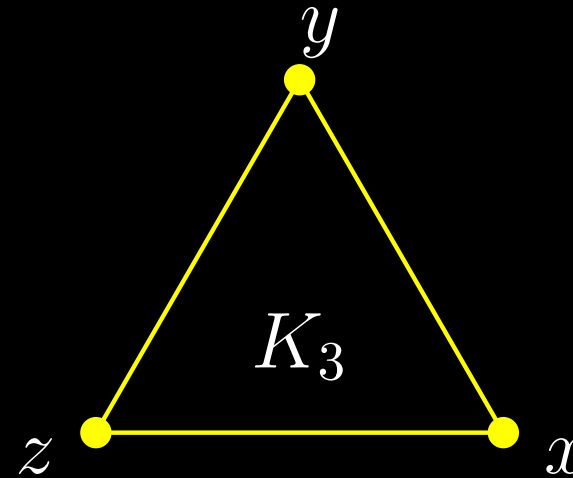
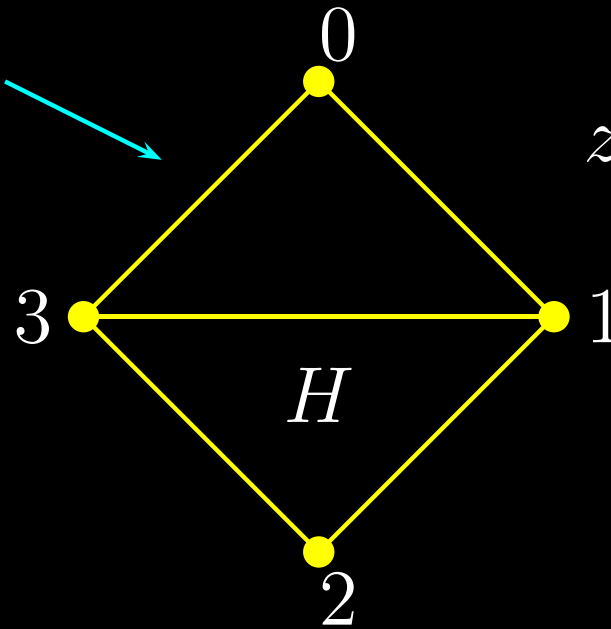
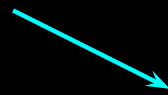
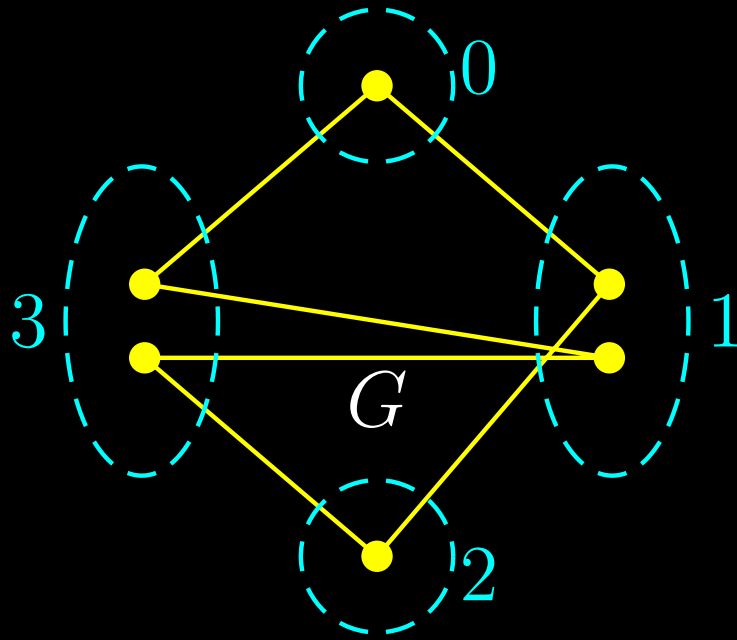
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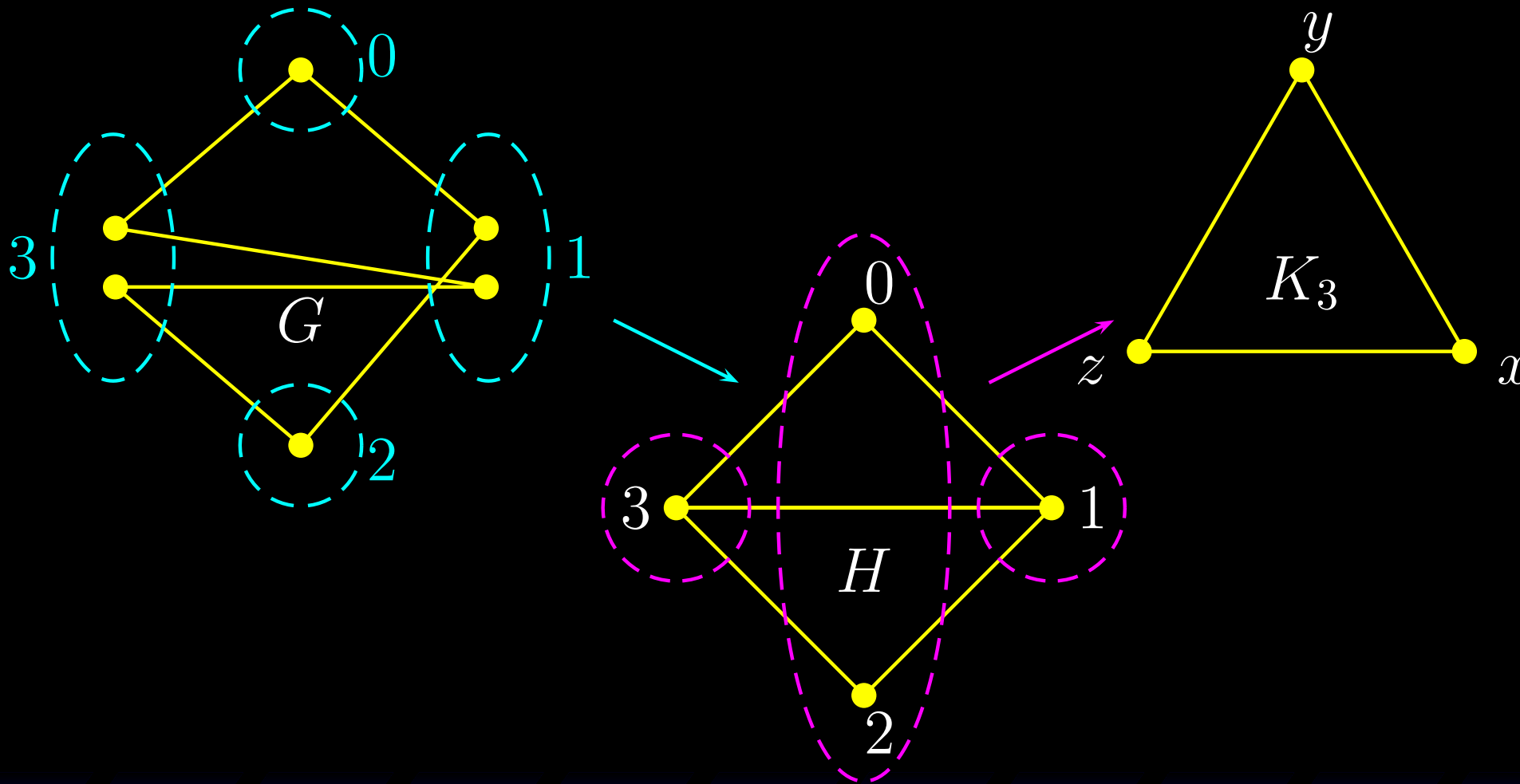
Homomorphisms generalize isomorphisms.

NP-complete versus Graph-Isomorphism complete.

