

# A Logic Programming Approach to Implementing Higher-Order Narrowing

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**Abstract** We present the implementation of higher-order narrowing in the framework of  $\lambda$ Prolog. The work illustrates the strength of the language by presenting how  $\lambda$ -terms and types embed in  $\lambda$ Prolog extend the concept of logic programming.

We specify variables to be solved by variables bound at the meta-level and directly apply the meta-level unification via these meta-level variables. The main contribution of this work is that we at the same time successfully encode operational behavior of these meta-level solvable variables at the object-logic. This approach frees the programmer from implementing most of the computations and as a result significantly simplifies the implementation problem from the programmer's point of view. Our work is the first concrete implementation of higher-order narrowing.

We finally state that the adaptability of our techniques requires a meta-level system that supports  $\lambda$ -abstraction, types, polymorphic typing, implications and universal quantification in goals and the body of clauses.

## 1 Introduction

Logic plays an important role in specification of formal systems by its simplicity and generality. By the discovery of the unification process and its exploitation in reasoning, new language design strategies based on first-order logic have been proposed. Prolog is a very simple engine whose inference mechanism is based on backchaining. Subsequent efforts have extended these strategies to more powerful meta-level systems such as  $\lambda$ Prolog [1] and  $L_\lambda$ , a fragment of  $\lambda$ Prolog [2], which are built over higher-order structures. Computational characteristics of syntactic structures are generalized and direct meta-level support is provided in  $\lambda$ Prolog and  $L_\lambda$ . As a result, manipulation of these structures

is simplified compared to other programming languages such as Pascal, Prolog and ML [2]. For example, implementation of a proof system based on natural deduction is easy in  $\lambda$ Prolog since quantification logic can be supported naturally by using the higher-order features of  $\lambda$ Prolog [1]. Certain restrictions are placed on functional variables for ensuring the computability of a most general unifier in  $L_\lambda$ . Compared to interpreters for Horn clauses,  $L_\lambda$  has a more complex computational mechanism [2]. This feature is due to the enhancement of Horn logic by allowing implications and universal quantifiers within goal formulas.

On the other hand, the unification mechanism is exploited in rewriting for the computation of answers as in Prolog. This technique is known as *narrowing*. It has recently been suggested to extend the narrowing strategy over higher-order structures to provide a more powerful meta-level system [3, 4].

The idea to implement equational reasoning tools on high-level meta-level systems is not new [5]. The implementation of higher-order term rewriting in a tactic style theorem proving is considered in [6]. The main difference between our research and previous work is the following ideas, which are applied to the implementation of higher-order narrowing in  $\lambda$ Prolog:

Operational behavior of solvable variables is specified by meta-level existentially quantified variables. With this idea, instead of implementing our own unification procedure at the object-logic, which is the most important part, we directly apply  $L_\lambda$ 's unification mechanism via these meta-level solvable variables. The instances provided by the meta-level system  $L_\lambda$  are the solution values.

As a result of the idea above, the implementation problem is simplified from the programmer's point of view.

- We encode the meta-level solvable variables by a special constructor “*evan*” at the object-level and develop the technicalities around the idea of preserving the encoding mechanism.

By using the idea of encoding, we restrict search space values for the meta-level solvable variables. The reason is to rule out infinite vacuous instances of them, which block the computation of desired results. We therefore improve the inference engine for the application.

According to our knowledge, there is no concrete implementation of higher-order narrowing. Indeed, programming techniques for implementing  $\lambda$ Prolog can also be used for implementing higher-order narrowing. But this approach highly complicates the problem because of the reasons mentioned above.

The adaptation of higher-order narrowing is described in Section 2. The technical details about the encoding mechanism are presented in Section 3. The complete code for the implementation is given in Section 4 and Appendix.

## 2 Preliminaries

**Definition 2.1** Let *term* and *string* be base types where *string* contains strings and *term* contains the terms called *object-level terms*. Let  $\Sigma_0$  be the signature

$app : term \rightarrow term \rightarrow term,$

$cons : string \rightarrow term,$

$la : (term \rightarrow term) \rightarrow term,$

$rule : term \rightarrow term \rightarrow term,$

$some : (term \rightarrow term) \rightarrow term,$

$all : (term \rightarrow term) \rightarrow term,$

$eq : term \rightarrow term \rightarrow term.$

*cons*, *app*, *la*, *eq*, *rule* are used to specify respectively constants, application,

abstraction, query and rewrite rule. *T*- and *t*-formulas respectively represent higher-order and first-order terms.

$C ::= cons\ s \mid app\ C\ t \mid (C)$

$t ::= F \mid C \mid (t)$

$T ::= F \mid x \mid cons\ s \mid app\ T\ T \mid la\ \lambda x. T \mid (T)$

$R ::= rule\ t\ t \mid all\ \lambda F. R$

$Q ::= eq\ T\ T \mid some\ \lambda F. Q.$

*s* denotes strings. In order not to lead to any confusion with meta-level quantified variables, *F* variables in *Q*- and *R*-formulas are called *object-level quantified variables*. *Object-level existentially quantified variables* specify variables to be solved. It is assumed that arguments of object-level existentially quantified variables can only be bound variables.

**Example 2.1** The object-level term

$$some\ \lambda F. eq\ (la\ \lambda x. (app\ F\ x)) \\ (la\ \lambda x. (app\ (cons\ "f")\ x))$$

represents the query  $\lambda x. (X\ x) = ? \lambda x. (f\ x)$  where the free variable *X* is represented by the object-level existentially quantified variable *F*.

**Note:** It is assumed that *u* and *t* denote *T*-formulas.

**Definition 2.2** Subterms in *T*-formulas are defined in the following manner:

- $t_e = t,$
- $(la\ \lambda x. t)_{i,p} = t_{i,p},$
- $(app..(app\ (cons\ s)\ t_1)...t_n)_{i,p} = t_{i,p}$  for  $1 \leq i \leq n,$
- $(app...(app\ x\ t_1)...t_n)_{i,p} = t_{i,p}$  for  $1 \leq i \leq n.$

**Note:**  $t[u]_p$  is the result of replacing  $t_{i,p}$  in *t* by *u*.

In Definition 2.2, we intentionally ignore the positions within the formulas

$$(app...(app\ F\ x_1)...x_n)$$

since we do not apply narrowing steps to  $x_1...x_n$ .

**Definition 2.3** *M*-formulas called *constant terms* are defined in the following manner:

$$M ::= cons\ s \mid app\ M\ T.$$

*T* denotes *T*-formulas and *s* denotes strings and the name of constant terms.

Definition 2.4 and Definition 2.5 are respectively the adaptations of the higher-order lifting and narrowing procedures given in [4].

$Qu$  is used to denote the list of meta-level quantifiers in  $\lambda$ Prolog [2] and  $[Qu, P]$  is a meta-level predicate stating that the object-level term  $P$  is in the context  $Qu$ .

**Note:**  $nil$  denotes empty lists.

**Definition 2.4** Let  $X_1, X_2, \dots, X_n$  be all the object-level universally quantified variables of a rewrite rule. Let  $y_1, y_2, \dots, y_k$  be distinct bound variables and  $H_1, H_2, \dots, H_n$  be distinct new meta-level existentially quantified variables whose quantifiers are appended to the end of  $Qu$  ( $Qu$  is the list of meta-level quantifiers in  $L_\lambda$ ). *Higher-order lifting of the rewrite rule over  $y_1, \dots, y_k$*  is the result of replacing all occurrences of  $X_i$  by  $H_i y_1 \dots y_k$ .

In the following higher-order narrowing procedure, for a given query, the object-level variables that are used to specify the solvable variables are replaced with meta-level existentially quantified variables in order to apply directly  $L_\lambda$ 's unification mechanism. After the query is solved, the instances computed by the inference system are the solution values we expect for these object-level solvable variables.

**Note:**  $\overline{app} u \bar{t}$  denotes  $(app \dots (app u t_1) \dots t_n)$  in Definition 2.5.

**Definition 2.5** Let  $P$  denote a closed  $Q$ -formula and  $P'$  be the formula to which higher-order narrowing steps are applied.  $[Qu', P']$  is obtained from  $[Qu, P]$  according to the rule below such that  $P'$  is the result of replacing all the object-level existentially quantified variables in  $P$  with meta-level existentially quantified variables:

Let  $Qu_1$  be  $Qu$ . If  $[Qu_i, some \lambda F.Q]$  ( $1 \leq i \leq n$ ) then  $[Qu_{i+1}, \omega_i(Q)]$  where  $Qu_{i+1} = Qu_i \exists Y$  ( $Y$  is not bound in  $Qu_i$ ) and for each subterm  $\overline{app} F \bar{x}$  in  $Q$ ,  $\langle \overline{app} F \bar{x}, Y \bar{x} \rangle \in \omega_i$  (or for each subterm  $F$  in  $Q$ ,  $\langle F, Y \rangle \in \omega_i$ ).

