# Wavelength Reuse in a Symmetrical Radio Over WDM-PON Based on Polarization Multiplexing and Coherent Detection

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Abstract-A symmetrical radio over a colorless wavelengthdivision multiplexing passive optical network with wavelength reuse based on polarization multiplexing and coherent detection incorporating digital phase noise cancellation is proposed and experimentally demonstrated. For the downlink, two optical signals are intensity modulated by two different 16-QAM downstream microwave vector signals that are polarization multiplexed at the optical line terminal (OLT). Then, the two orthogonally polarized optical signals are transmitted to the optical network unit (ONU). At the ONU, one of the two orthogonally polarized optical signals is selected to be reused for the uplink transmission. Then, the reused optical signal is split into two channels by a 3-dB coupler. One portion of the reused optical signal is phase modulated by a 16-QAM upstream microwave vector signal, while the other portion is intensity modulated by another 16-QAM upstream microwave vector signal. Again, the two portions of the optical signals are polarization multiplexed and transmitted back to the OLT. At the OLT, a coherent receiver with a digital signal processing (DSP) unit is used to detect the upstream optical signals. Two DSP algorithms are developed to recover the two 16-QAM upstream microwave vector signals. The transmission of two 2.5-Gb/s 16-QAM downstream microwave vector signals and two 2.5-Gb/s 16-QAM upstream microwave vector signals over a 10.5-km single-mode fiber is experimentally demonstrated. The error vector magnitudes for both the down and upstream transmissions are measured to be 7.3% and 8.65%, which are good enough to achieve error-free transmission with forward error correction.

*Index Terms*—Digital phase noise cancellation, digital signal processing (DSP), laser phase noise, optical coherent detection, polarization multiplexing, symmetrical radio over fiber, wavelength division multiplexing (WDM) passive optical networks (PONs), wavelength reuse.

## I. INTRODUCTION

W ITH the increasing demand for high-data-rate transmission for broadband wireless access, the provision of wireless services over wavelength division multiplexing (WDM) passive optical networks (PONs) has been a topic of research interest recently. In a radio over WDM-PON, to ease the system installation and maintenance, colorless optical network units (ONUs) are essential. Numerous methods for colorless

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Digital Object Identifier 10.1109/JLT.2015.2503327

operation have been proposed in recent years, including spectrum slicing of a broadband light source that covers a spectral range of all the transmission wavelengths [1], the use of tunable laser sources (TLSs) with a tunable wavelength over a spectral range of transmission [2], and the reuse of the downstream wavelengths [3]–[14]. For the schemes using a broadband light source that covers a spectral range of transmission [1], the modulation bandwidth is usually very narrow due to the poor quality of the spectrum-sliced broadband light source. In addition, such schemes suffer from slicing losses. The use of TLSs at an ONU [2] can solve the two problems. However, it is not a good solution for low-cost implementation because of the very high cost of TLSs. In addition, prior information is required for wavelength tuning at the ONU, which makes the end-to-end control complicated. To reduce the cost and relax the requirements for wavelength management at the ONU side, several schemes have been proposed based on wavelength reuse of a downstream optical signal for upstream transmission, including injection locking of a Fabry-Pérot laser diode (LD) [3]-[6], and the utilization of gain-saturation of a semiconductor optical amplifier (SOA) [7] or a reflective SOA (RSOA) [8]-[10]. However, these schemes require a low modulation depth of the downstream optical signal to reduce the crosstalk to the upstream signal, which limits the transmission performance of the downlink. In addition, the analog bandwidth of an SOA or RSOA is very limited (typically around 1 GHz). To overcome this limitation, two radio over fiber (RoF) systems have been proposed based on the reuse of a phase-modulated downstream optical signal for upstream transmission using a polarization modulator [11]-[13]. However, since two orthogonally polarized light waves, which are phase modulated by a radio signal, are utilized for the purpose of wavelength reuse, polarization multiplexing cannot be employed, which may decrease the spectral efficiency. To solve this problem, recently we have proposed a RoF system with wavelength reuse based on polarization multiplexing and coherent detection [14]. In [14], the polarization multiplexing can be employed, but the system is not symmetrical, since the polarization multiplexing can be only applied for the downlink but not for the uplink, which results in that the data rate for the downlink is twice of that for the uplink.

In this paper, a symmetrical radio over a colorless WDM-PON with wavelength reuse based on polarization multiplexing and coherent detection incorporating digital phase noise cancellation (PNC) is proposed and demonstrated. In the optical line terminal (OLT), polarization multiplexing is employed to double the spectral efficiency and the data rate. In addition,

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Manuscript received July 26, 2015; revised October 29, 2015; accepted November 21, 2015. Date of publication November 23, 2015; date of current version February 10, 2016. This work was supported by the Natural Sciences and Engineering Research Council of Canada.