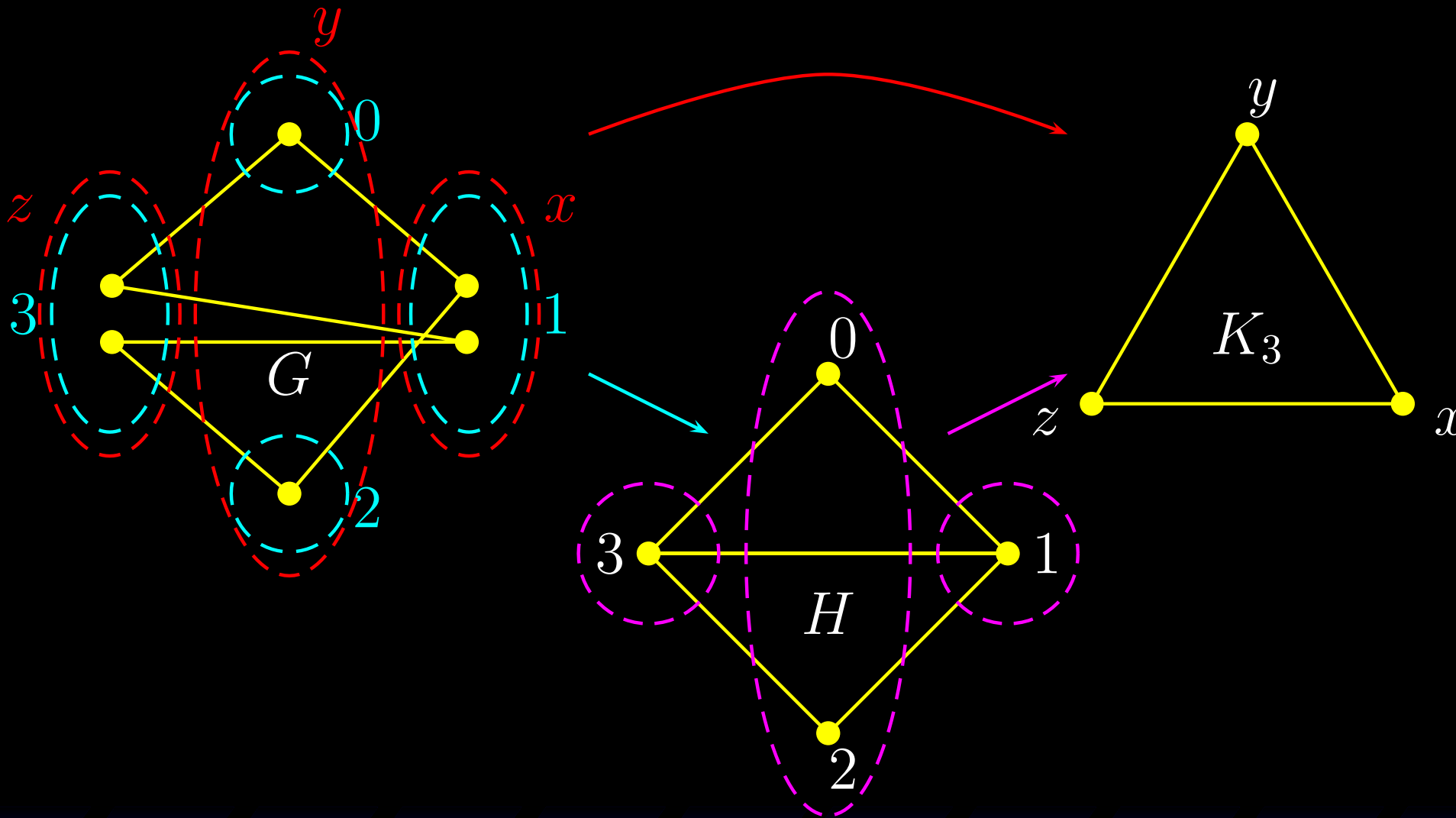
# Homomorphisms compose



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# Learning to say no

Let  $G$  and  $H$  be graphs.

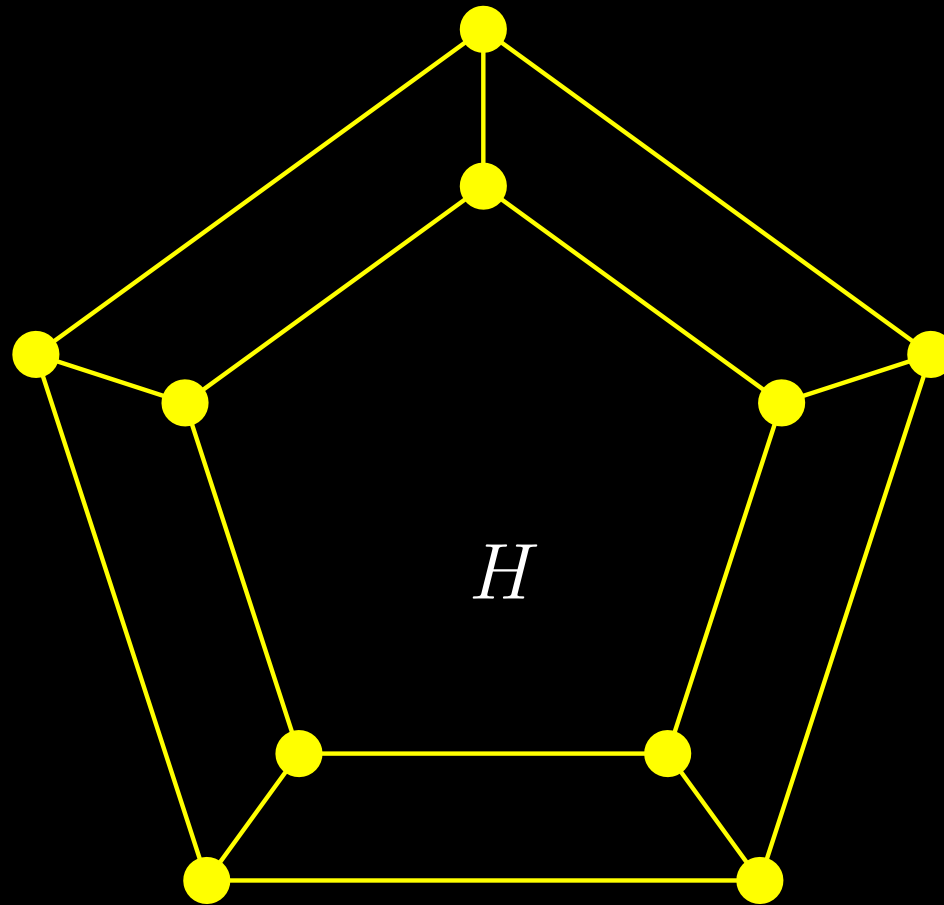
- If  $\chi(G) > \chi(H)$ , then  $G \not\rightarrow H$ .
- If  $og(G) < og(H)$ , then  $G \not\rightarrow H$ .
- If  $F \rightarrow G$  and  $F \not\rightarrow H$ , then  $G \not\rightarrow H$ .

# The core of a graph

In our example,

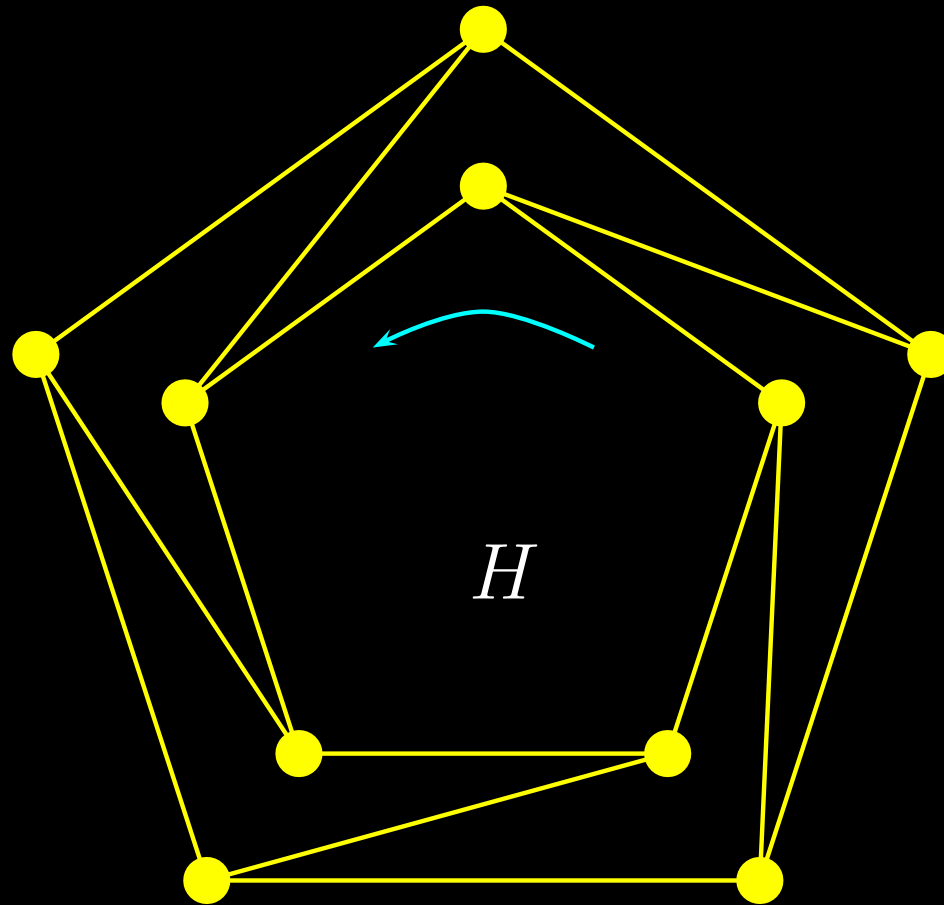
- $H \rightarrow K_3$  and  $K_3 \hookrightarrow H$ .
- $H$  and  $K_3$  are *homomorphism equivalent*.
- Every graph has a unique (up to iso) inclusion minimal subgraph to which it is hom-equivalent called the *core* of the graph.