**Note:**  $\omega_i(Q)$  is obtained by replacing  $a$  in  $Q$  with  $b$  for each  $\langle a, b \rangle \in \omega_i$ .

**Example 2.2** We will present the solution of the query

*some*  $\lambda F.$   
 $eq (la \lambda x.(app (app (cons "+")(app F x)) x))$   
 $(la \lambda x.(app (cons "succ") x))$

in the presence of the following rules:

- *all*  $\lambda X.$   
 $rule (app (app (cons "+") (cons "zero")) X)$   
 $X.$
- *all*  $\lambda X.all \lambda Y.rule$   
 $(app (app (cons "+") (app (cons "succ") X)) Y)$   
 $(app (cons "succ") (app (app (cons "+") X) Y)).$

### step 1

The object-level existentially quantified variable  $F$  is replaced with the meta-level existentially quantified variable  $Z$ .

$[nil, some \lambda F.$   
 $eq (la \lambda x.(app (app (cons "+")(app F x)) x))$   
 $(la \lambda x.(app (cons "succ") x))]$   
 $\langle nil \rangle$

leads to

$[\exists Z, eq (la \lambda x.(app (app (cons "+")(Z x)) x))$   
 $(la \lambda x.(app (cons "succ") x))]$   
 $\langle Z \rangle$

**Note:**  $\langle \dots \rangle$  denotes the ordered list containing instances of solvable variables in the same order their quantifiers appear in  $Q$ -formulas.

### step 2

A narrowing step is applied to the subterm

$(app (app (cons "+")(Z x)) x)$

with the second rule. The lifting procedure is applied to the second rule over  $x$ .

$[\exists H_1 \exists H_2, rule$   
 $(app (app (cons "+") (app (cons "succ")(H_1 x)))(H_2 x))$   
 $(app (cons "succ")(app (app (cons "+")(H_1 x))(H_2 x)))]$

### step 3

The resultant term after the narrowing step:

$[\exists H_1, eq$   
 $(la \lambda x.(app (cons "succ")$   
 $(app (app (cons "+")(H_1 x)) x))]$   
 $(la \lambda x.(app (cons "succ") x))]$

$$\langle \lambda x. (app (cons \text{"succ"}) (H_1 x)) \rangle$$

where  $Z$  is substituted by

$$\lambda x. (app (cons \text{"succ"}) (H_1 x)).$$

#### step 4

A narrowing step is applied to the subterm

$$(app (app (cons \text{"+"}) (H_1 x)) x)$$

with the first rule. The lifting procedure is applied to the first rule over  $x$ .

$[\exists H_3, \text{rule}$

$$(app (app (cons \text{"+"}) (cons \text{"zero"})) (H_3 x)) \\ (H_3 x)]$$

#### step 5

The resultant term after the narrowing step:

$$[nil, eq (la \lambda x. (app (cons \text{"succ"}) x)) \\ (la \lambda x. (app (cons \text{"succ"}) x))]$$

$$\langle \lambda x. (app (cons \text{"succ"}) (cons \text{"zero"})) \rangle$$

where  $H_1$  is substituted by  $\lambda x. (cons \text{"zero"})$ .

#### step 6

Finally the result

$$[nil, eq (la \lambda x. (app (cons \text{"succ"}) x)) \\ (la \lambda x. (app (cons \text{"succ"}) x))]$$

yields the formula  $[nil, \mathbf{T}]$ .

**Note:**  $\mathbf{T}$  denotes tautologies.

**Final result:**

$$[nil, \mathbf{T}] \\ \langle \lambda x. (app (cons \text{"succ"}) (cons \text{"zero"})) \rangle$$

In general, a given query

$$[nil, \text{some } \lambda F_1 \dots \text{some } \lambda F_n. Q]$$

$$\langle nil \rangle$$

results in

$$[nil, \mathbf{T}]$$

$$\langle t_1 \dots t_n \rangle$$

where  $t_1 \dots t_n$  are respectively the solution values for  $F_1 \dots F_n$ .

### 3 Implementation details

Below we present several examples of  $\lambda$ Prolog programs. The symbol  $\Rightarrow$  denotes implication,  $:-$  denotes its reverse.  $\backslash$  denotes

$\lambda$ -abstraction and  $\pi$  along with a  $\lambda$ -abstraction denotes universal quantification. Upper case letters are assumed to be universally quantified. The symbol  $o$  in type expressions denotes the predicate types.

**Example 3.1** The program below specifies the computation of all subterms within closed  $T$ -formulas.

**Note:** Closed  $T$ -formulas denote the  $T$ -formulas that do not contain any quantified variable.

type  $fst \text{ term} \rightarrow \text{term} \rightarrow \text{term} \rightarrow o$ .

$fst (cons T) (cons T) \text{ context}$ .

$fst (app T1 T2) (app K1 T2) \text{ context} :-$   
 $fst T1 K1 \text{ context}$ .

$fst (app T1 T2) Z (app L1 T2) :-$   
 $fst T1 Z L1, L1 = (app \_ \_)$ .

$fst (app T1 T2) Z (app T1 L2) :- fst T2 Z L2$ .

$fst (la T) (la Z) (la L) :- \pi c (fst (T c) (Z c) (L c))$ .

**Lemma 3.1** Let  $s$  be a closed  $T$ -formula. The goal  $\exists Z \exists L \text{fst } s \ Z \ L$  produces the results for all the constant terms  $s/p$  such that  $Z$  and  $L$  are respectively substituted by

$$la y_1 \backslash \dots \backslash la y_n \backslash s/p \text{ and } s[\text{context}]_p$$

where  $y_1 \backslash \dots \backslash y_n \backslash$  are all the  $\lambda$ -abstractions in  $s$  covering  $p$ .

The constant  $\text{context}$  of the type  $\text{term}$  is used to denote the position to which replacement mechanism is applied in a higher-order narrowing step. After we apply the lifting procedure (see Definition 2.4) to a rewrite rule over  $y_1 \backslash \dots \backslash y_n \backslash$ , we unify  $s/p$  with the left hand side of the rule. Then,  $\text{context}$  in  $s[\text{context}]_p$  will be replaced with the right hand side.

**Note:**  $\{x \rightarrow x'\}s$  denotes that all  $x$  in  $s$  are replaced with  $x'$ . The expression  $\Sigma ; \Gamma \vdash_{\Gamma} G$  denotes *intuitionistic provability* of goal  $G$  from signature  $\Sigma$  and program  $\Gamma$  [2].

**The Proof of Lemma 3.1:** The proof is by induction.

Basis step:

CASE 1: when  $s$  is a variable, it should be a bound variable since the assumption. The expression fails.

CASE 2:  $s$  is a constant term. If it is of the form  $cons\ c$ , the expression is satisfied by backchaining with the first formula. If it is of the form  $app\ s_1\ s_2$ , the expression is satisfied by backchaining iteratively with the second formula until it is reduced to the form  $cons\ c$ . For the both forms,  $Z$  and  $L$  are respectively substituted with  $s$  and  $context$ .

Inductive step:

CASE 1:  $s$  is  $la\ x\ s_1$ . The resultant expression

$$\Sigma \cup \{x': term\}; \Gamma \vdash fst (\{x \rightarrow x\} s_1)$$

$$\begin{array}{c} (Z_1\ x') \\ (L_1\ x') \end{array}$$

is computed by backchaining with the fifth formula where  $Z$  and  $L$  are respectively substituted with  $la\ Z_1$  and  $la\ L_1$ . We apply induction hypothesis and the proof for this case is concluded.

CASE 2:  $s$  is  $app\ s_1\ s_2$ . The resultant expression  $\Sigma; \Gamma \vdash fst\ s_2\ Z\ L_1$  is computed by backchaining with the fourth formula where  $L$  is substituted with  $app\ s_1\ L_1$ . Backchaining with the fourth formula is used to apply  $fst$  procedure an argument of  $s$ . In order to successively apply  $fst$  procedure to the all arguments of  $s$ , backchaining with the third formula is used. We apply induction hypothesis to conclude the case. ■

**Example 3.2** We will present a narrowing step applied to the subterm

$$(app\ (app\ (cons\ "+" )\ (cons\ "zero"))\ x)$$

of the  $T$ -formula

$$(la\ \lambda x.(app\ (app\ (cons\ "+" )\ (app\ (app\ (cons\ "+" )\ (cons\ "zero"))\ x))\ x))$$

in the presence of the following rule:

$$\begin{array}{l} all\ \lambda X. \\ rule\ (app\ (app\ (cons\ "+" )\ (cons\ "zero"))\ X) \\ X. \end{array}$$

**step 1**

$fst$  procedure is applied to the  $T$ -formula to compute

$$(la\ \lambda x.(app\ (app\ (cons\ "+" )\ (cons\ "zero"))\ x))$$

and

$$(la\ \lambda x.(app\ (app\ (cons\ "+" )\ context)\ x)).$$

**step 2**

The lifting procedure is applied to the rule over  $x$ .

$$\begin{array}{l} [\exists H_1, rule \\ (la\ \lambda x.(app\ (app\ (cons\ "+" )\ (cons\ "zero")) \\ (H_1\ x))) \\ (la\ \lambda x.(H_1\ x))] \end{array}$$

**step 3**

The subterm

$$(la\ \lambda x.(app\ (app\ (cons\ "+" )\ (cons\ "zero"))\ x))$$

and the left hand of the rule

$$(la\ \lambda x.(app\ (app\ (cons\ "+" )\ (cons\ "zero"))\ (H_1\ x)))$$

are unified where  $H_1$  is substituted by  $\lambda x.x$ .

