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2 Proximity and Contact Sensing with Instrumented 3 Compliant Wrist for Close Guidance of Robotic 4 Manipulators

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11 **Abstract:** Compliance in robotic systems has been exploited to allow rigid mechanisms to come
12 into contact with complex and possibly fragile objects. By incorporating compliance and
13 instrumentation into a single device nearby objects can be detected before direct contact occurs.
14 That way, safer and smoother robot guidance can be achieved both while approaching and while
15 touching surfaces. Furthermore, the path planning and control problem is simplified as position
16 based algorithms can be used regardless of the state of the system, be it in free motion or
17 constrained motion, or even during transitions between the two modes. This paper presents the
18 design and experimental validation of a lightweight, low-cost and stand-alone instrumented
19 compliant wrist mechanism which can be mounted on the tool plate of any rigid robotic
20 manipulator. Embedded arrays of infrared sensors provide distance measurements. Each is finely
21 tuned via a novel calibration procedure that overcomes inter-sensor variability. All signal
22 processing is also embedded and wireless transmission connects the device to the robot controller
23 to support path control. Real-time acquired measurements on the position and orientation of
24 surfaces located in close proximity or in contact with the robot's end effector permit close guidance
25 of its operation. Experimental work demonstrates how the device provides physical compliance to
26 prevent large impact forces to occur during non-contact to contact transitions by the manipulator's
27 end effector. It also demonstrates the stability and accuracy of the device outputs. Primary
28 applications of the proposed instrumented compliant wrist include smooth surface following in
29 manufacturing and safe human-robot interaction.

30 **Keywords:** proximity and touch sensing; compliance; pose estimation; dexterous manipulation.
31

32 1. Introduction

33 The vast majority of robotic manipulators currently in use in manufacturing are designed to
34 meet very specific precision and repeatability requirements. However, this becomes a limitation
35 when dealing with unstructured environments. Research is therefore conducted to determine how
36 existing robotic platforms could be enhanced without making significant changes to their
37 fundamental structure while introducing a level of adaptivity and response to a transforming
38 environment. Taking inspiration from how humans interact with their environment, compliance
39 was identified as a key aspect required for adaptive and responsive robotic interaction with objects.
40 This paper reports on the development and experimental evaluation of a sensing device capable of
41 providing compliance to a rigid robotic structure while measuring the relative position and
42 orientation of objects in its close proximity as well as the surface coordinates of objects in direct

43 physical contact with the device. The sensing device is referred to as an instrumented compliant
44 wrist. It is meant to serve as an un-actuated end-effector attachment to be mounted on a robotic arm
45 in order to provide the robot controller with sensory information to safely operate either in close
46 proximity with a target object or maneuver while in contact with said object. It supports fine motion
47 guidance in applications such as smooth surface following or interaction with sensitive objects.

48 One key aspect that biological systems have over many robotic technologies are their intrinsic
49 elastic properties and material flexibility. This is often referred to as compliance in robotic contexts.
50 Compliance provides an adaptable interface between the environment and the robot that can relax
51 some of the strict constraints often seen in complex motion planning techniques. Compliant
52 manipulators have been designed with intrinsic compliance either in the form of flexible links or by
53 incorporating compliant structures directly into the connecting joints. In [1] a manipulator makes
54 use of series of elastic actuators [2] that incorporate a degree of compliance into the joints by making
55 use of springs. In the context of force control, elasticity influences the control scheme of a robot.
56 Much like humans who can feel forces being applied to the body but lacking the means of precisely
57 measuring those forces, simply being aware of these forces by inferring them nevertheless allows for
58 the ability to react to them when sensed. The concept of making touch a primary source of
59 information during motion guidance is analogous to how humans are able to interact with their
60 environment when their vision is impaired. The work of Bach-y-Rita and Kercel [3] provides useful
61 insights as to how the human brain can make use of one type of sensory information and effectively
62 translate it into another form. The Obrero manipulator [4] was inspired by how humans manipulate
63 objects, favoring sensing of their environment via multiple modalities over precision. However, the
64 development of robotic systems which are able to take advantage of these innovative ideas can be a
65 costly endeavour, mostly because of the required modifications to existing mechanisms [5]. With the
66 large supply of industrial robots currently in operation, it is preferable to apply the concepts used in
67 compliant manipulators without incurring such massive investments. The proposed compliant wrist
68 design aims at fulfilling this gap.

