

Traces of intruders

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- Loop categories

- Loop monad

- Traced categories are loop algebras

Uniform trace as algebra

- Uniform trace

- Strict loop categories

- Uniform trace algebras

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- Sets

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Loop categories

Definition

Given a small strict symmetric monoidal category

$$\mathbb{C} \times \mathbb{C} \xrightarrow{\otimes} \mathbb{C} \xleftarrow{!} 1$$

define

$$\begin{aligned} |\mathbb{C}^\cup| &= |\mathbb{C}| \\ \mathbb{C}^\cup(A, B) &= \oint_{U \in |\mathbb{C}|} \mathbb{C}(A \otimes U, B \otimes U) \end{aligned}$$

Loop categories

Definition

Given a small strict symmetric monoidal category

$$\mathbb{C} \times \mathbb{C} \xrightarrow{\otimes} \mathbb{C} \xleftarrow{!} 1$$

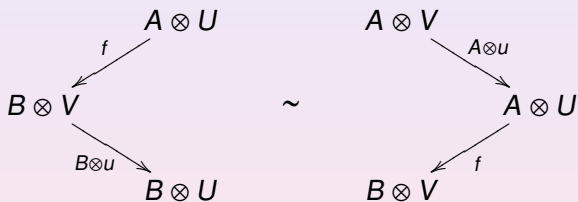
define

$$\begin{aligned} |\mathbb{C}^\cup| &= |\mathbb{C}| \\ \mathbb{C}^\cup(A, B) &= \left(\sum_{U \in |\mathbb{C}|} \mathbb{C}(A \otimes U, B \otimes U) \right) / \sim \end{aligned}$$

... where \sim is the coend equivalence...

$$\begin{array}{ccc} & \mathbb{C}(A \otimes U, B \otimes U) & \\ & \nearrow^{(-) \circ (A \otimes u)} & \\ \mathbb{C}(A \otimes V, B \otimes U) & \dashrightarrow & \mathbb{C}^U(A, B) \\ & \searrow_{(B \otimes u) \circ (-)} & \\ & \mathbb{C}(A \otimes V, B \otimes V) & \end{array}$$

... where \sim is the coend equivalence...



... extended to factor out c

$$\begin{array}{ccc} A \otimes A & & A \\ \downarrow c & \sim & \downarrow id \\ A \otimes A & & A \end{array}$$

$$\begin{array}{ccc} A \otimes U \otimes V & & A \otimes V \otimes U \xrightarrow{A \otimes c} A \otimes U \otimes V \\ \downarrow h & \sim & \downarrow h \\ B \otimes U \otimes V & & B \otimes V \otimes U \xleftarrow{B \otimes c} B \otimes U \otimes V \end{array}$$

Composition

Given

- ▶ $f \in \mathbb{C}^\cup(A, B)$ as $A \otimes U \xrightarrow{f_0} B \otimes U$, and
- ▶ $g \in \mathbb{C}^\cup(B, C)$ as $B \otimes V \xrightarrow{g_0} C \otimes V$,

the composite

- ▶ $f \circ g \in \mathbb{C}^\cup(A, C)$ can be viewed as

$$\begin{array}{ccc} A \otimes U \otimes V & \xrightarrow{f_0 \otimes V} & B \otimes U \otimes V & & C \otimes U \otimes V \\ & & \downarrow B \otimes c & & \uparrow C \otimes c \\ & & B \otimes V \otimes U & \xrightarrow{g_0 \otimes U} & C \otimes V \otimes U \end{array}$$

Given

- ▶ $f \in \mathbb{C}^\cup(A, B)$ as $A \otimes U \xrightarrow{f_0} B \otimes U$, and
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- ▶ $f \circ g \in \mathbb{C}^\cup(A, C)$ can be viewed as

$$\begin{array}{ccc} A \otimes U \otimes V & \xrightarrow{f_0 \otimes V} & B \otimes U \otimes V \\ \uparrow A \otimes c & & \downarrow B \otimes c \\ A \otimes V \otimes U & & B \otimes V \otimes U \xrightarrow{g_0 \otimes U} C \otimes V \otimes U \end{array}$$