**step 4**

$context$  in

$$(la\ \lambda x.(app\ (app\ (cons\ "+" )\ context)\ x))$$

is replaced with the right hand side of the rule.

**resultant term:**

$$(la\ \lambda x.(app\ (app\ (cons\ "+" )\ x)\ x)).$$

When we deal with meta-level solvable variables at the object-level, we should specify their operational behavior at the object-logic. We do this specification by restricting their solution values. In the rest of this section, we will present a clear account for the special treatment of these meta-level solvable variables and the technicalities around that idea. For example, when  $s$  in Example 3.1 contains meta-level existentially quantified variables, they are vacuously unified with object-level terms within the programming formulas during the proof (see Example 3.3).

**Example 3.3** In the proof of the expression,

$$\Sigma; \Gamma \vdash fst$$

$$(la\ x\ \forall a\ y\ \lambda (app\ (app\ (cons\ "+" )\ (Z\ y))\ x))\ K\ L$$

( $K, L, K', L', Z$  are meta-level existentially quantified variables), the following expression is computed:

$$\Sigma \cup \{x': term, y': term\}; \Gamma \vdash fst\ (Z\ y)\ K'\ L'$$

During the proof of the goal  $\text{fst } (Z \ y') \ K' \ L'$ , the variable  $Z$  is vacuously instantiated with the infinite sequence

$$\begin{aligned} & y \backslash (\text{app } (\text{cons } F_1) F_2), \\ & y \backslash (\text{app } (\text{app } (\text{cons } F_1) F_2) F_3), \\ & \dots \\ & \dots \\ & y \backslash (\text{app } \dots (\text{app } (\text{cons } F_1) F_2) \dots F_n), \\ & \dots \\ & \dots \end{aligned}$$

where  $F_1, F_2, \dots, F_n$  are meta-level existential variables. Because of the depth first search strategy of  $\lambda$ Prolog,  $Z$  is instantiated with these vacuous values in an infinite loop and other cases are not considered. This is the case that blocks the computation of desired results.

*Position tree* introduced in Definition 3.1 is a subsidiary structure, which is used to eliminate the case described above by preventing these infinite vacuous instantiations of meta-level solvable variables.

**Definition 3.1** Let  $s$  be an object-level term over the constructors  $\text{app}$ ,  $\text{la}$ ,  $\text{cons}$ ,  $\text{rule}$ ,  $\text{eq}$  which may contain meta-level existentially quantified variables. If  $s$  is converted to a binary tree  $t$  whose nodes are defined over the constructors  $n2$ ,  $n1$ ,  $\text{nil}$ , and  $\text{evar}$  by an information erasing mapping such that

- The two-argument constructors  $\text{app}$ ,  $\text{rule}$ ,  $\text{eq}$  are mapped to the node  $n2$  with degree 2,
- The one-argument constructor  $\text{la}$  along with a  $\lambda$ -abstraction is mapped to the node  $n1$  with degree 1,
- The constructor  $\text{cons}$  and bound variables are mapped to the node  $\text{nil}$  with degree 0,
- The subterms  $Z \ y_1 \dots y_n$  are mapped to the nodes  $\text{evar } Z'$ , which denote the existence of a meta-level solvable variable in the current position ( $Z$  and  $Z'$

are meta-level existentially quantified variables),

$t$  is called *the position tree* or briefly *position* of  $s$  and denoted by  $(s)^*$ .

The types are assigned to the constructors  $n2$ ,  $n1$ ,  $\text{nil}$ ,  $\text{evar}$  as follows:

$$\begin{aligned} n2 & : \text{term} \rightarrow \text{term} \rightarrow \text{term}, \\ n1 & : \text{term} \rightarrow \text{term}, \\ \text{nil} & : \text{term}, \\ \text{evar} & : A \rightarrow \text{term}. \end{aligned}$$

$A$  denotes type variables (polymorphic types) and is universally quantified with a type quantifier around the type declaration.

#### Example 3.4

$$(n1(n1(n2(n2 \text{nil } (\text{evar } Z_1)) \text{nil})))$$

is the position tree of

$$(\text{la } x \backslash \text{la } y \backslash (\text{app } (\text{app } (\text{cons } "+" ) (Z \ y)) x)).$$

The subterm  $(Z \ y)$  here is encoded with the node  $(\text{evar } Z_1)$ . We always guarantee the following case for preserving the mapping by using some techniques, which will be given later: when the variable  $Z$  is substituted with  $y \backslash t$ , we also substitute the variable  $Z_1$  with  $(t)^*$  and eliminate the  $\text{evar}$ .

By using the notion of position tree, we update the program  $\text{fst}$  in the following way.

$$\begin{aligned} \text{type } \text{fst } & \text{term} \rightarrow \text{term} \rightarrow \text{term} \rightarrow \\ & \text{term} \rightarrow \text{term} \rightarrow \text{term} \rightarrow o. \\ \text{fst } (\text{cons } T) (\text{cons } T) \text{ context } & (\text{nil}) (\text{nil}) \text{ context}. \\ \text{fst } (\text{app } T_1 T_2) (\text{app } K_1 T_2) \text{ context} & \\ (n2 \ N_1 \ N_2) (n2 \ M_1 \ N_2) \text{ context} & :- \\ \text{fst } T_1 \ K_1 \text{ context } \ N_1 \ M_1 \text{ context} & . \\ \text{fst } (\text{app } T_1 T_2) Z (\text{app } L_1 T_2) & \\ (n2 \ N_1 \ N_2) M (n2 \ Q_1 \ N_2) & :- \text{fst } T_1 \ Z \ L_1 \ N_1 \ M \ Q_1, \\ L_1 = (\text{app } \_ \_), Q_1 = (n2 \ \_ \_) & . \\ \text{fst } (\text{app } T_1 T_2) Z (\text{app } T_1 L_2) & \\ (n2 \ N_1 \ N_2) M (n2 \ N_1 \ Q_2) & :- \text{fst } T_2 \ Z \ L_2 \ N_2 \ M \ Q_2. \\ \text{fst } (\text{la } T) (\text{la } Z) (\text{la } L) (n1 \ N) (n1 \ M) & (n1 \ Q) :- \\ \text{pi } c(\text{fst } (T \ c) (Z \ c) (L \ c) \ N \ M \ Q). & \end{aligned}$$

**Lemma 3.2** Let  $s$  be an object-level term over the constructors  $\text{app}$ ,  $\text{la}$ ,  $\text{cons}$  which may contain variables existentially quantified at the meta-level.

For all  $p$ , ( $p$  denotes the positions of the constant terms in  $s$  and  $y_1 \setminus \dots \setminus y_n \setminus$  are all the  $\lambda$ -abstractions in  $s$  covering  $p$ ), the goal

$$\exists Z \exists L \exists Z' \exists L' \text{fst } s \ Z \ L \ (s)^* \ Z' \ L'$$

is satisfied where  $Z, L$  are respectively substituted by  $la \ y_1 \setminus \dots \setminus la \ y_n \setminus s/p, s[\text{context}]_p$  and then the goal terminates.

**Note:**  $Z'$  and  $L'$  are respectively substituted by the position trees of  $la \ y_1 \setminus \dots \setminus la \ y_n \setminus s/p$  and  $s[\text{context}]_p$ .