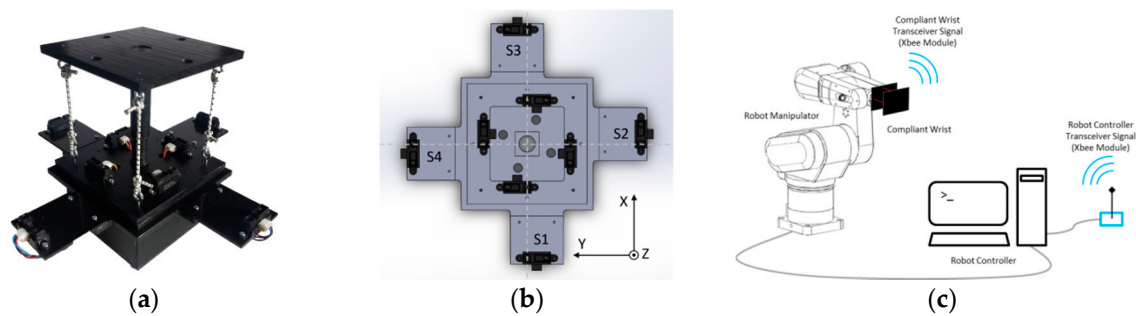
69 Compliant wrists have been investigated in the literature. An initial design was presented in
70 1982 [6] for improving the accuracy of industrial robots in manufacturing applications. Another
71 compliant wrist sensor [7-8] makes use of capacitive principles to measure 2D bending moments, as
72 well as force and torsion in the perpendicular direction. The limited thickness of the compliant layer
73 however provides only a limited range of movement and therefore limits the applications of the
74 device. In [9] a compliant wrist is designed based on passive compliance analysis. The wrist
75 produces estimation of two rotations and one translation. Its kinematic model however resolves to a
76 complex implementation due to the movement of the joints and position of attachment points. Paul
77 et al. [10-11] introduced a compliant wrist structure that consists of two plates separated by a
78 compliant, damped rubber structure to provide passive compliance and is equipped with a sensing
79 mechanism to measure the deflections of the 6 DOFs allowed by the compliance. Another compliant
80 wrist was designed for performing surface exploration tasks with the goal of extracting geometric
81 features of the surface being contacted [12]. This compliant wrist can provide gross position and
82 orientation estimations as well as finer geometric surface profile information. The latter however
83 allowed very little displacement and its motion was highly constrained.

84 **2. Instrumented Compliant Wrist Design**

85 Building upon the principle of multiple modality sensors, a design is proposed that provides
86 feedback to the robot arm controller both while the end effector is approaching a surface, and after
87 contact is achieved. This not only provides additional information to the robot but also allows it to
88 take advantage of the pre-contact information, increasing safety during navigation and fulfilling the
89 gap of information available from vision sensors that may be occluded or not accurate enough [13].

90 The compliant wrist assembly consists of two plates separated by components allowing for
91 deflection of the upper compliant plate under externally applied forces. Instrumentation capable of