Tensor

Given

▶ $f \in \mathbb{C}^\cup(A, B)$ as $A \otimes U \xrightarrow{f_0} B \otimes U$, and

▶ $h \in \mathbb{C}^\cup(C, D)$ as $C \otimes V \xrightarrow{h_0} D \otimes V$,

the tensor product

▶ $f \otimes h \in \mathbb{C}^\cup(A \otimes C, B \otimes D)$ can be viewed as

$$\begin{array}{ccc} A \otimes C \otimes U \otimes V & & B \otimes D \otimes U \otimes V \\ \downarrow A \otimes C \otimes V & & \uparrow B \otimes C \otimes V \\ A \otimes U \otimes C \otimes V & \xrightarrow{f_0 \otimes h_0} & B \otimes U \otimes D \otimes V \end{array}$$

Trace?

Given

- ▶ $f \in \mathbb{C}^{\cup}(A \otimes U, B \otimes U)$ as

$$(A \otimes U) \otimes V \xrightarrow{f_0} (B \otimes U) \otimes V$$

its trace

- ▶ $\text{Tr}_{AB}^U f \in \mathbb{C}^{\cup}(A, B)$ can be viewed as

$$A \otimes (U \otimes V) \xrightarrow{f_0} B \otimes (U \otimes V)$$

i.e. as itself, modulo associativity.

Yes, trace

Proposition

The operators $Tr_{AB}^U : \mathbb{C}^{\cup}(A \otimes U, B \otimes U) \longrightarrow \mathbb{C}^{\cup}(A, B)$ satisfy the trace axioms.

Sketch of a proof

- ▶ dinaturality (sliding), yanking \Leftarrow imposed by \sim
- ▶ naturality (tightening) \Leftarrow def'n of composition in \mathbb{C}^{\cup}
- ▶ vanishing, superposition \Leftarrow inspection

Loop monad

Monad data

- ▶ 2-category \mathcal{SM} of small symmetric monoidal cats
- ▶ 2-functor $\mathcal{U}: \mathcal{SM} \rightarrow \mathcal{SM}$
- ▶ unit functors

$$\eta_C : C \rightarrow C^{\mathcal{U}}$$
$$(A \xrightarrow{f} B) \mapsto [A \otimes I \xrightarrow{f \otimes I} B \otimes I]_{\sim}$$

- ▶ evaluation functors

$$\mu_C : C^{\mathcal{U}\mathcal{U}} \rightarrow C^{\mathcal{U}}$$
$$[[(A \otimes U) \otimes V \xrightarrow{f_0} (B \otimes U) \otimes V]_{\sim}]_{\sim} \mapsto [A \otimes (U \otimes V) \xrightarrow{f_0} B \otimes (U \otimes V)]_{\sim}$$

Loop monad

Monad data

- ▶ 2-category \mathcal{SM} of small symmetric monoidal cats
- ▶ 2-functor $\cup: \mathcal{SM} \rightarrow \mathcal{SM}$
- ▶ unit functors

$$\eta_C : C \rightarrow C^\cup$$
$$(A \xrightarrow{f} B) \mapsto [A \otimes I \xrightarrow{f \otimes I} B \otimes I]_-$$

- ▶ evaluation functors

$$\mu_C : C^{\cup\cup} \rightarrow C^\cup$$
$$[[(A \otimes U) \otimes V \xrightarrow{f_0} (B \otimes U) \otimes V]_-]_- \mapsto [A \otimes (U \otimes V) \xrightarrow{f_0} B \otimes (U \otimes V)]_-$$

Loop monad

Monad data

- ▶ 2-category \mathcal{SM} of small symmetric monoidal cats
- ▶ 2-functor $\cup: \mathcal{SM} \rightarrow \mathcal{SM}$
- ▶ unit functors

$$\eta_{\mathbb{C}} : \mathbb{C} \rightarrow \mathbb{C}^{\cup}$$
$$(A \xrightarrow{f} B) \mapsto [A \otimes \overset{f \otimes I}{\rightarrow} B \otimes I]_{\sim}$$