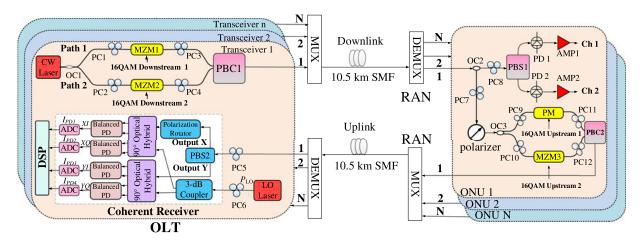


Fig. 1. Schematic diagram of the proposed symmetrical radio over WDM-PON with wavelength reuse based on polarization multiplexing and coherent detection. ADC: analog-to-digital converter, AMP: amplifier, PC: polarization controller, CW laser: continuous- wave laser, Balanced PD: balanced photodetector, PBS: polarization beam splitter, PBC: polarization beam combiner, OC: optical coupler, PM: phase modulator, MZM: Mach–Zehnder modulator, SMF: single-mode fiber, DSP: digital signal processing, DSP: digital signal processing, OLT: optical line terminal, ONU: optical network unit, LO laser: local oscillator laser, MUX: multiplexer, DEMUX: de-multiplexer.

coherent detection is used for the uplink, to improve the receiver sensitivity and also to compensate for the degraded data transmission performance due to the utilization of a wavelengthreused downstream optical signal. Two digital signal processing (DSP) algorithms for the recovery of two 16-QAM upstream microwave vector signals from the upstream signals, which contain the downstream signals and the phase noises from the transmitter laser source and the local oscillator (LO) laser source, are proposed. In the ONUs, no wavelength selective components are used, making the ONUs colorless. In addition, polarization multiplexing is also employed. Therefore, a symmetrical radio over WDM-PON is implemented. The proposed scheme is experimentally demonstrated. The transmission of two 2.5-Gb/s 16-QAM downstream microwave vector signals and two 2.5-Gb/s 16-QAM upstream microwave vector signals over a 10.5-km single-mode fiber (SMF) is experimentally demonstrated. The error vector magnitudes (EVMs) for the recovered 16-QAM downstream microwave vector signals and the recovered 16-QAM upstream microwave vector signals can reach 7.3% and 8.65%, respectively, which are good enough to achieve error-free transmission with forward error correction (FEC).

### II. PRINCIPLE OF OPERATION

The schematic of the proposed symmetrical radio over colorless WDM-PON based on polarization multiplexing and coherent detection incorporating digital PNC is shown in Fig. 1. At a transceiver in the OLT, a continuous-wave (CW) light wave from a LD is equally divided into two channels by a 3-dB coupler (OC1) and sent to two Mach–Zehnder modulators (MZM1 and MZM2) via two polarization controllers (PC1 and PC2). In each path, the CW light wave is intensity-modulated by a 16-QAM microwave vector signal. Both the MZMs are biased at the quadrature point. The two intensity-modulated optical signals are sent via two PCs (PC3 and PC4) and polarization multiplexed at a polarization beam combiner (PBC1) and sent to a wavelength division multiplexer (MUX). At the MUX, all the optical intensity-modulated signals with different center wavelengths from different transmitters are multiplexed and transmitted to a remote access node (RAN) over an SMF. At the RAN, all the optical intensity-modulated signals are wavelength de-multiplexed via a wavelength division de-multiplexer (DE-MUX) and then distributed to the corresponding ONUs. At each ONU, the intensity-modulated light wave is equally divided into two channels by another 3-dB coupler (OC2). The light wave at the upper channel is then polarization de-multiplexed at a polarization beam splitter (PBS1) and detected by two photodetectors (PDs). While the optical signals at the lower channel is sent to a polarizer which is used to select one of the two orthogonally polarized intensity-modulated optical signals. Then the selected intensity-modulated optical signal is split into two portions by a third 3-dB coupler (OC3). One portion is phase-modulated by a 16-QAM upstream microwave vector signal via a phase modulator (PM), while the other portion is intensity-modulated by another 16-OAM upstream microwave vector signal via the third MZM. The two optical signals are polarization multiplexed again at PBC2 and sent to the RAN, where all optical signals from all ONUs are wavelength multiplexed and transmitted over another SMF to the OLT where a DEMUX is used to deliver a different optical wavelength to its corresponding receiver. At each receiver, a polarization- and phase-diversity coherent optical receiver is used to detect the two orthogonally polarized optical upstream signals which are polarization de-multiplexed through a PC (PC5) and the second PBS (PBS2). Four sampled signals  $(I_{PD1}, I_{PD2}, I_{PD3} \text{ and } I_{PD4})$  at the output of the coherent receiver are sent to a DSP unit which is used to cancel the phase noise and recover the two 16-QAM upstream microwave vector signals.