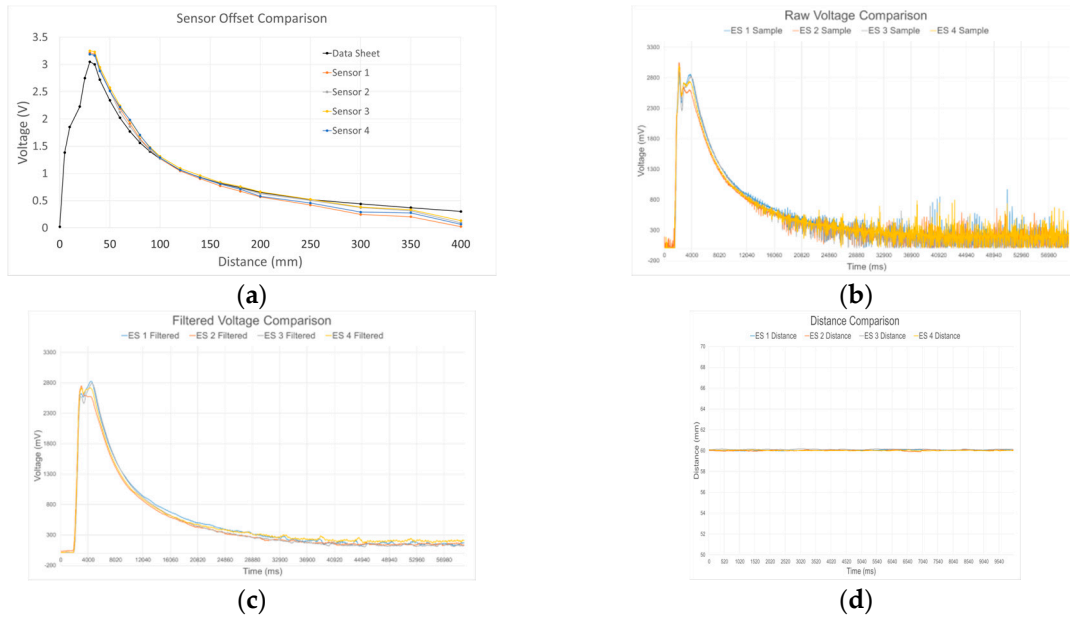
92 dynamically measuring this deflection is embedded within the wrist assembly providing a sense of
93 touch to the device. Additional instrumentation is added to the periphery of the bottom plate to
94 measure the location of an object's surface before it comes into contact with the upper compliant
95 plate, providing the proximity detection capability of the device. The combination of these two
96 sensory layers provides the necessary measurements for fine tuning the movements of the robot arm
97 while maneuvering in close proximity to the surface with which it is meant to interact but before
98 contact occurs, as well as adapting the end effector's configuration to conform to the surface's
99 position and orientation after contact occurs. Figure 1a shows the primary mechanical components
100 of the device. The enclosure at the base of the wrist houses an embedded microcontroller, wireless
101 communications module, and power source. The mechanism which provides passive compliance
102 sits above the electronics enclosure. The mechanism achieves its compliance with a combination of
103 compression and tension springs that apply forces on the upper plate to maintain an equilibrium
104 state when no external forces are applied to it. Under influence from external forces, the upper plate
105 rotates about its pivot point centered on the plate and can compress toward the bottom plate.



106 **Figure 1.** Compliant wrist prototype: (a) Mechanical assembly; (b) Sensors arrangement; (c)
107 Communication with robot controller.

108 Four analog infrared (IR) range sensors are mounted to the bottom plate and positioned in such
109 a way as to allow for direct measurement of the distance between the sensors and the movable upper
110 plate. These are referred to as the internal, or contact, sensors. Four additional IR sensors located at
111 the outermost periphery act as the proximity sensory layer and measure distance to closest objects in
112 front of the compliant wrist. These are referred to as the external, or proximity, sensors. Figure 1b
113 provides a top down view of the positioning of all sensors on the compliant wrist. The latter is also
114 designed to be mounted to the tool plate of any manipulator robot, as shown in Figure 1c.
115 Embedded wireless communication ensures that all information generated by the compliant wrist is
116 delivered to the robot controller. The communication channel is bidirectional allowing also the robot
117 controller to make data requests as necessary. This information, coupled with the state information
118 of the robot, is used by the robot controller within the implemented trajectory planning algorithms
119 to direct the motion of the robot.

120 The infrared range sensors are the key components for the instrumentation of the compliant
121 wrist module. They allow for the detection of objects in proximity to the device as well as an indirect
122 means of detecting physical contact between the device and its environment by measuring
123 deflections of the movable (upper) plate interface. In order to effectively integrate these sensors into
124 the compliant wrist system, an extensive experimental study of their operational characteristics was
125 conducted. The output of these IR sensors exhibit a nonlinear relationship to the physical distance,
126 as shown in Figure 2a. Because two different IR sensors typically provide slightly different
127 measurements over identical distances, and given that these measurements are not exactly matched
128 to the specifications, a formal calibration procedure [13] was developed to ensure consistency and to
129 increase accuracy of the compliant wrist measurements. Moving average filtering of the raw signals
130 is also implemented. Figure 2b to 2d demonstrate the favorable impact of the filtering and
131 calibration processes for the compliant wrist to produce reliable distance estimates.