- ▶ evaluation functors

$$\mu_{\mathbb{C}} : \mathbb{C}^{\cup \cup} \rightarrow \mathbb{C}^{\cup}$$
$$[[(A \otimes U) \otimes V \xrightarrow{f_0} (B \otimes U) \otimes V]_{\sim}]_{\sim} \mapsto [A \otimes (U \otimes V) \xrightarrow{f_0} B \otimes (U \otimes V)]_{\sim}$$

Loop monad

Monad data

- ▶ 2-category \mathcal{SM} of small symmetric monoidal cats
- ▶ 2-functor $\cup: \mathcal{SM} \rightarrow \mathcal{SM}$
- ▶ unit functors

$$\eta_{\mathbb{C}} : \mathbb{C} \rightarrow \mathbb{C}^{\cup}$$
$$(A \xrightarrow{f} B) \mapsto [A \otimes \overset{f \otimes I}{\rightarrow} B \otimes I]_{\sim}$$

- ▶ evaluation functors

$$\mu_{\mathbb{C}} : \mathbb{C}^{\cup \cup} \rightarrow \mathbb{C}^{\cup}$$
$$[[(A \otimes U) \otimes V \xrightarrow{f_0} (B \otimes U) \otimes V]_{\sim}]_{\sim} \mapsto [A \otimes (U \otimes V) \xrightarrow{f_0} B \otimes (U \otimes V)]_{\sim}$$

Traced categories are loop algebras

Theorem

The loop category \mathbb{C}^\cup is the free traced category generated by the symmetric monoidal category \mathbb{C} .

The loop algebra structures $T : \mathbb{C}^\cup \rightarrow \mathbb{C}$ are just the trace operators, expressed in a functorial form.

[Syntactic construction: Abramsky, Kelly-Laplaza]

For a loop algebra $T : \mathbb{C}^{\cup} \rightarrow \mathbb{C}$, and every pair $A, B \in \mathbb{C}$,

- ▶ the families $\{Tr_{AB}^U : \mathbb{C}(A \otimes U, B \otimes U) \rightarrow \mathbb{C}(A, B)\}_{U \in \mathbb{C}}$ are in one-to-one correspondence with
- ▶ the arrow part $T_{AB} : \mathbb{C}^{\cup}(A, B) \rightarrow \mathbb{C}(A, B)$

along

$$\sum_U \mathbb{C}(A \otimes U, B \otimes U) \twoheadrightarrow \int_U \mathbb{C}(A \otimes U, B \otimes U) \xrightarrow{Tr_{AB}} \mathbb{C}(A, B)$$

The operators $Tr_{AB}^U : \mathbb{C}(A \otimes U, B \otimes U) \longrightarrow \mathbb{C}(A, B)$ satisfy the trace axioms because:

- ▶ naturalities, yanking \iff factor by $\oint_U \mathbb{C}(A \otimes U, B \otimes U)$,
- ▶ superposition $\iff T \circ \eta_C = id_C$
- ▶ vanishing $\iff T \circ \mu_C = T \circ T^{\cup}$

Uniform trace

Definition

A trace operator is *uniform* if

$$\text{Tr}_{AB}^U(f) = \text{Tr}_{AB}^V(g)$$

holds whenever there is some h which makes the diagram

$$\begin{array}{ccc} A \otimes U & \xrightarrow{A \otimes h} & A \otimes V \\ f \downarrow & & \downarrow g \\ B \otimes U & \xrightarrow{B \otimes h} & B \otimes V \end{array}$$

commute

Strict loop categories

Definition

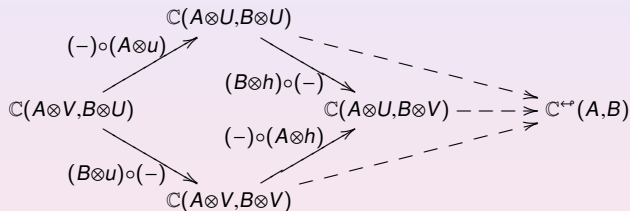
Given a small symmetric monoidal category

$$\mathbb{C} \times \mathbb{C} \xrightarrow{\otimes} \mathbb{C} \xleftarrow{!} 1$$

define

$$\begin{aligned} |\mathbb{C}^{\leftarrow p}| &= |\mathbb{C}| \\ \mathbb{C}^{\leftarrow p}(A, B) &= \left(\sum_{U \in |\mathbb{C}|} \mathbb{C}(A \otimes U, B \otimes U) \right) / \approx \end{aligned}$$