Mathematically, the two orthogonally polarized intensitymodulated optical signals at the output of PBC1 in the transmitter can be expressed as

$$\begin{bmatrix} E_{d1}(t) \\ E_{d2}(t) \end{bmatrix} = \sqrt{P_s}$$

$$\begin{bmatrix} \sqrt{L_{d1}} \cos\left(\frac{\pi S_{RFd1-16QAM}(t)}{2V_{\pi IM1}} + \frac{\pi}{4}\right) e^{j(\omega_c t + \varphi_{c1}(t))} \\ \sqrt{L_{d2}} \cos\left(\frac{\pi S_{RFd2-16QAM}(t)}{2V_{\pi IM2}} + \frac{\pi}{4}\right) e^{j(\omega_c t + \varphi_{c2}(t))} \end{bmatrix}$$
(1)

where  $P_s$  is the optical output power of the light wave from the transmitter laser source,  $\omega_c$  is the angular frequency of the optical carrier,  $S_{RFd1-16QAM}(t)$  and  $S_{RFd2-16QAM}(t)$  are the input microwave vector signals for MZM1 and MZM2,  $V_{\pi IM1}$ and  $V_{\pi IM2}$  are the half-wave voltages of MZM1 and MZM2, and  $\varphi_{c1}(t)$  and  $\varphi_{c2}(t)$  are the phase terms of the transmitter laser source for Path 1 and Path 2. Note,  $\varphi_{c1}(t)$  and  $\varphi_{c2}(t)$ are different, since the optical signals from the transmitter laser source are split and transmitted through two different paths.  $L_{d1}$ and  $L_{d2}$  are the link losses between OC1 and PBS1 for Path 1 and Path 2, respectively.

Then, the two intensity-modulated light waves from the two paths are polarization multiplexed at PBC1 and multiplexed with other wavelengths. After transmission over an SMF, the optical signals are wavelength de-multiplexed at the RAN and sent to the corresponding ONUs.

At an ONU, the two received orthogonally polarized intensity-modulated light waves are divided into two parts by a 3-dB optical coupler. The upper part is polarization demultiplexed at a polarization beam splitter (PBS1) and detected by two PDs. The photocurrents after the two PDs can be expressed as

$$I_{d1} = R_1 \cdot \left[ \sqrt{L_f} E_{d1}(t) / \sqrt{2} \right] \cdot \left[ \sqrt{L_f} E_{d1}^*(t) / \sqrt{2} \right] / 2$$
  
=  $R_1 P_s L_{d1} L_f \left( 1 - \sin \left( \frac{\pi S_{RFd1 - 16QAM}(t)}{V_{\pi IM1}} \right) \right) / 8$ 

$$\approx R_1 P_s L_{d1} L_f \left( 1 - \frac{\pi S_{RFd1-16QAM}(t)}{V_{\pi IM1}} \right) / 8 \tag{2}$$

$$I_{d2} = R_2 \cdot \left[ \sqrt{L_f} E_{d2}(t) / \sqrt{2} \right] \cdot \left[ \sqrt{L_f} E_{d2}^*(t) / \sqrt{2} \right] / 2$$
  

$$\approx R_2 P_s L_{d2} L_f \left( 1 - \frac{\pi S_{RFd2-16QAM}(t)}{V_{\pi IM2}} \right) / 8$$
(3)

where  $R_1$  and  $R_2$  are the responsivities for PD1 and PD2, respectively.  $L_f$  is the link loss caused by the SMF.

The lower part is sent to a polarizer which is used to select one of the two orthogonally polarized intensity-modulated optical signals (Here, we suppose that the optical signal for Path 1 is selected.) and then the selected signal is split by a 3-dB optical coupler. One portion is phase modulated by a 16-QAM upstream microwave vector signal and the other is intensity-modulated by another 16-QAM upstream microwave vector signal. The two optical signals are then polarization multiplexed at PBC2. The optical fields of the two orthogonally polarized optical signals at the output of PBC2 are given by

$$\begin{bmatrix} E_{up1}(t) \\ E_{up2}(t) \end{bmatrix} = \begin{bmatrix} A \\ B \end{bmatrix}$$
(4)

with

$$A = \frac{\sqrt{P_s L_{d1} L_{up1} L_f}}{2} \cos \left[ \frac{\pi S_{RFd1-16QAM}(t)}{2 V_{\pi IM1}} + \frac{\pi}{4} \right]$$

$$\times \exp \left\{ j \left[ \omega_c t + \varphi_{c3}(t) + \pi S_{RFup1-16QAM}(t) / V_{\pi PM} \right] \right\}$$

$$B = \frac{\sqrt{P_s L_{d1} L_{up2} L_f}}{2} \cos \left[ \frac{\pi S_{RFd1-16QAM}(t)}{2 V_{\pi IM1}} + \frac{\pi}{4} \right]$$

$$\times \cos \left[ \frac{\pi S_{RFup2-16QAM}(t)}{2 V_{\pi IM3}} + \frac{\pi}{4} \right] \exp \left\{ j \left[ \omega_c t + \varphi_{c4}(t) \right] \right\}$$

where  $L_f$  is the link loss caused by the SMF,  $L_{up1}$  and  $L_{up2}$  are the link losses between OC3 and PBC2 for the upper channel and the lower channel.  $\varphi_{c3}(t)$  and  $\varphi_{c4}(t)$  are the phase terms of the transmitter laser source for the upper and the lower channels. Note again  $\varphi_{c3}(t)$  and  $\varphi_{c4}(t)$  are different.  $V_{\pi IM3}$  and  $V_{\pi PM}$ are the half-wave voltages of MZM3 and the PM, respectively.