# Core examples

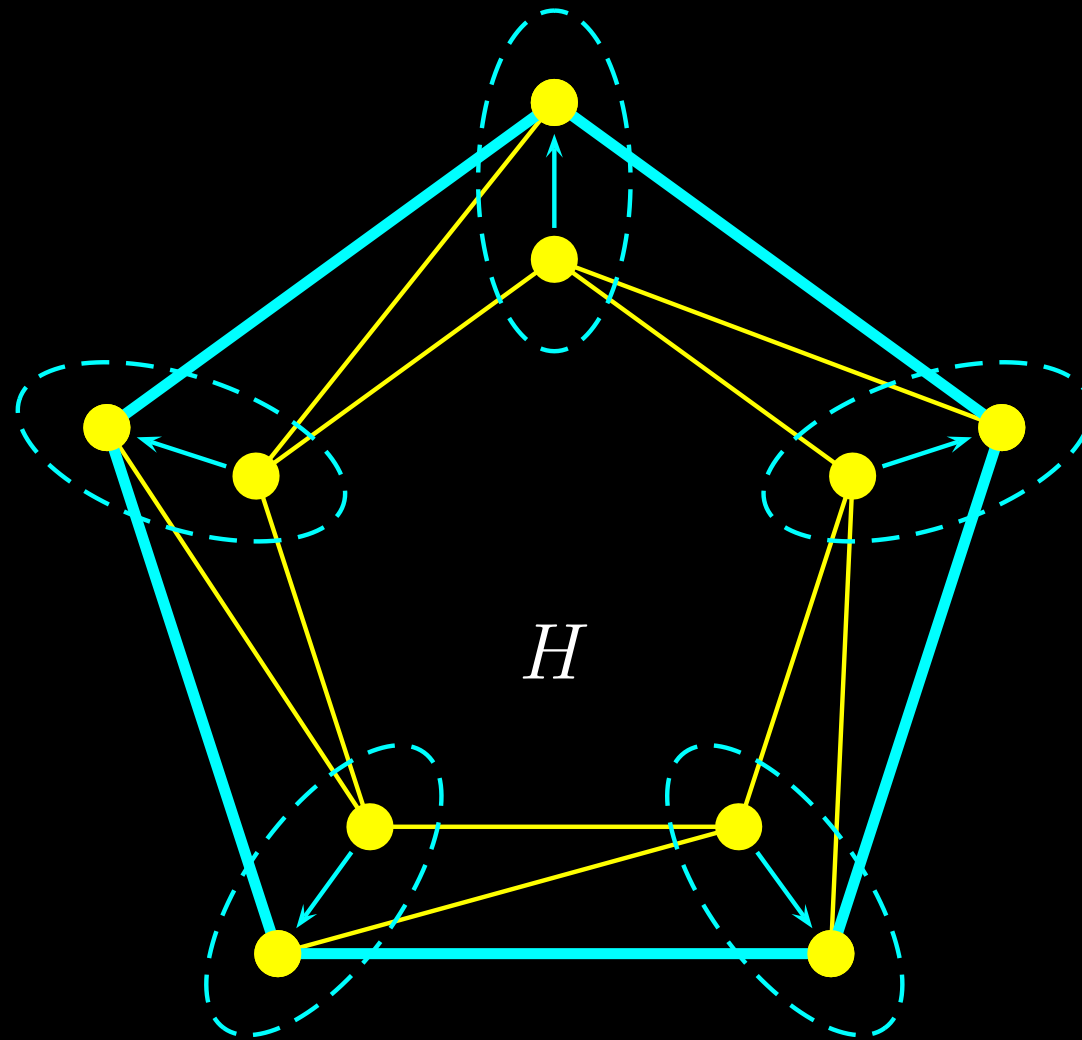




# Core examples



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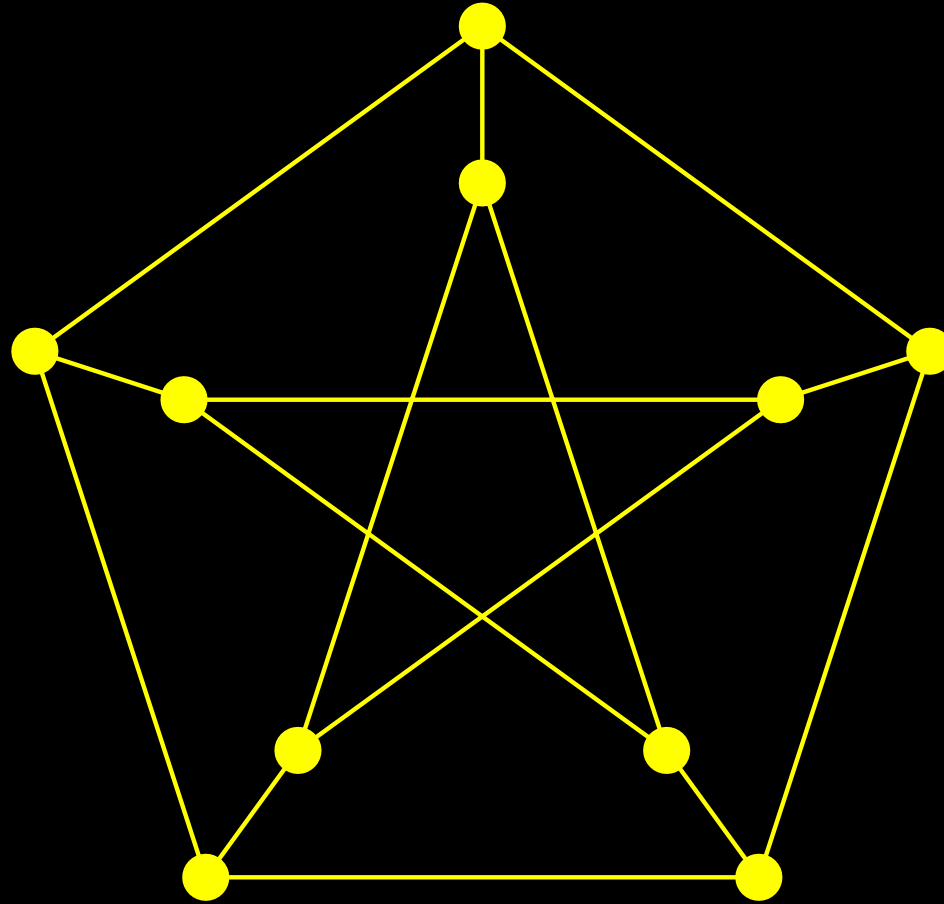
# The mapping to the core

- $C_5$  is a subgraph of  $H$ .
- $H$  maps to  $C_5$ .
- $C_5 \xrightarrow{g} H \xrightarrow{h} C_5$
- $h \circ g = \text{id}_{C_5}$
- The map  $h$  is a *retraction*.

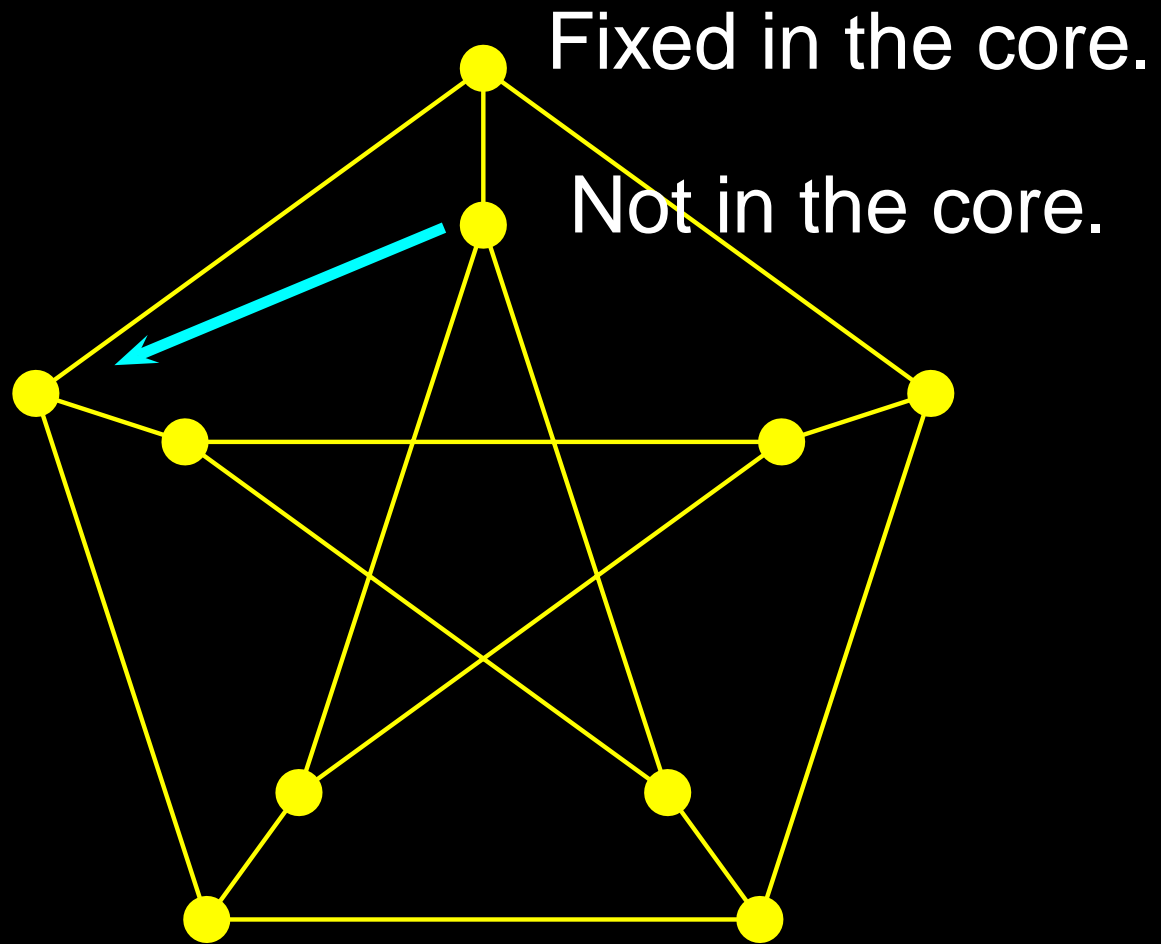
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- The map  $h$  is a **retraction**.
- Let  $H' \subseteq H$ . A **retraction**  $f : H \rightarrow H'$  is a hom that is the identity on  $H'$ .

