# Neural Networks : Basics

Emil M. Petriu School of Electrical Engineering and Computer Science University of Ottawa <u>http://www.site.uottawa.ca/~petriu/</u> <u>petriu@uottawa.ca</u> **Biological Neurons** 



- **Dendrites** carry electrical signals in into the neuron body. The neuron **body** integrates and thresholds the incoming signals. The **axon** is a single long nerve fiber that carries the signal from the neuron body to other neurons.

A synapse is the connection between dendrites of two neurons.

 Incoming signals to a dendrite may be inhibitory or excitatory. The strength of any input signal is determined by the strength of its synaptic connection. A neuron sends an impulse down its axon if excitation exceeds inhibition by a critical amount (threshold/ offset/bias) within a time window (period of latent summation).

*Memories* are formed by the modification of the **synaptic strengths** which can change during the entire life of the neural systems..

Biological neurons are rather slow ( $10^{-3}$  s) when compared with the modern electronic circuits. ==> The brain is faster than an electronic computer because of its massively parallel structure. The brain has approximately  $10^{11}$  highly connected neurons (approx.  $10^4$  connections per neuron).

### Historical Sketch of Neural Networks

1940s

Natural components of mind-like machines are simple abstractions based on the behavior of biological nerve cells, and such machines can be built by interconnecting such elements.

W. McCulloch & W. Pitts (1943) the first theory on the fundamentals of neural computing (neuro-logicalnetworks) "A Logical Calculus of the Ideas Immanent in Nervous Activity" ==> McCulloch-Pitts neuron model; (1947) "How We Know Universals" - an essay on networks capable of recognizing spatial patterns invariant of geometric transformations.

- Cybernetics: attempt to combine concepts from biology, psychology, mathematics, and engineering.

**D.O. Hebb** (1949) "The Organization of Behavior" the first theory of psychology on conjectures about neural networks (neural networks might learn by constructing internal representations of concepts in the form of "cell-assemblies" - subfamilies of neurons that would learn to support one another's activities). ==> *Hebb's learning rule*: "When an axon of cell A is near enough to excite a cell B and repeatedly or persistently takes part in firing it, some growth process or metabolic change takes place in one or both cells such that A's efficiency, as one of the cells firing B, is increased."

1950s

Cybernetic machines developed as specific architectures to perform specific functions. ==> "machines that could learn to do things they aren't built to do"

**M. Minsky** (1951) built a reinforcement-based network learning system.

**F. Rosenblatt** (1958) the first practical Artificial Neural Network (ANN) - the *perceptron*, "The Perceptron: A Probabilistic Model for Information Storage and Organization in the Brain.".

By the end of 50s, the NN field became dormant because of the new AI advances based on serial processing of symbolic expressions.



B. Widrow & M.E. Hoff (1960) "Adaptive Switching Circuits" presents an adaptive percepton-like network. The weights are adjusted so to minimize the mean square error between the actual and desired output ==> *Least Mean Square (LMS) error algorithm*. (1961) Widrow and his students "Generalization and Information Storage in Newtworks of Adaline "Neurons."

M. Minsky & S. Papert (1969) "Perceptrons" a formal analysis of the percepton networks explaining their limitations and indicating directions for overcoming them ==> relationship between the perceptron's architecture and what it can learn: "no machine can learn to recognize X unless it poses some scheme for representing X."

Limitations of the perceptron networks led to the pessimist view of the NN field as having no future ==> no more interest and funds for NN research!!!



**T. Kohonen** (1972) "Correlation Matrix Memories" a mathematical oriented paper proposing a correlation matrix model for associative memory which is trained, using Hebb's rule, to learn associations between input and output vectors.

J.A. Anderson (1972) "A Simple Neural Network Generating an Interactive Memory" a physiological oriented paper proposing a "linear associator" model for associative memory, using Hebb's rule, to learn associations between input and output vectors.

**S. Grossberg** (1976) "Adaptive Pattern Classification and Universal Recording: I. Parallel Development and Coding of Neural Feature Detectors" describes a self-organizing NN model of the visual system consisting of a short-term and long term memory mechanisms. ==> continuous-time competitive network that forms a *basis for the Adaptive Resonance Theory (ART) networks*.



[Minsky]: "The marvelous powers of the brain emerge not from any single, uniformly structured connectionst network but from highly evolved arrangements of smaller, specialized networks which are interconnected in very specific ways."

**D.E. Rumelhart & J.L. McClelland**, eds. (1986) "Parallel Distributed Processing: Explorations in the Microstructure of Cognition: Explorations in the Microstructure of Cognition" represents a milestone in the resurgence of NN research.

J.A. Anderson & E. Rosenfeld (1988) "Neurocomputing: Foundations of Research" contains over forty seminal papers in the NN field.