The wavelength-reused two orthogonally polarized light waves carrying the upstream data are transmitted to the RAN and sent to the OLT over another SMF.

At the OLT, the two orthogonally polarized light waves are polarization de-multiplexed by PBS2. The optical fields at the outputs of PBS2 for Output X and Output Y are given by

$$E_x\left(t\right) = \sqrt{L_f} E_{up1}\left(t\right) \tag{5}$$

$$E_y\left(t\right) = \sqrt{L_f} E_{up2}\left(t\right). \tag{6}$$

On the other hand, the optical field at the output of the LO laser source can be written as

$$E_{LO}(t) = \sqrt{2P_{LO}} e^{j[\omega_{LO} t + \varphi_{LO}(t)]}$$
(7)

where  $P_{LO}$  is the optical power of the light wave from the LO laser source,  $\varphi_{LO}(t)$  is the phase term, and  $\omega_{LO}$  is the angular frequency.

Through tuning PC6, with the help of the polarization rotator in Fig. 1, the light wave from the LO laser source is co-polarized with the other optical signals at the inputs of the two 90° optical hybrids. At the outputs of the two 90° optical hybrids, eight optical fields are obtained, given by

$$\begin{pmatrix} E_{1}(t) & E_{2}(t) & E_{3}(t) & E_{4}(t) \\ E_{5}(t) & E_{6}(t) & E_{7}(t) & E_{8}(t) \end{pmatrix}$$
$$= \sqrt{L_{h}} \begin{pmatrix} E_{x}(t) & E_{LO}(t) e^{j\varphi_{x}} / \sqrt{2} \\ E_{y}(t) & E_{LO}(t) e^{j\varphi_{y}} / \sqrt{2} \end{pmatrix}$$
$$\cdot \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & e^{j\pi} & e^{j\pi/2} & e^{-j\pi/2} \end{pmatrix}$$
(8)

where  $\varphi_x$  and  $\varphi_y$  are the phase terms arising from the polarization mismatch between the upstream optical signal and the light wave from LO laser source. By applying  $(E_1, E_2)$ ,  $(E_3, E_4)$ ,  $(E_5, E_6)$ ,  $(E_7, E_8)$  to four identical balanced PDs which are terminated with 50- $\Omega$  resistors, four output photocurrents are obtained, which are given by

$$I_{PD1} = RL_h L_f \sqrt{P_s P_{LO} L_{d1} L_{up1}}$$

$$\cos \left[\pi S_{RFd1-16QAM}(t) / 2V_{\pi IM1} + \pi / 4\right]$$

$$\times \cos \left[\Delta \omega t + \varphi_{c3}(t) + \pi S_{RFup1-16QAM}(t) / V_{\pi PM} - \varphi_x\right]$$
(9)

$$I_{PD2} = RL_h L_f \sqrt{P_s P_{LO} L_{d1} L_{up1}}$$

$$\cos \left[ \pi S_{RFd1-16QAM} \left( t \right) / 2V_{\pi IM1} + \pi / 4 \right]$$

$$\times \sin \left[ \Delta \omega t \right]$$

$$+ \varphi_{c3} \left( t \right) + \pi S_{RFup1-16QAM} \left( t \right) / V_{\pi PM} - \varphi_x \right]$$
(10)

$$I_{PD3} = RL_{h}L_{f}\sqrt{P_{s}P_{LO}L_{d1}L_{up2}} \cos \left[\pi S_{RFd1-16QAM}(t)/2V_{\pi IM1} + \pi/4\right] \times \cos \left[\pi S_{RFup2-16QAM}(t)/2V_{\pi IM3} + \pi/4\right] \\\cos \left[\Delta\omega t + \varphi_{c4}(t) - \varphi_{y}\right]$$
(11)

$$I_{PD4} = RL_h L_f \sqrt{P_s P_{LO} L_{d1} L_{up2}}$$

$$\cos \left[ \pi S_{RFd1-16QAM} \left( t \right) / 2V_{\pi IM1} + \pi / 4 \right]$$

$$\times \cos \left[ \pi S_{RFup2-16QAM} \left( t \right) / 2V_{\pi IM3} + \pi / 4 \right] \sin \left[ \Delta \omega t + \varphi_{c4} \left( t \right) - \varphi_y \right]$$
(12)

where *R* is the responsivity for the balanced PDs. Through the DSP, we can have

$$I_{1} = \tan^{-1} \left[ \frac{(I_{PD2} \times I_{PD3} - I_{PD1} \times I_{PD4})}{(I_{PD1} \times I_{PD3} + I_{PD2} \times I_{PD4})} \right]$$
  