... where \approx strengthens the coend equivalence



... where \approx strengthens the coend equivalence

by

$$\begin{array}{ccc} A \otimes U & \dashv\!\!\dashv\!\!\dashv A \otimes h & \gg A \otimes V \\ \downarrow f & \approx & \downarrow g \\ B \otimes U & \dashv\!\!\dashv\!\!\dashv B \otimes h & \gg B \otimes V \end{array}$$

Traces of intruders

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Uniform traced categories are strict loop algebras

Theorem

The strict loop category $\mathbb{C}^{\leftarrow p}$ is the free uniform traced category generated by the symmetric monoidal category \mathbb{C} .

The strict loop algebra structures $T : \mathbb{C}^{\leftarrow p} \rightarrow \mathbb{C}$ are just the uniform trace operators, expressed in a functorial form.

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The upshot of the monadic view is that the structure of

- ▶ loop categories,
- ▶ trace algebras,
- ▶ trace homomorphisms

can often be **effectively calculated**.

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Examples

$$\mathbb{N} \subseteq \mathbb{N}[\mathcal{T}] \subseteq \mathbb{N}[\mathcal{T}, \mathcal{A}]$$

(sets)

(clone)

(action category)

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The loop category of finite sets

Consider the monoid of natural numbers

$$\mathbb{N} \times \mathbb{N} \xrightarrow{+} \mathbb{N} \xleftarrow{0} 1$$

as the category of sets $n = \{0, 1, \dots, n-1\}$ and functions.

Then

$$\mathbb{N}^{\cup}(a, b) = \sum_{u \in \mathbb{N}} \left\{ a + u \xrightarrow{f} b + u \mid \forall y \in u \exists x. f(x) = y \right\}$$

The loop category of finite sets

Notation

Write $a + u \xrightarrow{f} b + u$ where $\forall y \in u \exists x. f(x) = y$

as $\hat{a} \xrightarrow{f} \hat{b}$ i.e. $\hat{a} = a + u$

$$\hat{b} = b + u$$

The loop category of finite sets

$$\begin{aligned} Tr_{ab}^v : \mathbb{N}^\cup(a + v, b + v) &\longrightarrow \mathbb{N}^\cup(a, b) \\ (\hat{a} + v \xrightarrow{f} \hat{b} + v) &\longmapsto (\hat{a} + \hat{v} \xrightarrow{\hat{f}} \hat{b} + \hat{v}) \end{aligned}$$

where

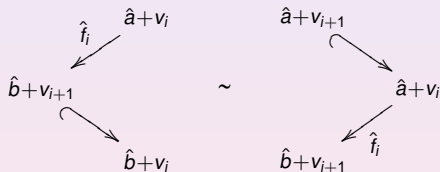
$$\begin{array}{ccc} \hat{a} + \hat{v} & \xrightarrow{\hat{f}} & \hat{b} + \hat{v} \\ \vdots & & \vdots \\ \hat{a} + v_{i+1} & \xrightarrow{f_{i+1}} & \hat{b} + v_{i+1} \\ & \nearrow \hat{b}_{i+1} + v_{i+1} & \searrow \\ \hat{a} + v_i & \xrightarrow{f_i} & \hat{b} + v_i \\ \vdots & & \vdots \\ \hat{a} + v_0 & \xrightarrow{f_0=f} & \hat{b} + v_0 \end{array}$$

The loop category of finite sets

Then

$$f = f_0 \sim f_1 \sim \dots \sim f_i \sim f_{i+1} \sim \dots \sim^* \hat{f}$$

because



*NB The chain must be finite, because the sets are finite.