### The Proof of Lemma 3.2:

The proof is similar to that of Lemma 3.1. For the termination consider the case when  $s$  is of the form  $H \ y_1 \dots y_n$  where  $H$  is a meta-level existentially quantified variable. Assume that it is encoded by ( $\text{evar } H'$ ). The goal

$$\exists Z \exists L \exists Z' \exists L' \text{fst } (H \ y_1 \dots y_n) \ Z \ L \ (\text{evar } H') \ Z' \ L'$$

fails since it can not find any formula to backchain. The termination is guaranteed by the encoding mechanism. ■

**Example 3.5** In the proof of the expression,

$$\begin{aligned} \Sigma; \Gamma \vdash_I \text{fst } (la \ x \setminus la \ y \setminus (\text{app}(\text{app}(\text{cons } "+") \ (Z \ y)) \ x)) \\ K \ L \\ (n1(n1(n2(n2 \ \text{nil} \ (\text{evar } Z_1)) \ \text{nil}))) \\ M \ N \end{aligned}$$

( $K, L, M, N, Z, Z_1, K', L', M', N'$  are meta-level existentially quantified variables), the following expression is computed:

$$\Sigma \cup \{x' : \text{term}, y' : \text{term}\}; \Gamma \vdash_I \text{fst } (Z \ y') \ K' \ L' \\ (\text{evar } Z_1) \ M' \ N'$$

The variable  $Z$  here will not be vacuously instantiated as in Example 3.3 because the expression will be failed by the existence of  $\text{evar}$  in the goal. Here, we should make the point clear. The reason for encoding the meta-level variable  $Z$  with  $\text{evar}$  is **not** that we want to specify the computation of non-variable subterms that will be unified with the left side of a rewrite rule. The reason is exactly to eliminate the infinite vacuous instantiations of  $Z$ , which were described in Example 3.3.

The correctness of the implementation depends on the preservation of the mapping between original object-level terms and their position trees through unification and replacement processes in higher-order narrowing steps. These processes are applied in parallel to both original object-level terms and their position trees so that the preservation is guaranteed. For example, in  $\text{fst}$  program, we also compute the position trees of  $la \ y_1 \setminus \dots \setminus la \ y_n \setminus s/p$  and  $s[\text{context}]_p$  (see Lemma 3.2) in order to apply in parallel the same narrowing steps to  $(s)^*$  with the position tree of the same rewrite rule used for the narrowing step of  $s$ . We use a specialized unification algorithm for positional structures (see the program  $\text{up}$  in Appendix). The program  $\text{up}$  unifies positional structures ignoring the "evar". But after the unification, it is the case that some  $\text{evar}$  constructors encode terms different from meta-level solvable variables. See Example 3.6.

**Example 3.6** Let  $n1(\text{nil})$  and  $n1(\text{evar}(G))$  be respectively the positions of  $la \ \lambda x.x$  and

$$la \ \lambda x.(F \ x).$$

Unification is applied to the pair

$$\langle la \ \lambda x.x, la \ \lambda x.(F \ x) \rangle$$

by the expression  $la \ \lambda x.x = la \ \lambda x.(F \ x)$  and the specialized unification algorithm is simultaneously applied to the pair  $\langle la \ \lambda x.x, la \ \lambda x.(F \ x) \rangle$  by the expression

$$\Sigma; \Gamma \vdash_I \text{up } n1(\text{nil}) \ n1(\text{evar}(G)).$$

After the expressions are proved, the result is the following forms  $\langle la \ \lambda x.x, la \ \lambda x.x \rangle$  and

$$\langle n1(\text{nil}), n1(\text{evar}(\text{nil})) \rangle.$$

We should further eliminate the  $\text{evar}$  from  $n1(\text{evar}(\text{nil}))$  since it no longer encodes a meta-level solvable variable. After the elimination, the mapping between the second elements of these pairs still holds.

We need the following procedure, which is further applied to positional structures for preserving the mapping after their unification.

**Definition 3.2** All  $\text{evar}$  constructors whose occurrences in a position tree are of the form  $\text{evar } t$  where  $t$  is not a meta-level existentially

quantified variable are systematically eliminated by replacing *evar t* with *t*. The procedure is called *the mapping preserving procedure*.

In the application (see Section 4), after unification and replacement processes are applied in parallel to both original object-level terms and their position trees, the mapping preserving procedure is further applied to the position trees.

In the rest of this section, we will consider the implementation of the mapping preserving procedure, which is given in the following steps:

- a) Replacement of meta-level existential variables with object-level existential variables
- b) Elimination of all *evar* constructors
- c) Replacement of object-level existential variables with meta-level existential variables

The implementation of the technique given in the step a is based on the iterative applications of the following step where each application replaces one meta-level variable.

- A new object-level variable is added to the argument list of each of the remaining meta-level existential variables. Then, one of them is unified with this new object-level variable.

In the step given above, unification is exploited for the replacement process. A new object-level variable is added to the argument lists for ensuring that the unification be always successful. We will give the specification of the technique in the step a as follows:

type *rmo*, *rmo1 term*  $\rightarrow$  *term*  $\rightarrow$  *o*.  
type *rmo02 term*  $\rightarrow$  *term*  $\rightarrow$  *term*  $\rightarrow$  *o*.

*rmo1 (evar V)*      $\vee$    :- !.  
*rmo1 (n2 N \_)*    $\vee$    :- *rmo1 N V*, !.  
*rmo1 (n1 N)*      $\vee$    &  
*rmo1 (evar N)*    $\vee$    &  
*rmo1 (n2 \_ N)*    $\vee$    :- *rmo1 N V*.

*rmo02 (n1 N1) V (n1 N2)* :- *rmo02 N1 V N2*.  
*rmo02 nil \_ nil*.  
*rmo02 (evar N) V (evar (N V))* :- !.  
*rmo02 (evar N1) V (evar N2)* :- *rmo02 N1 V N2*, !.  
*rmo02 (evar N) \_ (evar N)*.  
*rmo02 (n2 N1 N2) V (n2 U1 U2)* :-  
                                  *rmo02 N1 V U1, rmo02 N2 V U2*.

*rmo Y (some Q)* :- pi c(*rmo02 Y c (Z c)*,  
                          *rmo1 (Z c) c, rmo (Z c) (Q c)*), !.  
*rmo Y Y*.

The formula *rmo02* is used for the addition of a new object-level variable to the argument list of each of the remaining meta-level existential variables. The formula *rmo1* is used to replace one meta-level existential variable with this object-level variable by exploiting unification.

In the formula

$$rmo02 (evar N) \vee (evar (N V)) :- !,$$

if the variable *N* is instantiated with a meta-level existential variable, the formula is satisfied since meta-level existential variables are of the type *A* (meaning that they are of any type). On the other hand, if the variable *N* is instantiated with an object-level term, the formula is failed since object-level terms are of the type *term*. By specifying meta-level existential variables being of any type, we place them in a more general category than that the object-level terms belong to.

**Example 3.7** We will present an application of the step a to the position tree

(*n1 (n2*  
          (*n2 nil (evar Z<sub>1</sub>)*)  
          (*n2*  
            (*n2 nil (evar Z<sub>1</sub>)*)  
            (*evar nil*))))).

We change the notation to the following

(*n1 (n2*  
          (*n2 nil (evar Z<sub>1</sub> : A)*)  
          (*n2*  
            (*n2 nil (evar Z<sub>1</sub> : A)*)  
            (*evar nil : term*))))).

in order to explicitly show how the type expressions have an effect on the resulting computations.

**Note:**  $\tau$  in the expression (*evar p :  $\tau$* ) denotes that *p* is of the type  $\tau$ . *Z<sub>1</sub>*, *Y<sub>1</sub>*, *Y<sub>2</sub>*, *Y<sub>3</sub>*, *U<sub>1</sub>*, *U<sub>2</sub>*, *U<sub>3</sub>*, *U<sub>4</sub>*, *U<sub>5</sub>* are meta-level existentially quantified variables.



**step 1**

$$\Sigma; \Gamma \vdash_I \text{rmo} \quad (\text{I})$$

$$\begin{array}{l} (n1 \ (n2 \\ \quad (n2 \ \text{nil} \ (\text{evar} \ Z_1 : A)) \\ \quad (n2 \\ \quad \quad (n2 \ \text{nil} \ (\text{evar} \ Z_1 : A)) \\ \quad \quad (\text{evar} \ \text{nil} : \text{term})))) \\ Y_1 \end{array}$$

leads to

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_I \quad (\text{II})$$

$$\text{rmo02} \ (n1 \ (n2 \\ \quad (n2 \ \text{nil} \ (\text{evar} \ Z_1 : A)) \\ \quad (n2 \\ \quad \quad (n2 \ \text{nil} \ (\text{evar} \ Z_1 : A)) \\ \quad \quad (\text{evar} \ \text{nil} : \text{term})))) \\ c_1 \ (U_1 \ c_1)$$

and

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_I \text{rmo1} \ (U_1 \ c_1) \ c_1 \quad (\text{III})$$

and

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_I \text{rmo} \ (U_1 \ c_1) \ (Y_2 \ c_1) \quad (\text{IV})$$

where  $Y_1$  is substituted with *some*  $Y_2$ .