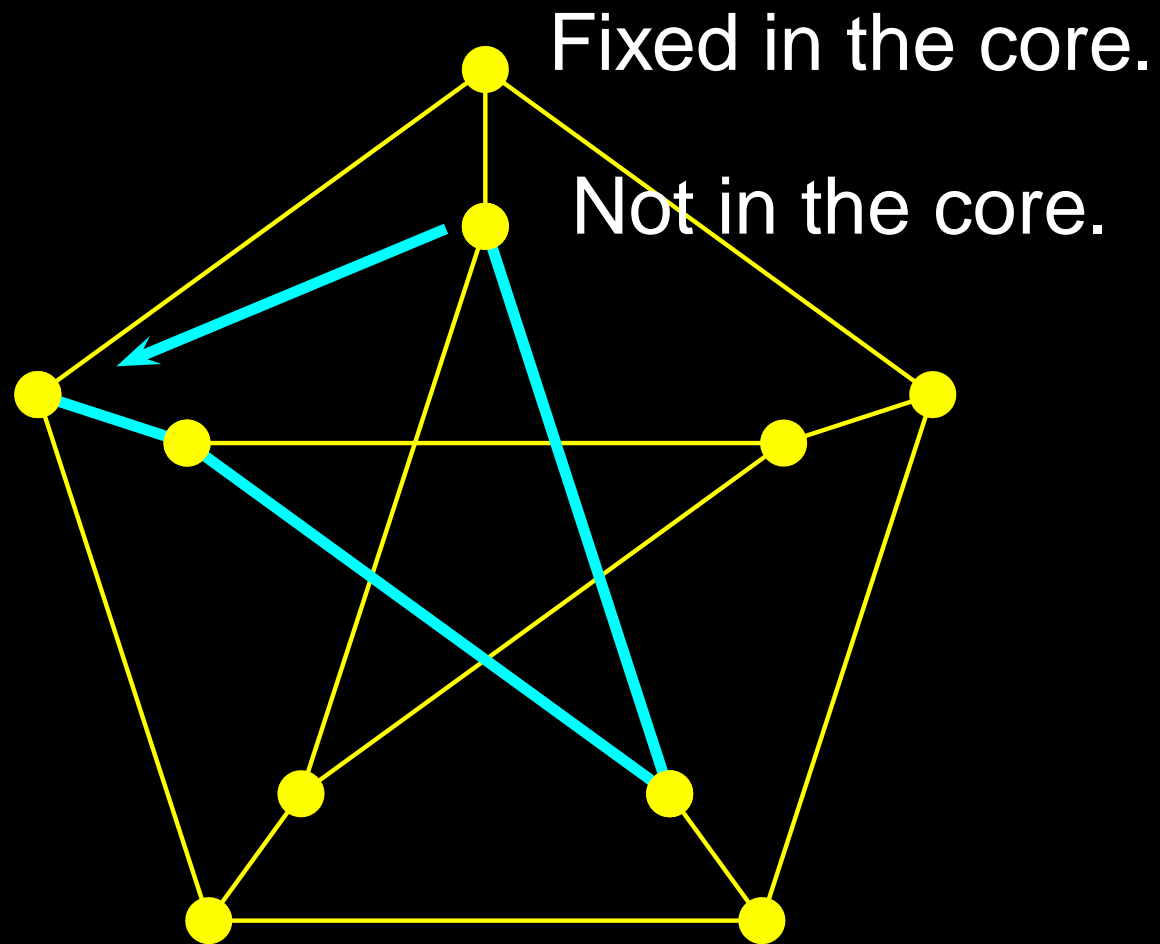
# Core of an old friend



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The hom-image contains  $K_3 \not\subseteq P_{10}$ .  
Petersen graph is a core.

# Cores

- Every graph  $H$  contains a core, denoted  $H^\bullet$ .
- The core is a subgraph.
- There is a retraction  $r : H \rightarrow H^\bullet$  (which fixes  $H^\bullet$ ).
- For all  $G$ ,

$$G \rightarrow H \Leftrightarrow G \rightarrow H^\bullet$$

- If  $H = H^\bullet$ , then  $H$  is a core.



# Some popular cores

The following graphs are cores:

- complete graphs  $K_n$ ;
- odd cycles  $C_{2n+1}$ ;
- directed cycles  $\vec{C}_k$ .

# Resumé

- Homomorphisms generalize **colourings**.
- Homomorphisms generalize **isomorphism**.
- Each graph contains a unique **core**.
- Let  $H' \subseteq H$ . A **retraction**  $f : H \rightarrow H'$  is a hom that the identity on  $H'$ .

# Colouring Problems

Key idea: Many colouring problems can be formulated as homomorphism problems by defining a suitable collection of target graphs.

# Circular colourings

A  $(p/q)$ -colouring of a graph  $G$  is:

- a function  $c : V(G) \rightarrow \{0, 1, 2, \dots, p - 1\}$ ;
- where  $uv \in E(G)$  implies
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In other words, adjacent vertices receive colours that differ by a least  $q$  modulo  $p$ .

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- Introduced by Vince (1988).
- Combinatorial setting Bondy and Hell (1990).
- Survey Zhu (2001).

# Circular chromatic number

The *circular chromatic number* of a graph  $G$  is

$$\chi_c(G) = \inf \left\{ \frac{p}{q} \mid G \text{ is } (p/q) \text{ - colourable} \right\}.$$

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## Prop 2

- *A  $(p, 1)$ -colouring is simply a  $p$ -colouring. Hence,  $(p, q)$ -colourings generalize classical colourings.*
- $\chi_c(K_n) = \chi(K_n) = n;$
- $\chi_c(C_{2k+1}) = 2 + 1/k.$

# Circular chromatic number in the language of homomorphisms

We require a suitable collection of *calibrating graphs*.

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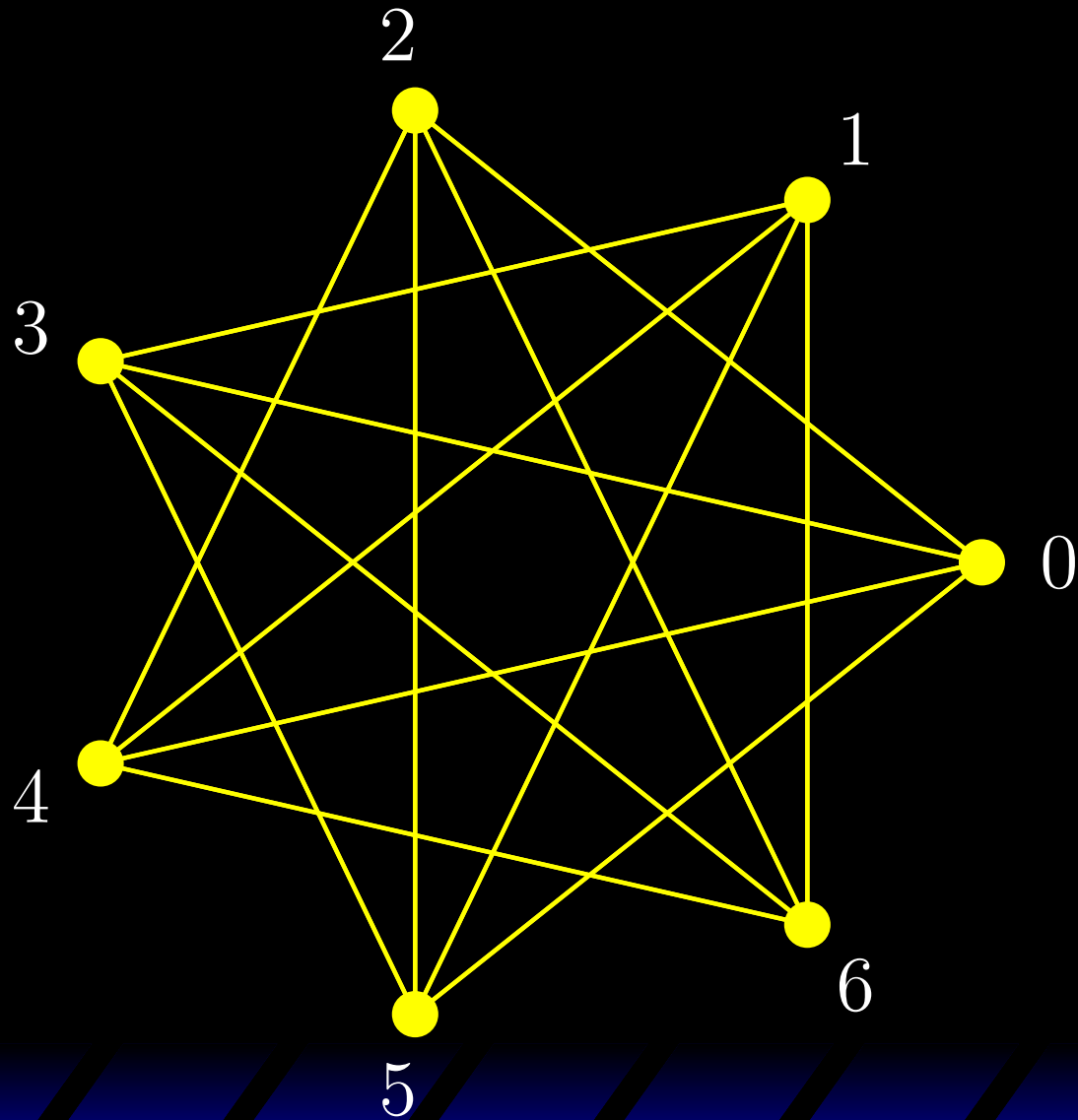
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We call these graphs the  $K_{p/q}$  *cliques*.

$$\chi_c(G) = \inf \left\{ \frac{p}{q} \mid G \rightarrow K_{p/q} \right\}$$

# The circular clique $K_{7/2}$



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- for  $(p, q) = 1$  and  $p/q \geq 2$

$$(K_{p/q} - \{x\}) \rightarrow K_{p'/q'}$$

with  $p'/q' < p/q$ ,  $p' < p$  and  $q' < q$ ;

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- we can replace inf with min.

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- Given a cycle  $C$  in  $G$ ,  $C^+$  ( $C^-$ ) is number of **forward** (**backward**) arcs.

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- An *orientation*  $\vec{G}$  of  $G$  is obtained by assigning a direction to each edge in  $G$ .
- Given a cycle  $C$  in  $G$ ,  $C^+$  ( $C^-$ ) is number of *forward* (*backward*) arcs.