132 **Figure 2.** Compliant wrist's IR sensors: (a) Characteristic response; (b) Raw response from four
 133 sensors; (c) Filtered response from four sensors; (d) Filtered and calibrated response from four
 134 sensors at a same distance from a surface (here 60 mm).

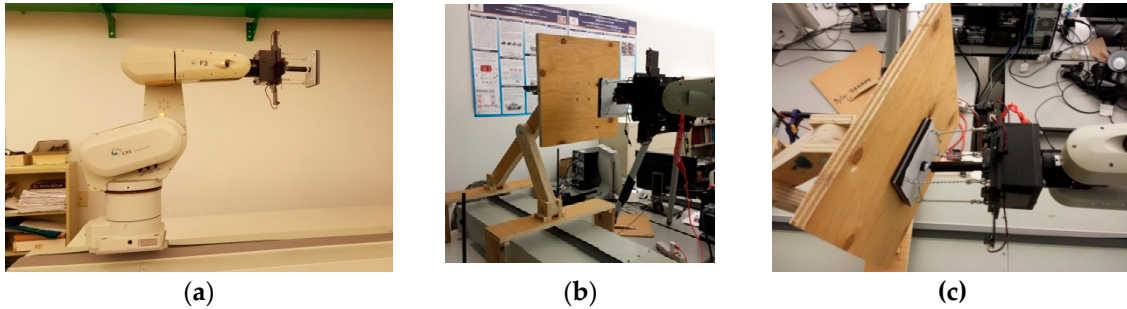
135 The physical design of the proposed compliant wrist provides for a simple kinematic
 136 representation of the device with 3 DOFs, namely two rotational DOFs and one translational DOF.
 137 As the device employs two independent sets of four IR sensors, both sets of sensors operate in the
 138 same fashion and are capable of generating similar distance information from their respective
 139 anchor points. The internal sensors are used for measuring the deflection of the movable contact
 140 plate while the external sensors are charged with the detection of objects in the environment and
 141 estimating their relative pose with respect to the compliant wrist. For the internal sensors, since the
 142 surface of the movable plate is assumed to be uniformly planar (by design), the representation
 143 involves only two rotations and one translation to completely characterize the detected displacement
 144 of the compliant plate. When dealing with the external sensor array any surface shape can be
 145 encountered. Normal vectors meant to further describe the general surface characteristics of the
 146 encountered objects are also estimated from the measured distances to refine the object's shape
 147 description and its relative orientation [13-14].

148 3. Compliant Wrist Experimental Performance Evaluation

149 To evaluate the performance of the compliant wrist under various operating conditions, it was
 150 mounted onto a CRS-F3 6 degrees-of-freedom manipulator robot, as shown in Figure 3. Various
 151 scenarios of interaction were examined: *i*) cases where the wrist is positioned at a particular
 152 orientation and distance away from the surface, as shown in Figure 3b, that is when the external
 153 sensory layer monitors the distance to a proximal surface; and *ii*) cases where the compliant surface
 154 of the wrist is in contact with a planar surface and with various orientations, as shown in Figure 3c,
 155 that is when the internal sensory layer monitors the relative transformation between the base and
 156 the compliant plates of the wrist. In all test cases, distance measurements were collected and
 157 compared to ground truth values obtained by manual distance and orientation measurements.
 158 These experiments provided data to evaluate the stability and accuracy of the pose estimates
 159 provided by the instrumented wrist, under proximity and in-contact operational conditions.

160 Table 1 reports on average distance and rotation estimates provided by the wrist when
 161 operating in the proximity mode. Distance between the wrist and the target planar surface (T_z) was
 162 respectively set to 50, 75 and 100 mm, and relative rotations of 0° and -30° around the X axis

163 respectively were considered in each case. The results show that variations slightly increase with
164 the distance for all parameters, as expected as the IR sensors' resolution decreases for larger
165 distances (Figure 2a).



166 **Figure 3.** Experimental performance evaluation of the compliant wrist: (a) Assembly mounted as the
167 end effector of a CRS-F3 manipulator; (b) Compliant wrist in proximity to a planar surface; (c)
168 Compliant wrist in contact with angled planar surface.

169 **Table 1.** 3-DOF transformation parameters obtained when operating close to a planar surface.