 DARPA Neural Network Study(1988) a comprehensive review of the theory and applications of the Neural Networks.

International Neural Network Society (1988) .... IEEE Tr. Neural Networks (1990).

## Artificial Neural Networks (ANN)





$$y = f(w_1 \cdot p_1 + ... + w_j \cdot p_j + ... w_R \cdot p_R + b)$$

 $\mathbf{y} = \mathbf{f} \left( \boldsymbol{W} \cdot \boldsymbol{p} + \mathbf{b} \right)$ 

 $\boldsymbol{p} = (p_1, \dots, p_R)^T$  is the input column-vector  $\boldsymbol{W} = (w_1, \dots, w_R)$  is the weight row-vector

\*) The bias b can be treated as a weight whose input is always 1.

Some transfer functions "f" *Hard Limit*: y = 0 if z < 0y = 1 if  $z \ge 0$ *Symmetrical*: y = -1 if z < 0*Hard Limit* y = +1 if  $z \ge 0$ Log-Sigmoid:  $y = 1/(1+e^{-z})$ Ζ Linear:  $\mathbf{y} = \mathbf{z}$ 

ANNs map input/stimulus values to output/response values: Y = F(P).

- Number of inputs and outputs of the network;
- Number of layers;
- How the layers are connected to each other;
- The transfer function of each layer;
- Number of neurons in each layer;



Intelligent systems generalize: their behavioral repertoires exceed their experience. An intelligent system is said to have a <u>creative</u> <u>behaviour</u> if it provides appropriate

Measure of system's **F** creativity:

 $\frac{\text{Volume of "stimuli ball B}_{P} "}{\text{Volume of "response ball B}_{Y} "}$ 

responses when faced with new stimuli. Usually the new stimuli *P*' resemble known stimuli *P* and their corresponding responses *Y*' resemble known/learned responses *Y*.

- Most of the mapping functions can be implemented by a two-layer ANN: a sigmoid layer feeding a linear output layer.
- ANNs with biases can represent relationships between inputs and outputs than networks without biases.
- *Feed-forward* ANNs cannot implement temporal relationships. *Recurrent* ANNs have internal feedback paths that allow them to exhibit temporal behaviour.



Feed-forward architecture with three layers



#### Recurrent architecture (Hopfield NN)

The ANN is usually supplied with an initial input vector and then the outputs are used as inputs for each succeeding cycle.

- Learning Rules (Training Algorithms)

Procedure/algorithm to adjust the weights and biases in order for the ANN to perform the desired task.

#### Supervised Learning

For a given training set of pairs  $\{p(1),t(1)\},...,\{p(n),t(n)\}\}$ , where p(i) is an instance of the input vector and t(i) is the corresponding *target* value for the output y, the learning rule calculates the updated value of the neuron weights and bias.



#### Reinforcement Learning

Similar to supervised learning - instead of being provided with the correct output value for each given input, the algorithm is only provided with a given grade/score as a measure of ANN's performance.

#### Unsupervised Learning

The weight and unbiased are adjusted based on inputs only. Most algorithms of this type learn to cluster input patterns into a finite number of classes. => e.g. vector quantization applications

## THE PERCEPTRON

- Frank Rosenblatt (1958), Marvin Minski & Seymour Papert (1969)
- [Minski] "Perceptrons make decisions/determine whether or not event fits a certain pattern by adding up evidence obtained from many small experiments"
- The perceptron is a neuron with a hard limit transfer function and a weight adjustment mechanism ("learning") by comparing the actual and the expected output responses for any given input /stimulus.



<u>NB</u>: W is a row-vector and p is a column-vector.

- Perceptrons are well suited for pattern classification/recognition.
- The weight adjustment/training mechanism is called the *perceptron learning rule*.

## **Perceptron Learning Rule**





 $p = (p_1, ..., p_R)^T$  is the input column-vector  $W = (x_1, ..., x_R)$  is the weight row-vector

Perceptron learning rule:

if 
$$e = 1$$
, then  $W^{new} = W^{old} + p$ ,  $b^{new} = b^{old} + 1$ ;  
if  $e = -1$ , then  $W^{new} = W^{old} - p$ ,  $b^{new} = b^{old} - 1$ ;  
if  $e = 0$ , then  $W^{new} = W^{old}$ .

$$W^{\text{new}} = W^{\text{old}} + e p^{\text{T}}$$
$$b^{\text{new}} = b^{\text{old}} + e$$

The hard limit transfer function (threshold function) provides the ability to classify input vectors by deciding whether an input vector belongs to one of two *linearly separable classes*.



✓ The two classes (linearly separable regions) in the two-dimensional input space  $(p_1, p_2)$  are separated by the line of equation z = 0.