**step 2**

By the proof of **II**  $U_1$  is substituted by

$$c_1 \backslash (n1 \ (n2 \ (n2 \ \text{nil} \ (\text{evar} \ (Z_1 \ c_1) : B)) \\ \quad (n2 \\ \quad \quad (n2 \ \text{nil} \ (\text{evar} \ (Z_1 \ c_1) : B)) \\ \quad \quad (\text{evar} \ \text{nil} : \text{term}))))$$

**Note:** We change the type variable from A to B in order to express the changing type. The proof of **II** induces the two proof expressions

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_I \text{rmo02} \ (\text{evar} \ Z_1 : A) \ c_1 \ U_2 \quad (\text{V})$$

and

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_I \text{rmo02} \\ (\text{evar} \ \text{nil} : \text{term}) \ c_1 \ U_3. \quad (\text{VI})$$

Notice that the expression **V** will backchain with

$$\text{rmo02} \ (\text{evar} \ N) \ V \ (\text{evar} \ (N \ V)) \ :- \ !.$$

whereas the expression **VI** will fail to backchain with that formula since *nil* is of the atomic type *term*. The type expressions enhance the computations.

**step 3**

By the proof of **III**  $Z_1$  is substituted with  $c_1 \backslash c_1$  and the value for  $U_1$  is changed to

$$c_1 \backslash (n1 \ (n2 \ (n2 \ \text{nil} \ (\text{evar} \ c_1 : \text{term})) \\ \quad (n2 \\ \quad \quad (n2 \ \text{nil} \ (\text{evar} \ c_1 : \text{term})) \\ \quad \quad (\text{evar} \ \text{nil} : \text{term}))))$$

Notice that the type is changed to *term*.

**Note:** The proof of **III** induces the expression

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_I \text{rmo1} \ (\text{evar} \ (Z_1 \ c_1) : B) \ c_1.$$

The formula

$$\text{rmo1} \ (\text{evar} \ V) \ V \ :- \ !.$$

occurs at the beginning of the program in order to guarantee that the expression will first backchain with that formula. Cut (!) is put in order to prevent backchaining with the other formula which leads a vacuous instantiation of  $Z_1$ .

**step 4**

The proof of **IV** induces to

$$\Sigma \cup \{c_1 : \text{term}\} \cup \{c_2 : \text{term}\}; \Gamma \vdash_I \text{rmo02} \quad (\text{VII})$$

$$\begin{array}{l} n1 \ (n2 \ (n2 \ \text{nil} \ (\text{evar} \ c_1 : \text{term})) \\ \quad (n2 \\ \quad \quad (n2 \ \text{nil} \ (\text{evar} \ c_1 : \text{term})) \\ \quad \quad (\text{evar} \ \text{nil} : \text{term}))) \\ c_2 \ (U_4 \ c_2) \end{array}$$

and

$$\Sigma \cup \{c_1 : \text{term}\} \cup \{c_2 : \text{term}\}; \Gamma \vdash_I \text{rmo1} \ (U_4 \ c_2) \ c_2 \quad (\text{VIII})$$

**step 5**

By the proof of **VII**  $U_4$  is substituted with

$$c_2 \backslash (n1 \ (n2 \ \text{nil} \ (\text{evar} \ c_1 : \text{term})) \\ \quad (n2 \\ \quad \quad (n2 \ \text{nil} \ (\text{evar} \ c_1 : \text{term})) \\ \quad \quad (\text{evar} \ \text{nil} : \text{term}))))$$

**Note:** The expression

$$\Sigma \cup \{c_1 : \text{term}\} \cup \{c_2 : \text{term}\}; \Gamma \vdash_I \text{rmo02} \\ (\text{evar} \ c_1 : \text{term}) \ c_2 \ U_5.$$

is induced by the proof of **VII**. It will not backchain with

$$\text{rmo02} \ (\text{evar} \ N) \ V \ (\text{evar} \ (N \ V)) \ :- \ !.$$

since  $c_1$  is of the atomic type *term*. By using types, we impose constrains and specify the direction of computations.

Notice that the proof of VIII will fail. This is because the expression

$$\Sigma \cup \{c_1 : \text{term}\} \cup \{c_2 : \text{term}\}; \Gamma \vdash_1 \text{rmo1} \\ (n1 (n2 (n2 \text{nil} (\text{evar } c_1 : \text{term})) \\ (n2 \\ (n2 \text{nil} (\text{evar } c_1 : \text{term})) \\ (\text{evar } \text{nil} : \text{term})))))) \\ c_2$$

does not lead to any expression that successfully backchains with the formula

$$\text{rmo1} (\text{evar } V) V \quad :- \text{!}.$$

### step 6

The expression IV will backchain with the formula

$$\text{rmo } Y \ Y$$

and the proof is completed where  $Y_2$  is substituted by

$$c_1 \setminus (n1 (n2 (n2 \text{nil} (\text{evar } c_1 : \text{term})) \\ (n2 \\ (n2 \text{nil} (\text{evar } c_1 : \text{term})) \\ (\text{evar } \text{nil} : \text{term}))))))$$

and therefore  $Y_1$  is substituted by

$$\text{some } c_1 \setminus (n1 (n2 (n2 \text{nil} (\text{evar } c_1 : \text{term})) \\ (n2 \\ (n2 \text{nil} (\text{evar } c_1 : \text{term})) \\ (\text{evar } \text{nil} : \text{term}))))). \blacksquare$$

**Lemma 3.3** For any positional structure  $p$ , the goal  $\exists H \text{rmo } p \ H$  succeeds once and then terminates.

The specification of the technique in the step b is as follows:

$$\text{type } \text{el\_ev } \text{term} \rightarrow \text{term} \rightarrow o. \\ \text{el\_ev } (n1 \ N1) (n1 \ N2) :- \text{el\_ev } N1 \ N2. \\ \text{el\_ev } (\text{some } N1) (\text{some } N2) :- \\ \quad \text{pi } c (\text{el\_ev } (N1 \ c) (N2 \ c)). \\ \text{el\_ev } (n2 \ N1 \ N2) (n2 \ N3 \ N4) :- \\ \quad \text{el\_ev } N1 \ N3, \text{el\_ev } N2 \ N4. \\ \text{el\_ev } (\text{evar } (n2 \ N1 \ N2)) T :- \text{el\_ev } (n2 \ N1 \ N2) T, \text{!}. \\ \text{el\_ev } (\text{evar } N) N. \\ \text{el\_ev } \text{nil } \text{nil}.$$

**Example 3.8** We will consider an application of the step b to the result produced in the Example 3.7. The proof expression

$$\Sigma; \Gamma \vdash_1 \text{el\_ev} \\ \text{some } c_1 \setminus (n1 (n2 (n2 \text{nil} (\text{evar } c_1)) \\ (n2 \\ (n2 \text{nil} (\text{evar } c_1)) \\ (\text{evar } \text{nil} )))))$$

$H_1$

induces the formulas

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_1 \text{el\_ev } (\text{evar } c_1) \ H_2 \quad \text{(I)}$$

and

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \vdash_1 \text{el\_ev } (\text{evar } \text{nil}) \ H_3 \quad \text{(II)}$$

where  $H_1$ ,  $H_2$  and  $H_3$  are meta-level existentially quantified variables.

$H_2$  and  $H_3$  are respectively substituted by  $c_1$  and *nil* via the backchaining of I and II with

$$\text{el\_ev } (\text{evar } N) \ N.$$

and  $H_1$  is as a result substituted by

$$\text{some } c_1 \setminus (n1 (n2 (n2 \text{nil } c_1) \\ (n2 \\ (n2 \text{nil } c_1) \\ \text{nil}))). \blacksquare$$

The specification of the technique in the step c is as follows:

$$\text{type } \text{rom } \text{term} \rightarrow \text{term} \rightarrow o. \\ \text{rom } (n1 \ N1) (n1 \ N2) :- \text{rom } N1 \ N2. \\ \text{rom } \text{nil } \text{nil}. \\ \text{rom } (\text{some } N1) \ N2 :- \\ \quad \text{pi } y \setminus \text{rom } y (\text{evar } \_) \Rightarrow \text{rom } (N1 \ y) \ N2. \\ \text{rom } (n2 \ N1 \ N2) (n2 \ N3 \ N4) :- \\ \quad \text{rom } N1 \ N3, \text{rom } N2 \ N4.$$

**Example 3.9** We will consider an application of the step c to the result produced in the Example 3.8. The proof expression

$$\Sigma; \Gamma \vdash_1 \text{rom} \\ \text{some } c_1 \setminus (n1 (n2 (n2 \text{nil } c_1) \\ (n2 \\ (n2 \text{nil } c_1) \\ \text{nil}))))$$

$H_1$

induces

$$\Sigma \cup \{c_1 : \text{term}\}; \Gamma \cup \{\text{rom } c_1 (\text{evar } Z)\} \vdash_1 \\ \text{rom } c_1 \ H_2$$

where  $H_1$ ,  $H_2$ , and  $Z$  are meta-level existentially quantified variables. The goal  $\text{rom } c_1 \ H_2$  backchains with the formula

$rom\ c_1\ (evar\ Z).$

and  $H_2$  is substituted by  $(evar\ Z)$ .  $H_1$  is as a result substituted by

$$(n1\ (n2\ (n2\ nil\ (evar\ Z))\ (n2\ (n2\ nil\ (evar\ Z))\ nil))). \blacksquare$$

The specification of the mapping preserving procedure is as follows:

$mpp\ NI\ NF\ :-\ mmo\ NI\ M,\ el\_ev\ M\ L,\ rom\ L\ NF.$

## 4 Implementation

In this section we will present the implementation of higher-order narrowing.  $mpp$  and  $fst$  programs, which were given in the previous section are used in this section. See Appendix for the rest of the programs used in the implementation.