- Minty, 1962:  $\chi(G) = \min_{\vec{G}} \max_C \left[ \frac{|C^+|}{|C^-|} + 1 \right]$

- Goddyn, Tarsi, Zhang, 1998:

$$\chi_c(G) = \min_{\vec{G}} \max_C \frac{|C^+|}{|C^-|} + 1$$

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When  $k = 1$  we have a classical vertex colouring.

# Fractional Colouring Targets

The *Kneser graph*  $K(n, k)$  is defined as follows:

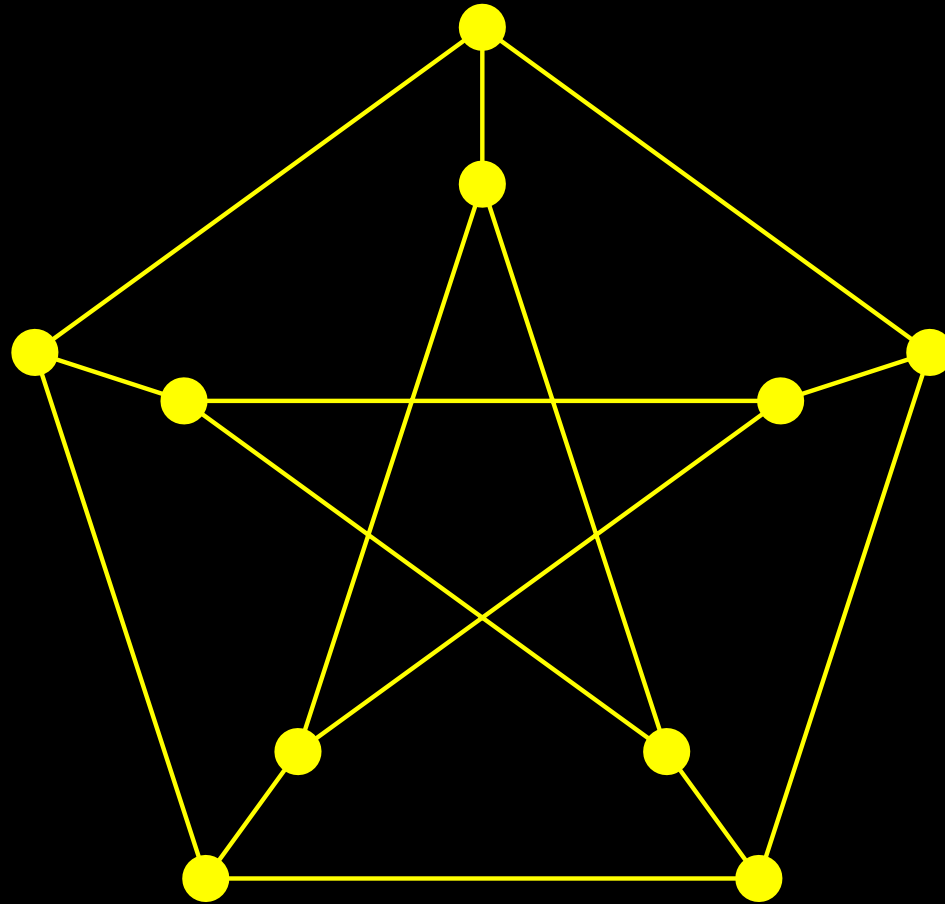
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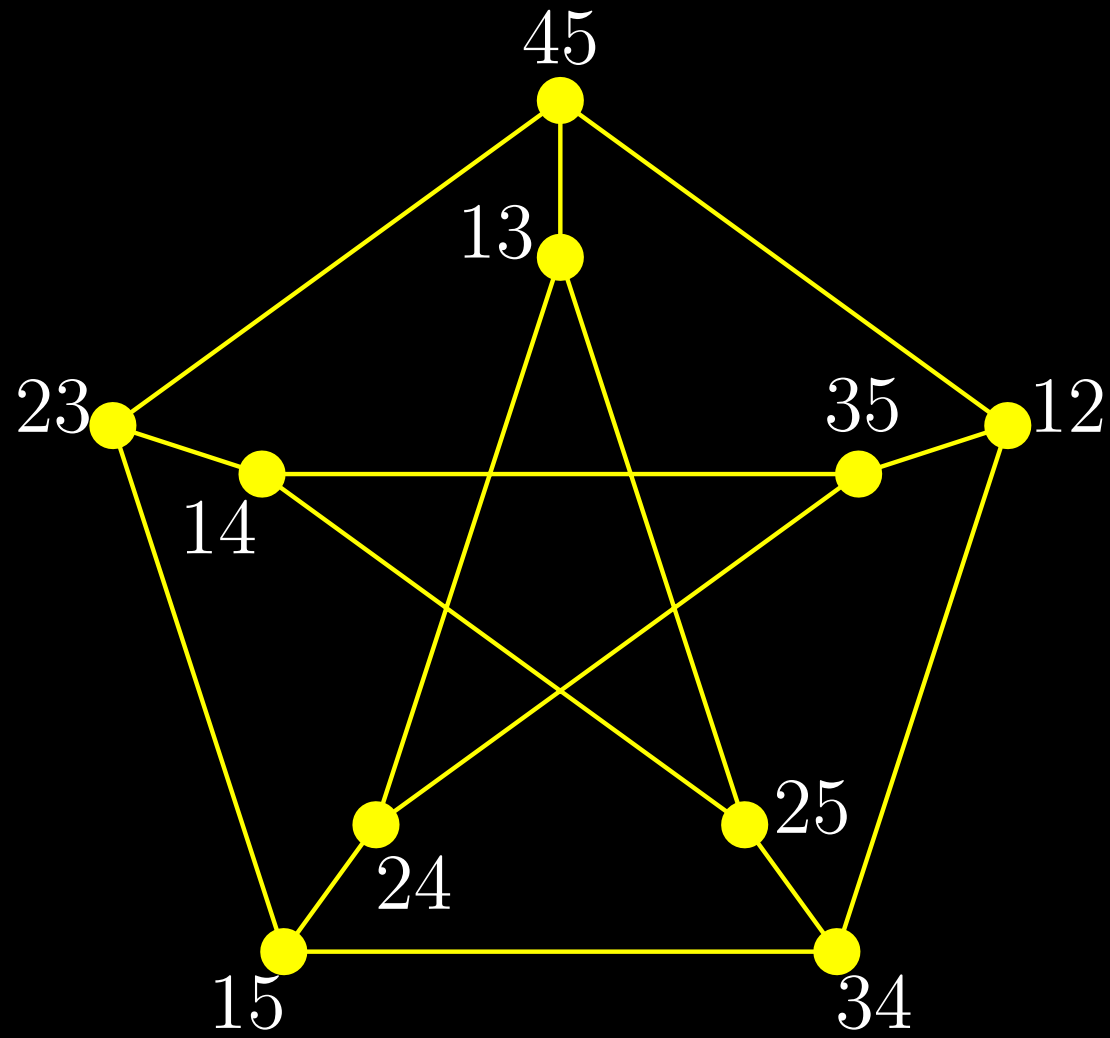
- vertices  $k$ -sets from an  $n$ -set;
- two vertices are adjacent if they are disjoint.