=  $\tan^{-1} \left\{ \frac{\sin \left[ \pi S_{RFup1-16QAM}(t) / V_{\pi PM} + \varphi(t) \right]}{\cos \left[ \pi S_{RFup1-16QAM}(t) / V_{\pi PM} + \varphi(t) \right]} \right\}$   
=  $\pi S_{RFup1-16QAM}(t) / V_{\pi PM} + \varphi(t)$  (13)

with

$$\varphi(t) = \varphi_{c3}(t) - \varphi_{c4}(t) - \varphi_x + \varphi_y.$$

In (13), the maximum frequency of  $\varphi(t)$  is determined by the linewidth of the transmitter laser source. If the spectrum of  $S_{RFup1-16QAM}(t)$  does not overlap with that of  $\varphi(t)$ ,  $S_{RFup1-16QAM}(t)$  can be selected via a digital bandpass filter, which is free from the phase noise.

Also, through DSP we can have,

$$I_{2} = \left(I_{PD3}^{2} + I_{PD4}^{2}\right) / \left(I_{PD1}^{2} + I_{PD2}^{2}\right)$$
  

$$= L_{up2}/L_{up1} \times \left\{\cos\left[\pi S_{RFup2-16QAM}\left(t\right)/2V_{\pi IM3} + \pi/4\right]\right\}^{2}$$
  

$$= L_{up2}/L_{up1} \times \left\{1 - \sin\left[\pi S_{RFup2-16QAM}\left(t\right)/V_{\pi IM3}\right]\right\}/2$$
  

$$\approx L_{up2}/L_{up1} \times \left[1 - \pi S_{RFup2-16QAM}\left(t\right)/V_{\pi IM3}\right]/2.$$
(14)

As can be seen, a recovery of the signal,  $S_{RFup2-16QAM}(t)$ , free from the phase noise, is realized.

#### III. EXPERIMENT

An experiment based on the setup shown in Fig. 2 is conducted. A CW light wave from a TLS (Agilent N7714A) operating at 1550.445 nm with a linewidth of 100 kHz and an optical power of 16.0 dBm is split into two paths by OC1. In each channel, the CW light is intensity-modulated by a 16-QAM downstream microwave vector signal via its corresponding MZM (MZM1 and MZM2). Note that PC1 and PC2 are used to minimize the polarization dependent loss at MZM1 (JDSU) and MZM2 (JDSU), and PC3 and PC4 are used to make the polarization directions of the two optical signals align with the two principal axes of PBC1, thus the two light waves are polarization multiplexed and transmitted to the ONU over a 10.5 km SMF. The bandwidth of the MZMs at the OLT is 10 GHz and the half-wave voltage is around 5.5 V. At the ONU, the two orthogonally polarized intensity-modulated signals are equally divided by OC2. The light wave at the upper channel is then polarization de-multiplexed at a polarization beam splitter (PBS1) and detected by two PDs (New Focus, Model: 1414-50) with a bandwidth of 20 GHz and a responsivity of 0.6 A/W. While the optical signal at the lower channel is sent to a polarizer via PC7 (Here, PC7 and PC8 can be replaced by a dynamic polarization controller in a practical system [15]), which is used to select one of the two orthogonally polarized intensity-modulated optical signals. The selected intensity-modulated optical signal is split again by OC3 for wavelength reuse. One portion is phase modulated by a 16-QAM upstream microwave vector signal at the PM (JDSU) with a bandwidth of 20 GHz and a half-wave voltage of 5 V, while the other portion is intensity-modulated by another 16-QAM upstream microwave vector signal at MZM3 (JDSU) with a bandwidth of 10 GHz and a half-wave voltage of 5.5 V. All the downstream and upstream 16-QAM microwave vector signals are generated by an arbitrary waveform generator (Tektronix AWG7102). The symbol rates and the center frequencies for the 16-QAM microwave vector signals are all 2.5 Gb/s and 2.5 GHz. Again, the two portions of optical signals are polarization multiplexed at PBC2 and transmitted to the OLT over another 10.5 km SMF. At the OLT, a coherent receiver (Discovery Semiconductors DP-QPSK 40/100 Gb/s Coherent Receiver Lab Buddy) is used to detect the upstream optical signals. Through tuning PC5 (Here, PC5 can be replaced by a dynamic PC in a practical system [15]), the two polarization multiplexed light waves can be polarization de-multiplexed by PBS2, which is inside the coherent receiver. In the experiment, at the output X of PBS2, the optical signal for Channel A is obtained, while the optical signal for Channel B is obtained at the other output of PBS2. On the other hand, a second TLS (Yokogawa AQ2201) which has a center wavelength of 1550.476 nm, a linewidth of 1 MHz and an output optical power of 9 dBm is used as the LO laser source. The light wave from the LO laser source is sent to the LO port of the coherent receiver through PC6. Through tuning PC5 and PC6, with the help of the polarization rotator in Fig. 2, the light wave from the LO laser source is co-polarized with the other upstream optical signals at the inputs of the two 90° optical hybrids. After coherent detection, at the output of the coherent receiver, a Digital Storage Oscilloscope (Agilent