### 4.1 Specification of higher-order narrowing

For simplicity, we will first consider higher-order steps applied only to the left hand side of a query. We will later consider higher-order steps applied to the both sides.

The list constructor  $subs$  of the type

$$term \rightarrow term \rightarrow term$$

denotes the list containing instances of variables to be solved in the same order their quantifiers appear in  $Q$ -formulas and  $nil$  here is used to denote empty lists.

**Note:** Recall that the symbol  $\langle \dots \rangle$  in Section 2 denotes the ordered list containing instances of solvable variables. In this section it is denoted by the constructor  $subs$ .

**Example 4.1.1** After the query

$some\ \lambda F.\ some\ \lambda K.\ some\ \lambda L.Q,$

is solved, the list

$subs\ (evar\ N)\ subs\ (evar\ M)\ subs\ (evar\ S)\ nil$  respectively contains the solution values  $N, M, S$  of  $F, K, L$ .

We assume that after a query is solved, the  $subs$  list contains only the closed instances.

### 4.1.1 Higher-order narrowing applied only to the left hand side

type  $query\ term \rightarrow term \rightarrow o.$   
 type  $pre\_nar\ term \rightarrow term \rightarrow term \rightarrow o.$   
 type  $ho\_narr\_step\ term \rightarrow term \rightarrow term \rightarrow term \rightarrow o.$   
 type  $rewrite\_rules\ term \rightarrow o.$

$ho\_narr\_step\ T\ N\ U\ Y\ :-$   
 $\quad fst\ T\ Z1\ L1\ N\ Z2\ L2,$   
 $\quad rewrite\_rules\ R,$   
 $\quad r\_o\_m\_rl\ R\ (rule\ LS1\ RS1),$   
 $\quad c\_pos\ R\ (n2\ LS2\ RS2),$   
 $\quad lf1\ L2\ (rule\ LS1\ RS1)\ (rule\ LS3\ RS3),$   
 $\quad rev1\ Z2\ (rule\ LS3\ RS3)\ (rule\ LS4\ RS4),$   
 $\quad Z1 = LS4,\ up\ Z2\ LS2,$   
 $\quad rc\ L2\ L1\ RS4\ RS2\ U\ Y.$

$pre\_nar\ (subs\ X\ K)\ N\ (subs\ X\ L)\ :-\ pre\_nar\ K\ N\ L.$   
 $pre\_nar\ (eq\ T1\ T2)\ _nil.$   
 $pre\_nar\ (eq\ T1\ T2)\ (n2\ N1\ N2)\ nil\ :-$   
 $\quad ho\_narr\_step\ T1\ N1\ T3\ N3,$   
 $\quad mpp\ (n2\ N3\ N2)\ N4,$   
 $\quad pre\_nar\ (eq\ T3\ T2)\ N4\ nil.$

$query\ Q\ S\ :-\ rep\_o\_m\ Q\ K,$   
 $\quad c\_pos\ Q\ L,$   
 $\quad pre\_nar\ K\ L\ S.$

**Example 4.1.1.1** We will present the solution of the query below in the presence of the following rules:

**rules:**

- $rewrite\_rules\ (all\ X\ \backslash$   
 $\quad rule\ (app\ (app\ (cons\ "+")\ (cons\ "zero"))\ X)$   
 $\quad X).$
- $rewrite\_rules\ (all\ X\ \backslash all\ Y\ \backslash rule$   
 $\quad (app\ (app\ (cons\ "+")\ (app\ (cons\ "succ")\ X))\ Y)$   
 $\quad (app\ (cons\ "succ")\ (app\ (app\ (cons\ "+")\ X)\ Y))).$

**query:**

$query$   
 $(some\ F\ \backslash$   
 $\quad eq\ (\lambda a\ \lambda x.\ (app\ (app\ (cons\ "+")\ (app\ F\ x))\ x))$   
 $\quad (\lambda a\ \lambda x.\ (app\ (cons\ "succ")\ x)))$   
 $U.$

**Note:**  $U$  is a meta-level existentially quantified variable. For notational simplicity we ignore  $\Sigma$  and  $\Gamma$  in the expression

$$\Sigma; \Gamma \vdash_1 G.$$

For the following computations  $Z_1, G, G_1, G_2, H_1, H_2, H_3, H_4, H_5, H_6$  are meta-level existentially quantified variable.

**step 1**

The object-level existentially quantified variable  $F$  is replaced with the meta-level existentially quantified variable  $Z$ .

$$\begin{array}{l} \vdash \\ \text{query} \\ (\text{some } F \backslash \\ \text{eq } ( \lambda x \backslash (\text{app } (\text{app } (\text{cons } "+" ) (\text{app } F \ x)) \ x)) \\ \quad (\lambda x \backslash (\text{app } (\text{cons } "+" ) \ x)) \end{array}$$

$U$   
leads to

$$\begin{array}{l} \vdash \\ \text{pre\_nar} \\ \text{eq } ( \lambda x \backslash (\text{app } (\text{app } (\text{cons } "+" ) (Z \ x)) \ x)) \quad \text{(I)} \\ \quad (\lambda x \backslash (\text{app } (\text{cons } "+" ) \ x)) \\ \\ n2 \ (n1 \ (n2 \ (n2 \ \text{nil} \ (\text{eval } G)) \ \text{nil})) \\ \quad (n1 \ (n2 \ \text{nil} \ \text{nil})) \\ \\ \text{nil} \end{array}$$

where  $U$  is substituted by

$$\text{subs } (\text{eval } Z) \ \text{nil}.$$
**step 2**

The proof of **I** induces

$$\begin{array}{l} \vdash \text{ho\_narr\_step} \\ \quad (\lambda x \backslash (\text{app } (\text{app } (\text{cons } "+" ) (Z \ x)) \ x)) \quad \text{(II)} \\ \quad (n1 \ (n2 \ (n2 \ \text{nil} \ (\text{eval } G)) \ \text{nil})) \\ \quad \quad H_1 \\ \quad \quad H_2 \end{array}$$

and

$$\begin{array}{l} \vdash \\ \text{mpp } (n2 \ H_2 \ (n1 \ (n2 \ \text{nil} \ \text{nil}))) \ H_3 \quad \text{(III)} \end{array}$$

and

$$\begin{array}{l} \vdash \\ \text{pre\_nar} \quad \text{(IV)} \\ \text{eq } H_1 \\ \quad (\lambda x \backslash (\text{app } (\text{cons } "+" ) \ x)) \\ \quad \quad H_3 \\ \quad \quad \text{nil}. \end{array}$$
**step 3**

By the proof of **II**, a narrowing step is applied to the subterm

$$(\text{app } (\text{app } (\text{cons } "+" ) (Z \ x)) \ x)$$

with the second rule and  $H_1, H_2$  are respectively substituted by

$$(\lambda x \backslash (\text{app } (\text{cons } "+" ) \\ \quad (\text{app } (\text{app } (\text{cons } "+" ) (Z_1 \ x)) \ x)))$$

and

$$(n1 \ (n2 \ \text{nil} \ (n2 \ (n2 \ \text{nil} \ (\text{eval } G_1)) \ (\text{eval } \text{nil}))))).$$

$Z$  is substituted by

$$x \backslash (\text{app } (\text{cons } "+" ) (Z_1 \ x)).$$
**step 4**

By the proof of **III**,

$$\begin{array}{l} \vdash \\ \text{mpp } (n2 \ (n1 \ (n2 \ \text{nil} \\ \quad \quad (n2 \ (n2 \ \text{nil} \ (\text{eval } G_1)) \\ \quad \quad \quad (\text{eval } \text{nil})))) \\ \quad \quad (n1 \ (n2 \ \text{nil} \ \text{nil}))) \\ \quad \quad \quad H_3 \end{array}$$

$H_3$  is substituted by

$$\begin{array}{l} (n2 \ (n1 \ (n2 \ \text{nil} \\ \quad \quad (n2 \ (n2 \ \text{nil} \ (\text{eval } G_2)) \\ \quad \quad \quad \text{nil}))) \\ \quad \quad (n1 \ (n2 \ \text{nil} \ \text{nil}))). \end{array}$$
**step 5**