The strict loop category of finite sets

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Summary

$$\mathbb{N}^{\leftarrow} (a, b) = \sum_{u \in \mathbb{N}} \left\{ a + u \xrightarrow{f} b + u \mid \forall y \in u. f(y) = y \right. \\ \left. \vee (\exists i. f^i(y) \in b \right. \\ \left. \wedge \exists x. f(x) = y) \right\}$$

The strict loop category of finite sets

Notation

Write $a + w \xrightarrow{f} b + w$ where $\forall y \in w. f(y) = y$
 $\vee (\exists i. f^i(y) \in b)$
 $\wedge \exists x. f(x) = y$

as $\tilde{a} \xrightarrow{f} \tilde{b}$ i.e. $\tilde{a} = a + w$
 $\tilde{b} = b + w$

The strict loop category of finite sets

$$\begin{aligned} Tr_{ab}^v : \mathbb{N}^{\leftarrow o}(a + v, b + v) &\longrightarrow \mathbb{N}^{\leftarrow o}(a, b) \\ (\tilde{a} + v \xrightarrow{\tilde{f}} \tilde{b} + v) &\longmapsto (\tilde{a} + \tilde{v} \xrightarrow{\tilde{f}} \tilde{b} + \tilde{v}) \end{aligned}$$

where

$$\begin{array}{ccc} \tilde{a} + \tilde{v} & \xrightarrow{\tilde{f}} & \tilde{b} + \tilde{v} \\ \underset{=}{=} & & \underset{=}{=} \\ \tilde{a} + v^b + v^\bullet & & \tilde{b} + v^b + v^\bullet \\ \uparrow & & \uparrow \\ \tilde{a} + v^b + v^\cup & & \tilde{b} + v^b + v^\cup \\ \underset{=}{=} & & \underset{=}{=} \\ \tilde{a} + \hat{v} & \xrightarrow{\hat{f}} & \tilde{b} + \hat{v} \end{array}$$

$$v^b = \{y \in v \mid \exists i. f^i(y) \in b\}$$

$$v^\cup = \{y \in v \mid \forall i. f^i(y) \in v\}$$

$$v^\bullet = v^\cup / \approx$$

$$x \approx y \iff \exists k \ell \geq 0. g^k(x) = g^\ell(y)$$

Clones (Lawvere theories)

Given an algebraic theory $\mathcal{T} = \langle \Sigma_{\mathcal{T}}, E_{\mathcal{T}} \rangle$ where

- ▶ $\Sigma = \Sigma_{\mathcal{T}}$ is a signature, and
- ▶ $E = E_{\mathcal{T}}$ is a set of equations

adjoin to \mathbb{N}

- ▶ an arrow $m \xrightarrow{\varphi} n$ for every m -tuple $\langle \varphi_i(x_1, \dots, x_n) \rangle_{i \leq m}$ of well-formed Σ -operations, and
- ▶ identify them modulo E

to form (the dual of) the *clone* (or *Lawvere theory*)

- ▶ $\mathbb{N}[\mathcal{T}] = \mathbb{N}[\Sigma; E]$.

NB since the well-formed operations include projections, the arrows of $\mathbb{N}[\mathcal{T}]$ include the variables. A clone is thus a form of *polynomial category* (cf. Lambek-Scott).

Clones (Lawvere theories)

(à la Milner)

$$\begin{aligned} |\mathbb{N}[\mathcal{T}]| &= |\mathbb{N}| \\ \mathbb{N}[\mathcal{T}](m, n) &= \{ (x_1, \dots, x_n) \langle \varphi_1, \dots, \varphi_m \rangle \} / \alpha \end{aligned}$$

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Iterative algebras

Definition.

An algebraic theory \mathcal{T} is *iterative* if every system

$$\begin{aligned}y_1 &= f_1(y_1, y_2, \dots, y_k, \dots, y_\ell) \\y_2 &= f_2(y_1, y_2, \dots, y_k, \dots, y_\ell) \\&\dots \\y_k &= f_k(y_1, y_2, \dots, y_k, \dots, y_\ell)\end{aligned}$$

has a unique solution

$$\begin{aligned}f_1^\dagger(y_{k+1}, \dots, y_\ell) &= f_1(f_1^\dagger, f_2^\dagger, \dots, f_k^\dagger, \dots, y_\ell) \\f_2^\dagger(y_{k+1}, \dots, y_\ell) &= f_2(f_1^\dagger, f_2^\dagger, \dots, f_k^\dagger, \dots, y_\ell) \\&\dots \\f_k^\dagger(y_{k+1}, \dots, y_\ell) &= f_k(f_1^\dagger, f_2^\dagger, \dots, f_k^\dagger, \dots, y_\ell)\end{aligned}$$

provided that all equations are *guarded*, i.e. that none of the operations f_j is a projection.