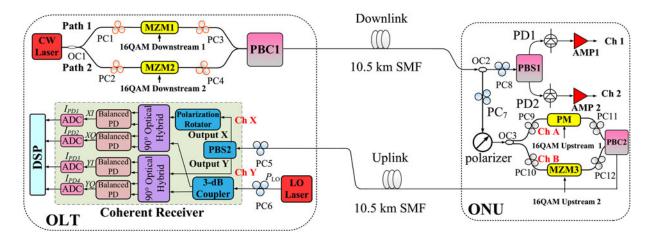


Fig. 2. Experimental setup of the proposed symmetrical radio over WDM-PON with wavelength reuse based on polarization multiplexing and coherent detection. ADC: analog-to-digital converter, PC: polarization controller, CW laser: continuous- wave laser, Balanced PD: balanced photodetector, PBS: polarization beam splitter, PBC: polarization beam combiner, OC: optical coupler, PM: phase modulator, MZM: Mach–Zehnder modulator, SMF: single mode fiber, DSP: digital signal processing, DSP: digital signal processing, OLT: optical line terminal, ONU: optical network unit, LO laser: local oscillator laser.

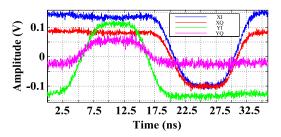


Fig. 3. Temporal waveforms at the outputs of the coherent receiver (XI, XQ, YI, YQ).

DSO-X 93204A) is employed to perform the analog-to-digital conversion at a sampling rate of 40 GSa/s. The sampled signals  $(I_{PD1}, I_{PD2}, I_{PD3} \text{ and } I_{PD4})$  are processed off-line in a computer with the proposed DSP algorithms developed to recover the two 16-QAM upstream vector signals, which is discussed in Section II.

Note that the time delays of Channel A and Channel B should be equal. To evaluate the relative time delay between Channel A and Channel B, a CW light wave which is intensity modulated by an electrical pulse is sent through a  $1 \times 2$  coupler into Channel A and Channel B. Then, the light waves from the two channels are polarization multiplexed at the PBC, sent to a coherent receiver and polarization de-multiplexed at the PBS inside the coherent receiver. A real-time oscilloscope (Agilent DSO-X 93204A) is employed to monitor the four signals at the outputs of the coherent receiver. Fig. 3 shows the temporal waveforms of the four signals. As can be seen, the relative time delays between XI and XO, YI and YO are small and can be ignored. However, the relative time delay between Channel A and Channel B is about 14.625 ns (585/40e9 s) which is large and cannot be ignored. In order to recover the two 16-QAM upstream microwave vector signals (signal 1 and signal 2), the relative time delay between Channel A and Channel B is controlled precisely identical by the DSP unit. In addition, the length differences of the cables at

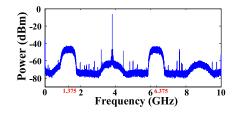


Fig. 4. Spectra of the signals at the second output port of the coherent receiver  $(I_{PD2})$ .

the outputs of the coherent receiver between the four paths are controlled within 1 mm.

Fig. 4 shows the spectrum at the second output of the coherent receiver  $(I_{PD2})$ , which contains 16-QAM upstream microwave vector signal 1 and 16-QAM downstream microwave vector signal 1. Due to the frequency difference between the transmitter laser source and the LO laser source, the center frequencies of the transmitted microwave vector signals are changed at the outputs of the coherent receiver which can be seen in Fig. 4. The detected microwave vector signals (the signals with the center frequencies of 1.375 and 6.375 GHz) at the second output of the coherent receiver are the mixing products of the 2.5-GHz transmitted microwave vector signals and the 3.875-GHz electrical carrier whose frequency is just the frequency difference between the two laser sources. In addition, the spectra of 16-QAM upstream microwave vector signal 1 and 16-QAM downstream microwave vector signal 1 are completely overlapped. Figs. 5 and 6 show the spectra of the recovered 16-QAM upstream microwave vector signal 1 and 16-QAM upstream microwave vector signal 2 at the output of the DSP unit. The center frequencies of the detected signals at the outputs of the coherent receiver are recovered to their original center frequencies (2.5 GHz) after the processing, which demonstrate that the DSP algorithm cancels the frequency difference successfully.