The proof of **IV**

$$\begin{array}{l} \vdash \\ \text{pre\_nar} \\ \text{eq } ( \lambda x \backslash (\text{app } (\text{cons } "+" ) \\ \quad \quad (\text{app } (\text{app } (\text{cons } "+" ) (Z_1 \ x)) \\ \quad \quad \quad x)) \\ \quad \quad (\lambda x \backslash (\text{app } (\text{cons } "+" ) \ x)) \\ \\ (n2 \ (n1 \ (n2 \ \text{nil} \\ \quad \quad (n2 \ (n2 \ \text{nil} \ (\text{eval } G_2)) \\ \quad \quad \quad \text{nil}))) \\ \quad \quad (n1 \ (n2 \ \text{nil} \ \text{nil}))) \end{array}$$

$\text{nil}$

induces

$$\begin{array}{l} \vdash \\ \text{ho\_narr\_step} \quad \text{(V)} \\ (\lambda x \backslash (\text{app } (\text{cons } "+" ) \\ \quad \quad (\text{app } (\text{app } (\text{cons } "+" ) (Z_1 \ x)) \\ \quad \quad \quad x)) \\ \\ (n1 \ (n2 \ \text{nil} \\ \quad \quad (n2 \ (n2 \ \text{nil} \ (\text{eval } G_2)) \\ \quad \quad \quad \text{nil}))) \end{array}$$

$H_4$

$H_5$

and

$$\begin{array}{l} \vdash \\ \text{mpp } (n2 \ H_5 \ (n1 \ (n2 \ \text{nil} \ \text{nil}))) \ H_6 \quad \text{(VI)} \end{array}$$

and

$$\begin{array}{l} \vdash \\ \text{pre\_nar} \\ \text{eq } H_4 \\ (la \ x \backslash (\text{app } (\text{cons } \text{"succ"} ) x)) \\ H_6 \\ \text{nil}. \end{array} \quad \text{(VII)}$$

### step 6

By the proof of **V**, a narrowing step is applied to the subterm

$$(\text{app } (\text{app } (\text{cons } \text{"+"}) (Z_1 \ x)) \ x)$$

with the first rule and  $H_4$ ,  $H_5$  are respectively substituted by

$$(la \ x \backslash (\text{app } (\text{cons } \text{"succ"} ) x))$$

and

$$(n1 \ (n2 \ \text{nil} \ (\text{eval } \text{nil}))).$$

$Z_1$  is substituted by  $x \backslash (\text{cons } \text{"succ"})$  and therefore  $U$  is substituted by

$$\text{subs } (\text{eval } x \backslash (\text{app } (\text{cons } \text{"succ"} ) (\text{cons } \text{"zero"}))) \ \text{nil}.$$

By the proof of **VI**,  $H_6$  is substituted by

$$(n2 \ (n1 \ (n2 \ \text{nil} \ \text{nil})) \ (n1 \ (n2 \ \text{nil} \ \text{nil}))).$$

The expression **VII**

$$\begin{array}{l} \vdash \\ \text{pre\_nar} \\ \text{eq } (la \ x \backslash (\text{app } (\text{cons } \text{"succ"} ) x)) \\ (la \ x \backslash (\text{app } (\text{cons } \text{"succ"} ) x)) \\ (n2 \ (n1 \ (n2 \ \text{nil} \ \text{nil})) \\ (n1 \ (n2 \ \text{nil} \ \text{nil}))). \\ \text{nil} \end{array}$$

is satisfied. ■

### 4.1.2 Higher-order narrowing applied to the both sides

We change the specification of  $\text{pre\_nar}$  in 4.1.1 as follows:

$$\begin{array}{l} \text{pre\_nar } (\text{subs } X \ K) \ N \ (\text{subs } X \ L) \ :- \ \text{pre\_nar } K \ N \ L. \\ \text{pre\_nar } (\text{eq } T \ T) \ \_ \text{nil}. \\ \text{pre\_nar } (\text{eq } T1 \ T2) \ (n2 \ N1 \ N2) \ \text{nil} \ :- \\ \quad (\text{ho\_narr\_step } T1 \ N1 \ T3 \ N3, \\ \quad \text{mpp } (n2 \ N3 \ N2) \ N4, \\ \quad \text{pre\_nar } (\text{eq } T3 \ T2) \ N4 \ \text{nil}) ; \\ \quad (\text{ho\_narr\_step } T2 \ N2 \ T3 \ N3, \\ \quad \text{mpp } (n2 \ N1 \ N3) \ N4, \\ \quad \text{pre\_nar } (\text{eq } T1 \ T3) \ N4 \ \text{nil}). \end{array}$$

### 4.1.3 Conjunction of queries

For simplicity, we have considered the implementation for a single query. By simple modifications we can extend the result for a conjunction of queries:

- $Q$ -formulas are redefined as follows:

$$A ::= \text{eq } T \ T \mid \text{and } (\text{eq } T \ T) \ A,$$

$$Q ::= A \mid \text{some } \lambda F. Q,$$

where  $\text{and}$  is of  $\text{term} \rightarrow \text{term} \rightarrow \text{term}$ .

- In Definition 3.1 the two-argument constructor  $\text{and}$  is also mapped to the node  $n2$  with degree 2.
- Last we change the specification of  $\text{pre\_nar}$  in 4.1.1 as follows:

$$\begin{array}{l} \text{pre\_nar } (\text{subs } X \ K) \ N \ (\text{subs } X \ L) \ :- \ \text{pre\_nar } K \ N \ L. \\ \text{pre\_nar } (\text{eq } T \ T) \ \_ \text{nil}. \\ \text{pre\_nar } (\text{and } (\text{eq } T \ T) \ K) \ (n2 \ (n2 \ N1 \ N2) \ L) \ \text{nil} \ :- \\ \quad \text{up } N1 \ N2, \\ \quad \text{mpp } L \ P, \\ \quad \text{pre\_nar } K \ P \ \text{nil}. \\ \text{pre\_nar } (\text{eq } T1 \ T2) \ (n2 \ N1 \ N2) \ \text{nil} \ :- \\ \quad (\text{ho\_narr\_step } T1 \ N1 \ T3 \ N3, \\ \quad \text{mpp } (n2 \ N3 \ N2) \ N4, \\ \quad \text{pre\_nar } (\text{eq } T3 \ T2) \ N4 \ \text{nil}) ; \\ \quad (\text{ho\_narr\_step } T2 \ N2 \ T3 \ N3, \\ \quad \text{mpp } (n2 \ N1 \ N3) \ N4, \\ \quad \text{pre\_nar } (\text{eq } T1 \ T3) \ N4 \ \text{nil}). \\ \text{pre\_nar } (\text{and } (\text{eq } T1 \ T2) \ K) \ (n2 \ (n2 \ N1 \ N2) \ L) \ \text{nil} \ :- \\ \quad (\text{ho\_narr\_step } T1 \ N1 \ T3 \ N3, \\ \quad \text{mpp } (n2 \ (n2 \ N3 \ N2) \ L) \ N4, \\ \quad \text{pre\_nar } (\text{and } (\text{eq } T3 \ T2) \ K) \ N4 \ \text{nil}) ; \\ \quad (\text{ho\_narr\_step } T2 \ N2 \ T3 \ N3, \\ \quad \text{mpp } (n2 \ (n2 \ N1 \ N3) \ L) \ N4, \\ \quad \text{pre\_nar } (\text{and } (\text{eq } T1 \ T3) \ K) \ N4 \ \text{nil}). \end{array}$$

### 4.2 Extending to pattern rules

For simplicity, we have considered the implementation for first-order rules. By simple modifications we can extend the result for pattern rules.

- $R$ -formulas are redefined as follows:

$$R ::= \text{rule } T \ T \mid \text{all } \lambda F. R.$$

- The last clause in  $\text{fst}$  program is changed to

$$\begin{array}{l} \text{fst } (la \ T) \ (la \ Z) \ (la \ L) \ (n1 \ N) \ (n1 \ M) \ (n1 \ Q) \ :- \\ \quad \text{pi } c \backslash (\text{fst } c \ c \ \text{context } (\text{nil}) \ (\text{nil}) \ \text{context} \Rightarrow \\ \quad \quad \text{fst } (T \ c) \ (Z \ c) \ (L \ c) \ N \ M \ Q). \end{array}$$

and the following clause is added to the top.

$$\text{fst } (la \ T) \ (la \ T) \ \text{context } (n1 \ N) \ (n1 \ N) \ \text{context}.$$

### 4.3 Improvement of the search

In the implementation, the search for unifiers can go down infinite paths. We will in this section introduce a control mechanism in order to improve the search. We assume that the rules are first-order and terminating.