	$T_z(R_x=0^\circ)$ (mm)	$T_z(R_x=-30^\circ)$ (mm)	$R_x(R_x=0^\circ)$ ($^\circ$)	$R_x(R_x=-30^\circ)$ ($^\circ$)	$R_y(R_x=0^\circ)$ ($^\circ$)	$R_y(R_x=-30^\circ)$ ($^\circ$)
$T_z=50$ mm	50.33 ± 0.15	49.32 ± 0.49	-0.04 ± 0.06	-30.62 ± 0.33	0.01 ± 0.12	-0.16 ± 0.04
$T_z=75$ mm	75.59 ± 0.25	75.47 ± 0.74	0.19 ± 0.18	-29.97 ± 0.40	0.06 ± 0.24	0.09 ± 0.19
$T_z=100$ mm	102.23 ± 0.33	101.60 ± 0.62	0.25 ± 0.27	-30.47 ± 0.33	-0.06 ± 0.22	0.38 ± 0.22

170 Similarly Table 2 reports on average distance and rotations estimates provided by the wrist
171 when operating in the contact mode. In this case, two distances (T_z) are considered, -10 and -20 mm,
172 corresponding to the compression magnitude of the compliant wrist under the force exerted by the
173 surface with which it is in contact. Respective rotations of the planar surface with respect to the
174 wrist are 0° (parallel) and -10° (angled). The signal variations in distance and orientation are fairly
175 constant for both compression distances. The smaller distances allowed by the wrist when in
176 contact impose lower limits to the errors associated with the parameters.

177 **Table 2.** 3-DOF transformation parameters obtained when operating in contact with a planar surface.

	$T_z(R_x=0^\circ)$ (mm)	$T_z(R_x=-10^\circ)$ (mm)	$R_x(R_x=0^\circ)$ ($^\circ$)	$R_x(R_x=-10^\circ)$ ($^\circ$)	$R_y(R_x=0^\circ)$ ($^\circ$)	$R_y(R_x=-10^\circ)$ ($^\circ$)
$T_z=-10$ mm	-9.91 ± 0.08	-9.88 ± 0.09	0.02 ± 0.14	-10.36 ± 0.13	0.05 ± 0.15	-0.10 ± 0.19
$T_z=-20$ mm	-19.91 ± 0.07	-20.01 ± 0.07	-0.08 ± 0.08	-10.21 ± 0.11	-0.01 ± 0.08	0.13 ± 0.14

178 The slight deviations of the mean values from their respective set points are due in part to the
179 amount of precision with which the calibration of the IR sensors can effectively be performed as
180 well as the difficulties faced when trying to obtain sub millimetre precision on the ground truth
181 values. These experiments demonstrate the accuracy and stability achieved by the instrumentation
182 embedded in the compliant wrist as narrow standard deviations are observed across all
183 experiments. The compliant wrist's sensing system has a distance resolution of approximately 2.3
184 mm at maximum range of operation (40 cm), and 0.085 mm at the closest range. For rotations, the
185 worst angular sensitivity is 1.435 degree over largest distances for the compliant plate (0.5 degree for
186 external surfaces). In comparison, [10] reports worst-case accuracies of 0.6 mm for translation and
187 0.0099 radians (0.57°) for rotation. The compliant plate of the prototype also supports a translation
188 range of -25 mm to +10 mm with rotation ranges for both axes of $\pm 40^\circ$. Comparatively, [12] reports a
189 10 mm travel distance of the upper plate. The developed compliant wrist is therefore more versatile
190 and as accurate as comparable devices reported in the literature.

191 4. Conclusions

192 This paper presented the development of a flexible and affordable mechanical structure
193 designed and equipped with sensing apparatus to support multi-step compliant interaction
194 between a manipulator robot and its environment. The measurements provided by the external
195 array of IR range sensors allow for real-time refinement of the trajectory while the manipulator is
196 approaching a surface to ensure smooth initial contact. Information from the internal sensory layer
197 is used to control the robot's motion during contact. Experimental validation of the compliant wrist
198 mounted on an industrial manipulator showed that the compliant wrist system is capable of
199 achieving precise measurements, reaching sub-millimeter variations in favorable conditions.
200 Additionally, the physical compliance afforded by the compliant wrist prevents large impact forces
201 to be incurred during non-contact to contact transitions by the manipulator's end effector.

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