To evaluate the transmission performance of the system, first we measure the EVMs for the recovered two 16-QAM upstream

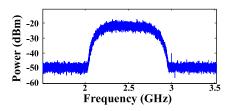


Fig. 5. Spectrum of the recovered 16-QAM upstream microwave vector signal 1 at the output of the DSP unit.

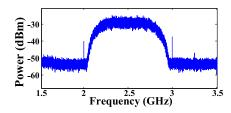


Fig. 6. Spectrum of the recovered 16-QAM upstream microwave vector signal 2 at the output of the DSP unit.

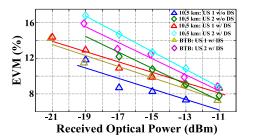


Fig. 7. EVM measurements at different received optical power levels for the 16-QAM upstream microwave vector signal 1 and the 16-QAM upstream microwave vector signal 2. US 1: upstream signal 1, US 2: upstream signal 2, DS: downstream signal, BTB: back to back.

vector signals versus the received optical power which is shown in Fig. 6 (Here, the received optical power refers to the optical power at the output of PC5). Three different situations are considered: first, the reused optical light is a pure optical carrier (fiber length: 10.5 km); second, the reused optical light is intensity-modulated by a downstream microwave vector signal (fiber length: 0 km), and third, the reused optical light is intensity-modulated by a downstream microwave vector signal (fiber length: 10.5 km). As can be seen in Fig. 7, the power penalty caused by the wavelength reuse is about 3.4 dB for upstream signal 1 and it is around 2 dB for upstream signal 2, while the power penalty caused by the fiber transmission is around 1 dB. For the third situation, the constellations of the two recovered 16-QAM microwave vector signals are shown in Fig. 8. It can be seen that when the received optical power (Here, the received optical power refers to the optical power at the output of PC5) is -11 dBm, the constellations are quite clear for both upstream signals. The corresponding estimated BERs as a function of the received optical power are also calculated, which are shown in Fig. 9. Here, the noise after the digital PNC module is assumed as a stationary random process with Gaussian statistics. Thus, we can calculate the BERs of M-ary QAM

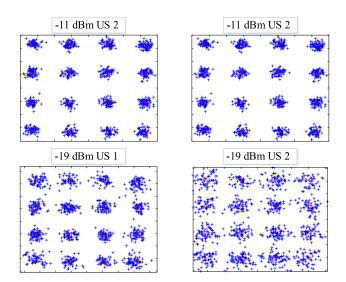


Fig. 8. Constellations diagrams of the two recovered 16-QAM upstream microwave vector signals at the output of the DSP unit. US 1: upstream signal 1, US 2: upstream signal 2.

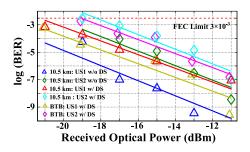


Fig. 9. Estimated BERs at different received optical power levels for the 16-QAM upstream microwave vector signal 1 and the 16-QAM upstream microwave vector signal 2. US 1: upstream signal 1, US 2: upstream signal 2, DS: downstream signal, BTB: back to back.

from the EVMs based on the relationship given by

$$\overline{P}_{M-QAM} = \frac{2}{\log_2 M} \left( 1 - \frac{1}{\sqrt{M}} \right) \\ \times \operatorname{erfc} \left( \sqrt{\frac{3}{2(M-1)} \times SNR} \right)$$
(15)

with

$$SNR = 1/EVM^2 \tag{16}$$

where erfc () denotes the complementary error function and SNR is signal-to-noise ratio [16]–[19].

When the received optical power is only -19 dBm, the BERs of the two recovered upstream signals are still less than  $3 \times 10^{-3}$ . By using the FEC technique, error-free transmission can be achieved [20], [21] (The FEC technique can be applied to improve a raw BER of up to  $3 \times 10^{-3}$  to an effective BER of  $1 \times 10^{-15}$ , at the expense of a 6.7% overhead [21]).

As can be seen in Fig. 9, the transmission performance (BERs) of 16-QAM upstream microwave vector signal 1 is better than that of 16-QAM upstream microwave vector signal 2. The reason is that the link loss of Channel A is less than that of Channel B.

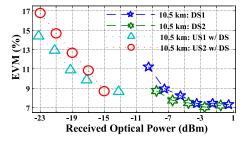


Fig. 10. EVMs at different received optical power levels for the two 16-QAM downstream signals and the two 16-QAM upstream signals. US 1: upstream signal 1, US 2: upstream signal 2, DS: downstream signal, DS 1: downstream signal 1, DS 2: downstream signal 2.

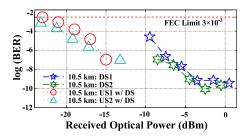


Fig. 11. Estimated BERs at different received optical power levels for the two 16-QAM downstream signals and the two 16-QAM upstream signals. US 1: upstream signal 1, US 2: upstream signal 2, DS: downstream signal, DS 1: downstream signal 1, DS 2: downstream signal 2.