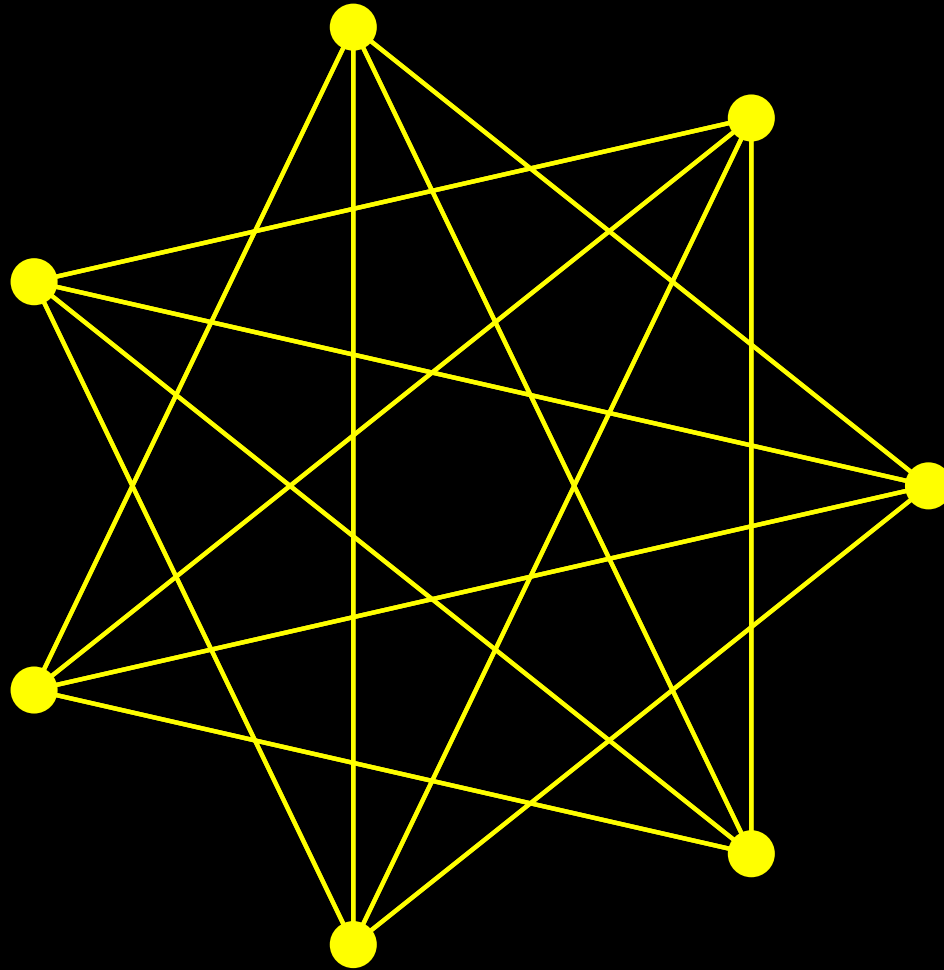
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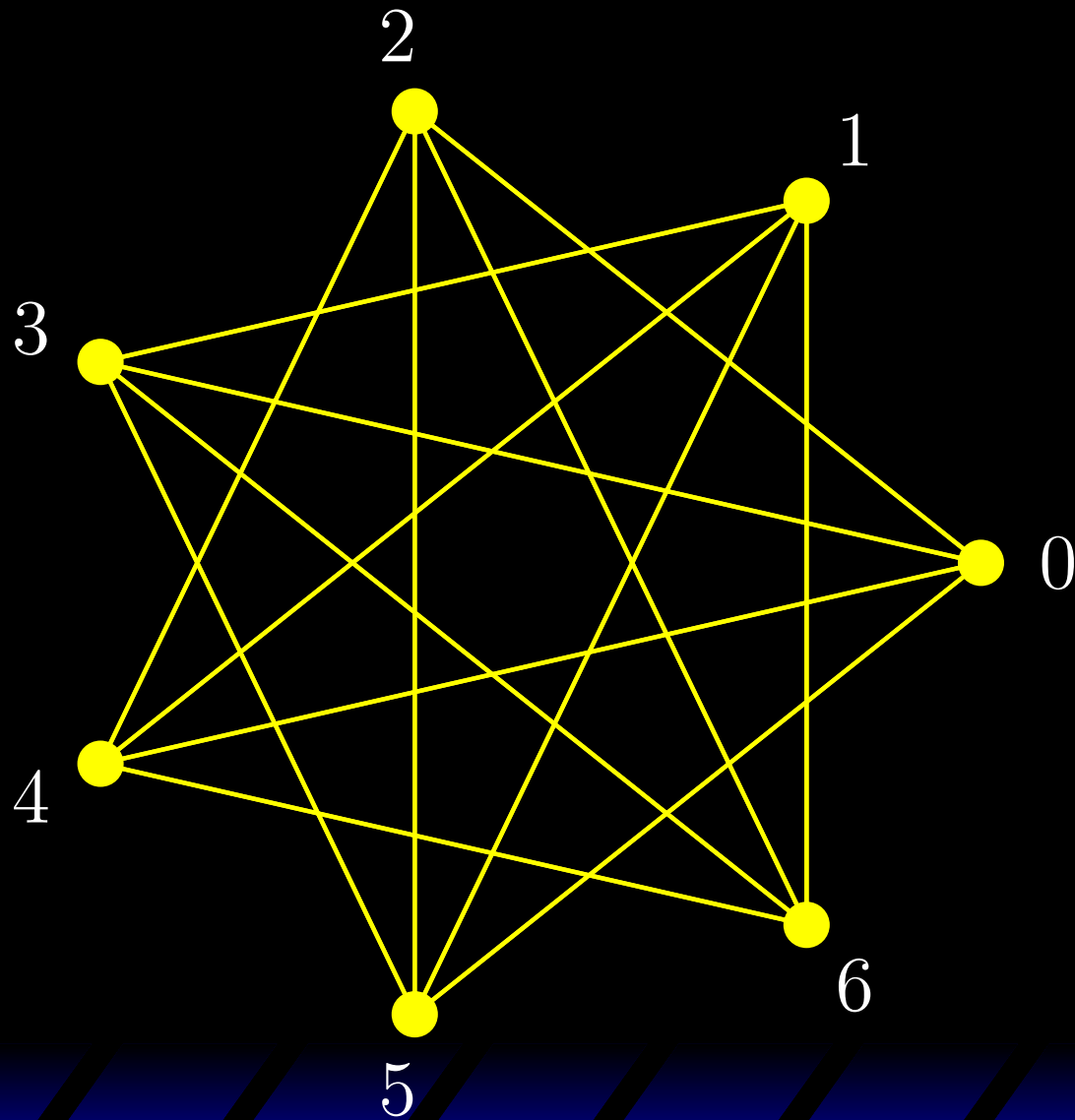
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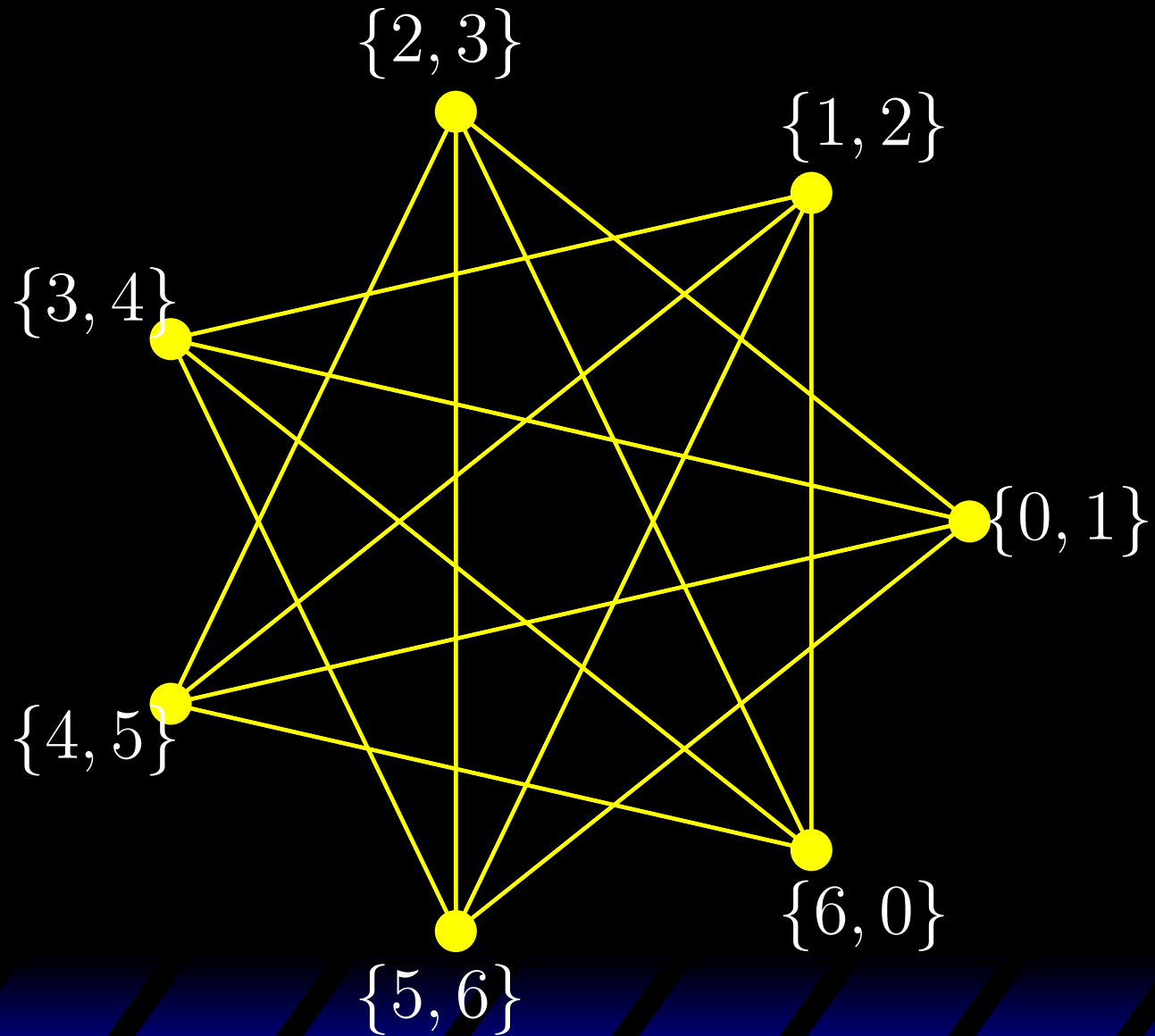
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# Integer Programming

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- For each independent set  $I$ , create a 01-variable  $x_I$ .
- $\chi$  is the optimum value of:

$$\min \sum_I x_I$$
$$\sum_{v \in I} x_I = 1, \text{ for all } v \in V(G)$$



# Fractional Relaxation

It is easy to verify that  $\chi_f$  is the optimal value of the fractional relaxation of the IP above:

$$\begin{aligned} & \min \sum_I x_I \\ & \sum_{v \in I} = 1, \text{ for all } v \in V(G) \\ & x_I \geq 0 \end{aligned}$$

# Fractional Relaxation (2)

The dual to this problem (in standard form) defines the *fractional clique*. Gives lower bounds on  $\chi_f$ . For example,

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Using this we get  $\chi_f(K(n, k)) = \frac{n}{k}$ .

# Kneser graphs

- Unlike the circular cliques, we do not have a full understanding of the homomorphism structure between  $K(n, k)$ .
- We do know for  $n \geq 2k \geq 2$ 
  - $K(n, k) \rightarrow K(n + 1, k)$
  - $K(n, k) \rightarrow K(tn, tk)$ , for every positive integer  $t$
  - $K(n, k) \rightarrow K(n - 2, k - 1)$ , for  $k > 1$

# Chromatic number of Kneser graphs

**Theorem 3 (Lovász, 1978)** *For every*  
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Stahl (and others) conjecture

$$K(n, k) \not\rightarrow K(tn - 2k + 1, tk - k + 1).$$

# Covering Arrays and Homomorphisms

Can we express covering array problems in the language of homomorphisms?  
Natural problems? Interesting?