#### 4.3.1 Apply narrowing if it is needed

**Example 4.3.1.1** Even though the query

```
some λF.eq
(la λx.(app (cons "succ")
            (app (cons "succ")
                (app (app (cons "+") (app F x))
                    x))))
(la λx.(app (cons "succ") (cons "zero")))
```

is unsolvable, the interpreter does not terminate and infinitely applies narrowing steps.

Assume that the object-level existentially quantified variable  $F$  is replaced with the meta-level existentially quantified variable  $Z$ . Before a narrowing step being applied to the subterm

$$(app (app (cons "+") (Z x)) x)$$

it is needed to check whether the top level constant term

$$(app (cons "succ") (app (app (cons "+") (Z x)) x))$$

can be reduced to  $(cons "zero")$ . Since it can not match the left side of any rule in Example 4.1.1.1, it can not be reduced to  $(cons "zero")$ . Applying a narrowing step to its subterm

$$(app (app (cons "+") (Z x)) x)$$

leads to going down the infinite path without a solution.

We can use a control procedure that checks the possibility of a constant term to match the left side of a rule before narrowing steps being applied.

**Example 4.3.1.2** For a constant term  $c$ , the goal  $\exists H is\_match (c)^* c H$  succeeds. If  $c$  can match the left side of a rule,  $H$  is substituted by the object-level term  $suc$ . Otherwise  $H$  is substituted by the object-level term  $fail$ .

#### 4.3.2 Problematic case

The following is the situation that can not be treated by the procedure given in Section 4.3.1. Assume that  $t$  is the constant term to which narrowing is applied and  $t_p$  is another constant term that occurs in  $t$  at the position  $p$ .  $l_1$  and  $l_2$  are left hand sides of two rewrite rules.  $l_1$  and  $l_2$  match  $t$  and  $t_p$  respectively. Compared to  $t$ , instead of  $t_p$ , an object-level universally quantified variable is at the position  $p$  in  $l_1$ .

**Example 4.3.2.1** Let the following be a query where the object-level existentially quantified variable  $F$  is replaced with the meta-level existentially quantified variable  $Z$ .

```
some λF.
eq (la λx.(app (cons "t")
              (app (app (cons "+") (app F x))
                  x)))
(la λx.(cons "zero")).
```

Let  $l_1$  be the following constant term

$$(app (cons "t") X)$$

where  $X$  is an object-level universally quantified variable. Assume that  $t$  and  $t_p$  are respectively

$$(app (cons "t") (app (app (cons "+") (Z x)) x))$$

and

$$(app (app (cons "+") (Z x)) x).$$

Even if  $t$  can not be reduced to

$$(cons "zero"),$$

the procedure can not detect this since  $t$  will always match  $l_1$  while going down the infinite path.

## Appendix

//\* The program *rep\_o\_m* replaces object-level existentially quantified variables with meta-level existentially quantified variables \*/  
 type *rep\_o\_m* term → A → o.

```

rep_o_m (cons T1) (cons T1).
rep_o_m (app T1 T2) (U1 T2) :- rep_o_m T1 U1, !.
rep_o_m (λa T1) (λa T2) :-
  pi y\(rep_o_m y y ⇒ rep_o_m (T1 y) (T2 y)).
rep_o_m (some T1) (subs (evar F) T2) :-
  pi y\(rep_o_m y F ⇒ rep_o_m (T1 y) T2).
rep_o_m (and T1 T2) (and U1 U2) &
rep_o_m (app T1 T2) (app U1 U2) &
rep_o_m (eq T1 T2) (eq U1 U2) :-
  rep_o_m T1 U1, rep_o_m T2 U2.

```

//\* The program *c\_pos* computes position trees \*/

```

type c_pos term → term → o.

c_pos (cons _) nil.
c_pos nil nil.
c_pos (app T _) (evar U) :- c_pos T (evar U), !.
c_pos (λa T1) (n1 T2) :- c_pos (T1 nil) T2.
c_pos (some T1) T2 :-
  pi y\c_pos y (evar _) ⇒ c_pos (T1 y) T2.
c_pos (all T1) T2 :-
  pi y\c_pos y (evar _) ⇒ c_pos (T1 y) T2.
c_pos (and T1 T2) (n2 U1 U2) &
c_pos (app T1 T2) (n2 U1 U2) &
c_pos (rule T1 T2) (n2 U1 U2) &
c_pos (eq T1 T2) (n2 U1 U2) :-
  c_pos T1 U1, c_pos T2 U2.

```

//\* The program *r\_o\_m\_rl* replaces object-level universally quantified variables in rules with meta-level existentially quantified variables \*/  
 type *r\_o\_m\_rl* term → term → o.

```

r_o_m_rl (cons T) (cons T).
r_o_m_rl (app T1 T2) (evar U1 T2) :-
  r_o_m_rl T1 (evar U1), !.
r_o_m_rl (λa T1) (λa T2) :-
  pi y\r_o_m_rl y y ⇒ r_o_m_rl (T1 y) (T2 y).
r_o_m_rl (all T1) T2 :-
  pi y\r_o_m_rl y (evar _) ⇒ r_o_m_rl (T1 y) T2.
r_o_m_rl (app T1 T2) (app U1 U2) &
r_o_m_rl (rule T1 T2) (rule U1 U2) :-
  r_o_m_rl T1 U1, r_o_m_rl T2 U2.

```

//\* The program *lf1* takes lifting of a rewrite rule \*/

```

type lf1, lf02 term → term → term → o.

lf1 (n1 T) (rule Y1 Y2) (rule (λa P1) (λa P2)) :-
  pi c\((lf02 Y1 (Z1 c) c), (lf02 Y2 (Z2 c) c),
  (lf1 T (rule (Z1 c) (Z2 c))(rule (P1 c) (P2 c)))).
lf1 (n2 L _) Y P :- lf1 L Y P.
lf1 (n2 _R) Y P :- lf1 R Y P.
lf1 context Y Y.

lf02 (evar N) (evar (N V)) V.
lf02 (cons T) (cons T) _ .
lf02 (app T1 T2) (app Z1 Z2) V :-
  lf02 T1 Z1 V, lf02 T2 Z2 V.
lf02 (λa T1) (λa T2) V :-
  pi y\lf02 y y _ ⇒ lf02 (T1 y) (T2 y) V.

```

//\* The program *rev1* removes *evar*'s from a rewrite rule \*/

```

type rev1 term → term → term → o.
type rev02 term → term → o.

rev1 (n1 T) (rule (λa K) (λa L)) (rule (λa M) (λa N)) :-
  pi c\(rev1 T (rule (K c) (L c)) (rule (M c) (N c))).
rev1 (n2 _) (rule Y1 Y2) (rule Z1 Z2) &
rev1 nil (rule Y1 Y2) (rule Z1 Z2) :-
  rev02 Y1 Z1, rev02 Y2 Z2.

rev02 (evar V) V.
rev02 (cons T) (cons T).
rev02 (app Y1 Y2) (app Z1 Z2) :-
  rev02 Y1 Z1, rev02 Y2 Z2.

```

// The program *up* unifies positional structures //

```

type up term → term → o.

up (n1 N) (n1 M) :- up N M, !.
up (n1 N) M :- up N M.
up (evar N) (evar N).
up (evar (n2 M1 M2)) (n2 M1 M2).
up (n2 M1 M2) (evar (n2 M1 M2)).
up (evar nil) nil.
up nil (evar nil).
up nil nil.
up (n2 N1 N2) (n2 M1 M2) :- up N1 M1, up N2 M2.

```

//\* The program *rc* replaces context \*/

```

type rc term → term → term →
  term → term → term → o.

rc (n1 P) (λa Y) (λa Z) N (λa U) (n1 M) :-
  pi x\rc P (Y x) (Z x) N (U x) M.
rc (n2 P1 P2) (app T1 T2) Z N
  (app T1 U) (n2 P1 M) :- rc P2 T2 Z N U M, !.
rc (n2 P1 P2) (app T1 T2) Z N
  (app U T2) (n2 M P2) :- rc P1 T1 Z N U M.
rc context context Z N Z N.

```

## 5 Conclusion

We illustrate how  $\lambda$ -terms and types embedded in  $\lambda$ Prolog extend the concept of logic programming. The adaptability of our techniques requires a meta-level system that supports  $\lambda$ -abstraction, types, polymorphic typing, implications and universal quantification in goals and the body of clauses. By using implications and universal quantification in goals and the body of clauses, we directly reason about  $\lambda$ -terms. A similar way is used in [6]. Moreover, we give polymorphic types to meta-level solvable variables for their special treatment at the object-level. Particularly, we present them in a more general category than that the object-level terms in. By using type expressions we specify the direction of computations.

Selection of a rule and position to which narrowing steps are applied is in the default controlling, that is, the depth first search strategy. The search for unifiers can go down infinite paths. The search can be improved by using control procedures. Using high-level search primitives described in [6] can enhance our implementation.

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