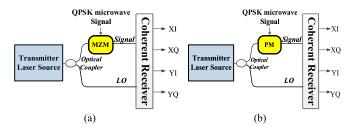


Fig. 12. Schematic diagrams of (a) an IM/CD link and (b) a PM/CD link without digital PNC unit.

Second, we measure the EVMs versus the received optical power for the two 16-QAM downstream signals, which is shown in Fig. 10. In Fig. 10, the received optical power for downstream signal 1 and downstream signal 2 refer to the optical power at the inputs of the corresponding PDs. While the received optical power for upstream signal 1 and upstream signal 2 refers to the received optical power after the PC5 from Channel A and Channel B, respectively. It can be seen in Fig. 10, since coherent detection is used for the upstream signals, the receiver sensitivity for the uplink is much better than that for the downlink. Fig. 11 shows the corresponding estimated BERs as a function of the received optical power.

To verify the effectiveness of the proposed PNC technique which has been discussed in Section II, we also measure the constellations of the detected signals for an intensity-modulation coherent detection (IM/CD) link and a phase-modulation coherent detection (PM/CD) link without a digital PNC module. The experiment setups are shown in Fig. 12(a) and (b). Here, the microwave vector signal for both setups is a QPSK signal with

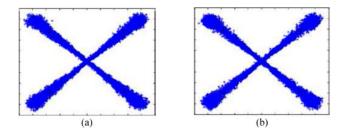


Fig. 13. Constellations of the detected QPSK microwave vector signals. (a) The IM/CD link without digital PNC unit, (b) the PM/CD link without digital PNC unit.

a center frequency of 2.5 GHz and a symbol rate of 625 MSymbol/s. The linewidth of the laser source is 100 KHz. Since the optical signals for the signal port and LO port of the coherent receiver come from the same laser source, the frequency difference between the transmitter laser source and LO laser source does not exist. So the detected signals at the outputs of the coherent receiver are affected only by the phase noise. Fig. 13(a) and (b) shows the constellations of the output signals at the XI ports of the coherent receivers in Fig. 13(a) and (b). As can be seen, the quality of the recovered QPSK microwave vector signals is very poor. Therefore, the clear constellations in Fig. 8 demonstrate the effectiveness of the proposed PNC technique.

## **IV. DISCUSSIONS**

In order to have a better understanding the characteristics of the proposed scheme, a comparison (complexity vs. performance) is made between the proposed scheme and other schemes for colorless operation, which is shown in Table I. As can be seen, although the coherent receiver is expensive, it is installed in the OLTs, so it will be shared by multiple ONUs, which may reduce the cost of the entire system. In addition, coherent detection can provide very high receiver sensitivity which may reduce the number of the EDFAs required in the system. So the proposed scheme is a viable solution for the implementation of symmetrical radio over WDM-PON system.

## V. CONCLUSION

A symmetrical radio over colorless WDM-PON based on polarization multiplexing and coherent detection incorporating digital PNC was proposed and experimentally demonstrated. For upstream transmission, wavelength reuse was employed, thus colorless WDM-PON was enabled. The wavelength reuse was achieved with the help of coherent detection, to eliminate the impact from the downstream signals. In addition, the symmetry of the network was achieved by using polarization multiplexing for both the downstream and upstream signals, thus the spectral efficiency was also increased. Furthermore, coherent detection with DSP for upstream transmission also increased the receiver sensitivity. The proposed symmetrical radio over colorless WDM-PON was evaluated experimentally. With the proposed DSP algorithms, two 16-QAM upstream signals modulated on a reused wavelength carrying one of the two downstream signals and with phase noises from the transmitter laser source and the LO laser source were successfully recovered.

TABLE I COMPARISON OF DIFFERENT SCHEMES FOR COLORLESS ONUS

Scheme	Bit rate	Advantages	Disadvantages	Complexity
Spectrum slicing LED [1]	<155 Mb/s	Cheap, no seed needed	Poor scalability and reach	Low
Injection locked FP-LD [3]	>2.5 Gb/s	Inexpensive, relatively high bit rate	Non-standard FP-LD may be needed	Medium
RSOA with re-modulation [8]–[10]	>2.5 Gb/s	Relatively high bit rate, no seed source	Downstream modulation index is limited	Medium
Tunable laser [2]	> 10  Gb/s	No seed needed, high bit rate	Too expensive, wavelength assignment algorithm is needed	Very complex
Our system	> 10  Gb/s	High receiver sensitivity, no seed needed, high bit rate	Expensive coherent receiver is needed in the OLT	Medium

In the experiment, the transmission of two 2.5-Gb/s 16-QAM downstream microwave signals and two 2.5-Gb/s 16-QAM upstream microwave signals over a 10.5-km SMF was experimentally demonstrated.

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