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- Karen Meagher and Brett Stevens
- Karen Meagher, Lucia Moura, and Latifa Zekaoui
- Chris Godsil, Karen Meagher, and Reza Naserasr

# Covering Arrays Targets

The graph  $QI(n, g)$  (with  $n \geq g^2$ )

- $V$  strings of length  $n$  over  $\{0, 1, \dots, g - 1\}$ ;
- $E$  pairs of qualitatively independent strings.

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- $E$  pairs of qualitatively independent strings.

A  $k$ -clique in  $QI(n, g)$  corresponds to a  $n \times k$  covering array.

# CA in the language of homomorphisms

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Again, we may restrict our attention to cores.

Observe  $QI^\bullet(4, 2) = K_3$ , and is induced by the *balance strings starting with 0*.

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Let's ask the question, for which graph  $G$

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*Covering array on a graph  $G$*  is a homomorphism  $G \rightarrow QI(n, g)$ .

$$CA(G, g) = \min_{\ell \in \mathbb{N}} \{ \ell : \exists CA(\ell, G, g) \}$$

**Note:**  $CAN(K_k, g) = CAN(k, g)$

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**Prop 4** *If  $G \rightarrow H$ , then  $CAN(G, g) \leq CAN(H, g)$ .  
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$QI(5, 2)$  is such a graph.

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**Theorem 5 (MS)**  $QI^\bullet(n, 2)$  is the complement of a Kneser graph.

- for  $n$  even the core is  $K_{\binom{n}{n/2}/2}$ ;
- for  $n$  odd the core is  $F(n, 2) =$  subgraph induced by vectors of weight  $\lfloor n/2 \rfloor$ .

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- $\chi(BQI(k^2, k)) = \binom{k+1}{2}$ ?

# List Homomorphisms

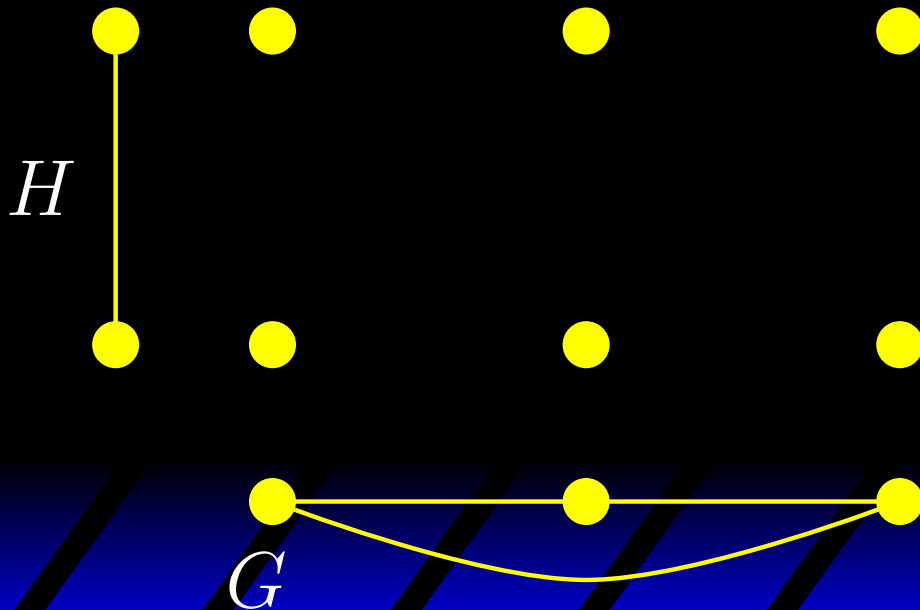
**Definition 5** *Let  $G$  and  $H$  be graphs. Let  $L(v)$  be a subset of  $V(H)$  for each vertex  $v \in V(G)$ . A **list homomorphism**  $f : G \rightarrow H$  is a homomorphism such that  $f(v) \in L(v)$  for all  $v$ .*

# Products

The natural product with homomorphisms is the *category product*  $G \times H$ .

$$(g_1, h_1)(g_2, h_2) \in E(G \times H)$$

$$\Leftrightarrow g_1g_2 \in E(G) \text{ and } h_1h_2 \in E(H)$$

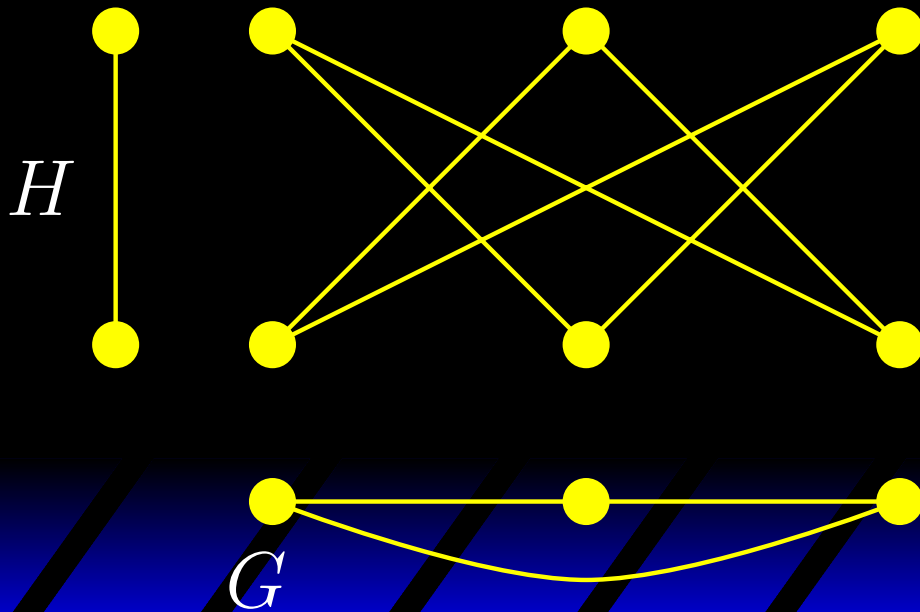




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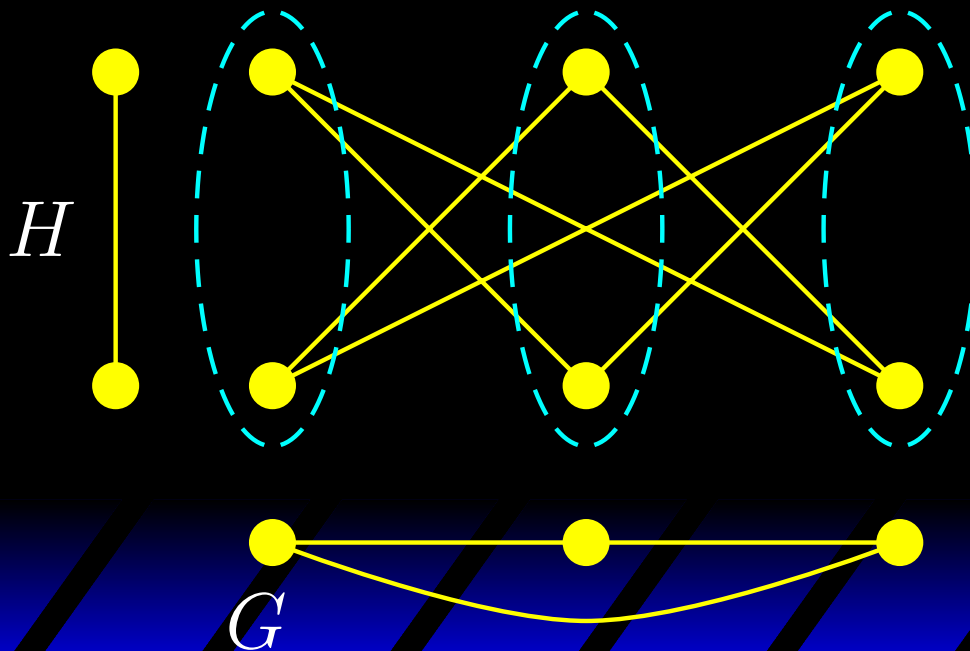
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The natural product with homomorphisms is the *categorical product*  $G \times H$ .