Traced clones

Theorem

A clone $\mathbb{N}[\mathcal{T}]$ has a uniform trace if and only if the corresponding algebraic theory \mathcal{T} is iterative.

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Proof (1)

An arrow $f \in \mathbb{N}[\mathcal{T}](a + v, b + v)$ is a tuple

$$f_1^b = f_1^b(y_1^a, \dots, y_a^a, y_1^v, \dots, y_v^v)$$

...

$$f_b^b = f_b^b(y_1^a, \dots, y_a^a, y_1^v, \dots, y_v^v)$$

$$f_1^v = f_1^v(y_1^a, \dots, y_a^a, y_1^v, \dots, y_v^v)$$

...

$$f_v^v = f_v^v(y_1^a, \dots, y_a^a, y_1^v, \dots, y_v^v)$$

Proof (2)

Rearranging the equations, we can achieve that

$$\begin{aligned}f_1^V &= f_1^V(\dots, y_1^V, \dots, y_V^V) \\ &\dots \\ f_k^V &= f_k^V(\dots, y_1^V, \dots, y_V^V)\end{aligned}$$

are guarded operations, whereas

$$\begin{aligned}f_{k+1}^V &= f_{k+1}^V(\dots, y_1^V, \dots, y_V^V) \\ &\dots \\ f_V^V &= f_V^V(\dots, y_1^V, \dots, y_V^V)\end{aligned}$$

are projections.

Proof (3)

The second set just induces some identifications of variables.

This gives a $(v - k)$ -tuple $y_{k+1}^*, y_{k+2}^*, \dots, y_v^*$, possibly with repetitions.

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Proof (4)

Now solve

$$y_1^V = f_1^V(y_1^V, \dots, y_k^V, y_{k+1}^*, \dots, y_V^*)$$

...

$$y_k^V = f_k^V(y_1^V, \dots, y_k^V, y_{k+1}^*, \dots, y_V^*)$$

to get

$$f_1^\dagger = f_1^V(f_1^\dagger, \dots, f_k^\dagger, y_{k+1}^*, \dots, y_V^*)$$

...

$$f_k^\dagger = f_k^V(f_1^\dagger, \dots, f_k^\dagger, y_{k+1}^*, \dots, y_V^*)$$

Proof (5)

$Tr_{ab}^v(f) \in \mathbb{N}[\mathcal{T}](a, b)$ is now the tuple

$$f_1^\bullet = f_1^b(y_1^a, \dots, y_a^a, f_1^\dagger, \dots, f_k^\dagger, y_{k+1}^*, \dots, y_v^*)$$

$$f_2^\bullet = f_2^b(y_1^a, \dots, y_a^a, f_1^\dagger, \dots, f_k^\dagger, y_{k+1}^*, \dots, y_v^*)$$

...

$$f_b^\bullet = f_b^b(y_1^a, \dots, y_a^a, f_1^\dagger, \dots, f_k^\dagger, y_{k+1}^*, \dots, y_v^*)$$

Action categories

(Milner 95, DP 97)

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$$\begin{aligned} |\mathbb{N}[\mathcal{T}; \mathcal{A}]| &= |\mathbb{N}| \\ \mathbb{N}[\mathcal{T}; \mathcal{A}](m, n) &= \left\{ (x_1, \dots, x_n)[P]\langle \varphi_1, \dots, \varphi_m \rangle \right\} / \alpha \end{aligned}$$

Traced action categories

Theorem

An action category $\mathbb{N}[\mathcal{T}; \mathcal{A}]$ has a uniform trace if and only if the algebraic theory \mathcal{T} is iterative, and the pomsets in \mathcal{A} are consistent.

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Solving the Turing Test

[[this part was not presented]]

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- ▶ the trace operators can be viewed in a functorial form
 - ▶ as algebras for the loop monad
- ▶ the trace structure can be freely adjoined to process models
 - ▶ hiding = tracing out
- ▶ intrusion can be modeled in terms of the Int-composition
 - ▶ security analysis becomes unwinding the trace

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