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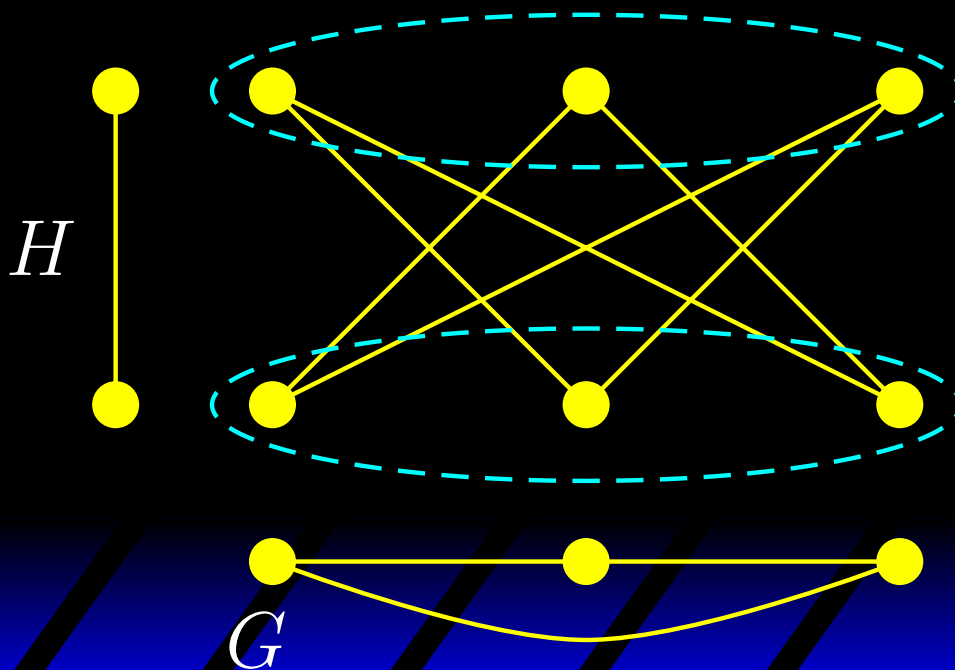
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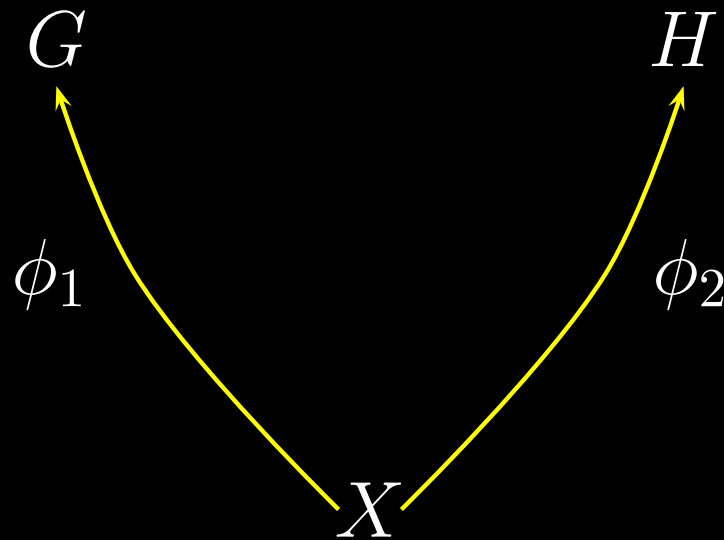
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# Products (2)

**Prop 6**  $X \rightarrow G \times H$  iff  $X \rightarrow G$  and  $X \rightarrow H$

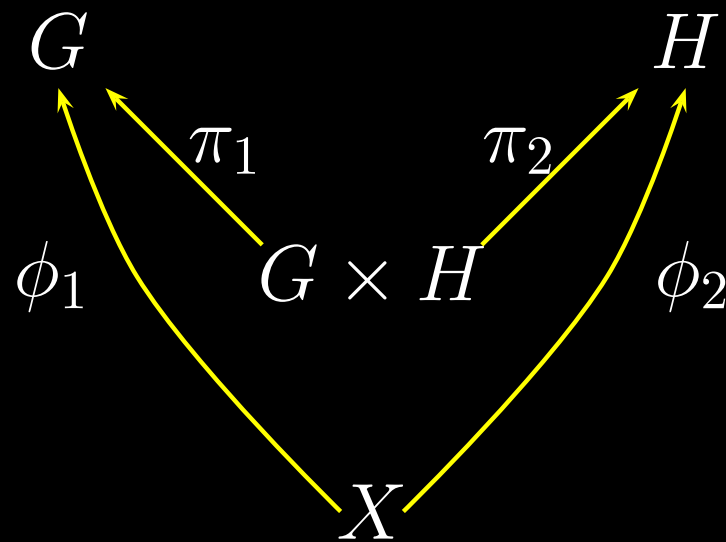
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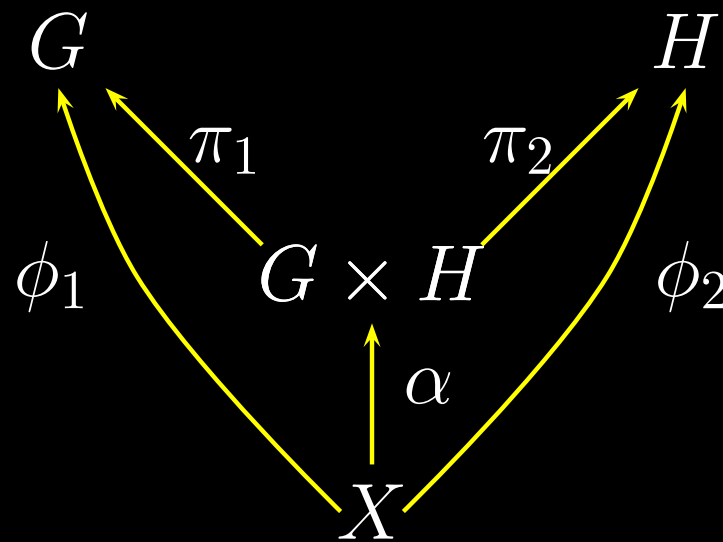
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$$\alpha(x) := (\phi_1(x), \phi_2(x)) \quad (= \phi_1 \times \phi_2(x))$$

$$\phi_1 = \pi_1 \circ \alpha$$

$$\phi_2 = \pi_2 \circ \alpha$$

# Varieties

- A *variety* is a set of graphs closed under retracts and products.
- Let  $C$  be a family of graphs. The *variety generated by  $C$*  is the smallest variety containing  $C$ . Denoted  $\mathcal{V}(C)$ .
- Example, the *variety generated by finite, reflexive paths* is important in the study of graph retraction problems. Well characterized.



# Cops and Robbers

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- Robber picks a vertex.
- Take turns moving to an adjacent vertex.
- Cop wins by occupying the same vertex as the robber. A graph is *cop-win* if the cop has a winning strategy.
- Observation: Cop-win graphs form a variety.
- Nowakowski and Winkler, *Disc Math*, 1983.

# Homomorphism Partial Order

- Let  $\mathcal{G}$  be the set of all finite graphs.
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- Not-antisymmetric:  $C_6 \rightarrow K_2$  and  $K_2 \rightarrow C_6$ .
- Usual operation of moding out by hom-equiv to obtain a partial order.
- Cores are the natural representation of the classes.

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- meet:  $G \wedge H = G \times H$ ;
- join:  $G \vee H = G + H$ , disjoint union or *co-product*.

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- $K_1 \rightarrow K_2 \rightarrow K_3 \rightarrow \dots$
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  - Erdős:  $\forall i \geq 3$ , there exists a graph  $R_i$  such that  $\chi(R_i) = i$  and  $og(R_i) = 2i + 1$ .

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- $R_i, i \geq 3$  form an antichain.

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- Proof indep Nešetřil and Perles (1990).

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- *finite duality*:  $(\{F_1, \dots, F_t\}, H)$

$$\forall G, G \rightarrow H \Leftrightarrow \forall i, F_i \not\rightarrow G$$

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## Theorem 8 (Nešetřil and Tardif, 2000)

- *If cores  $(F, H)$  form a duality pair, then  $[F \times H, F]$  is a gap.*
- *If cores  $[A, B]$  form a gap and  $B$  is connected, then  $(B, A^B)$  is a duality pair.*

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Finite duality implies  $H$ -colouring is polynomial.

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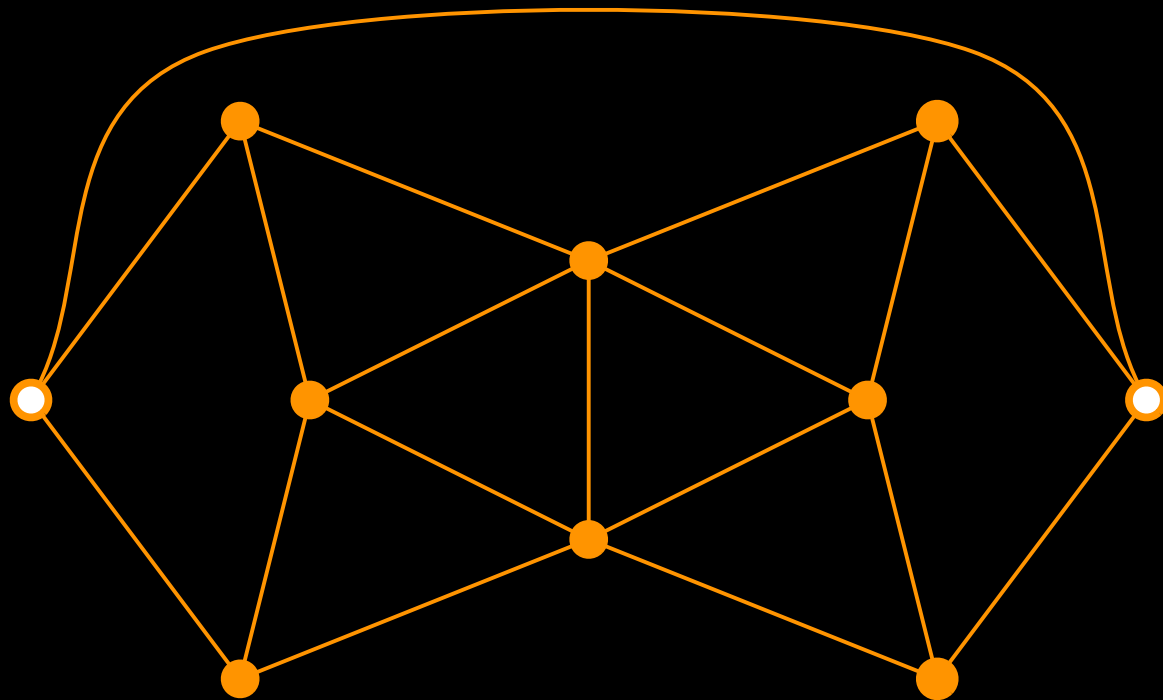
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**Pultr and Trnková, 1980** Every concrete category can be represented in the category of finite graphs.

# Complexity Issues

BFHMM (and others) examine retraction complexity and *no-certificates*.



# List Homomorphisms

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