 $\checkmark$  The boundary is always orthogonal to the weight vector W.

**<u>Example #1</u>**: Teaching a two-input perceptron to classify five input vectors into two classes\_





> The larger an input vector p is, the larger is its effect on the weight vector W during the learning process

Long training times can be caused by the presence of an "outlier," i.e. an input vector whose magnitude is much larger, or smaller, than other input vectors.

**Normalized perceptron learning rule,** the effect of each input vector on the weights is of the same magnitude:  $\boldsymbol{W}^{\text{new}} = \boldsymbol{W}^{\text{old}} + e \boldsymbol{p}^{\text{T}} / \| \boldsymbol{p} \|$  $b^{\text{new}} = b^{\text{old}} + e$ 

Perceptron Networks for Linearly Separable Vectors

The hard limit transfer function of the perceptron provides the ability to classify input vectors by deciding whether an input vector belongs to one of two *linearly separable classes*.





One-layer multi-perceptron classification of linearly separable patterns



### Perceptron Networks for Linearly Non-Separable Vectors



 $\mathbf{p} = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \end{bmatrix}$  $\mathbf{t}_{\text{XOR}} = \begin{bmatrix} 0 & 1 & 1 & 0 \end{bmatrix}$ 

If <u>a straight line cannot be drawn</u> between the set of input vectors associated with targets of 0 value and the input vectors associated with targets of 1, than a perceptron cannot classify these input vectors.

☆ One solution is to use a two layer architecture, the perceptrons in the first layer are used as preprocessors producing linearly separable vectors for the second layer.



## LINEAR NEURAL NETWORKS (ADALINE NETWORKS)



Where: R = # Inputs, S = # Neurons

Linear neurons have a *linear transfer function*that allows to use a Least Mean-Square (LMS) procedure
*Widrow-Hoff learning rule*- to adjust weights and biases according to the magnitude of errors.

Linear neurons suffer from the same limitation as the perceptron networks: they can only solve *linearly separable problems*.

( *ADALINE* <== *ADA*ptive *LI*near *NE*uron )



The LMS algorithm will adjust ADALINE's weights and biases in such away to *minimize the <u>mean-square-</u> <u>error</u> E[e^2] between all sets of the desired response and network's actual response:* 

$$E[(\mathbf{t}-\mathbf{y})^2] = E[(\mathbf{t}-(\mathbf{w}_1 \dots \mathbf{w}_R \ \mathbf{b}) \cdot (\mathbf{p}_1 \dots \mathbf{p}_R \ 1)^T)^2]$$
  
= E[(\mathbf{t}-\mathbf{W} \cdot \mathbf{p})^2]

(<u>NB</u>: E[...] denotes the "expected value";  $\mathbf{p}$  is column vector)

#### >> Widrow-Hoff algorithm



□ The W-H rule is an iterative algorithm uses the "steepest-descent" method to reduce the mean-square-error. The key point of the W-H algorithm is that it replaces E[e<sup>2</sup>] estimation by the squared error of the iteration k: e<sup>2</sup>(k). At each iteration step k it estimates the gradient of this error ∇<sub>k</sub> with respect to W as a vector consisting of the partial derivatives of e<sup>2</sup>(k) with respect to each weight:

$$\nabla_{k}^{*} = \frac{\partial e^{2}(k)}{\partial W(k)} = \left[\frac{\partial e^{2}(k)}{\partial w_{1}(k)} \dots \frac{\partial e^{2}(k)}{\partial w_{R}(k)}, \frac{\partial e^{2}(k)}{\partial b(k)}\right]$$

The weight vector is then modified in the direction that decreases the error:

$$W(k+1) = W(K) - \mu \bullet \nabla_{k}^{*} = W(k) - \mu \bullet \frac{\partial e^{2}(k)}{\partial W(k)} = W(k) - 2\mu \bullet e(k) \bullet \frac{\partial e(k)}{\partial W(k)}$$

As t(k) and p(k) - both affecting e(k) - are independent of W(k), we obtain the final expression of the **Widrow-Hoff learning rule**:

where  $\mu$  the "learning rate" and  $e(k) = t(k)-y(k) = t(k)-W(k) \cdot p(k)$ 

#### >> Widrow-Hoff algorithm

**Demo Lin 2** in the "MATLAB Neural Network Toolbox - User's Guide"

P = [ 1.0	-1.2]	
T = [ 0.5	1.0]	<u> </u>

One-neuron one-input ADALINE, starting from some random values for w = -0.96 and b = -0.90 and using the "*trainwh*" MATLAB NN toolbox function, reaches the target after 12 epochs with an error e < 0.001. The solution found for the weight and bias is: w = -0.2354 and b = 0.7066.





P. Werbos (Ph.D. thesis 1974);D. Parker (1985), Yann Le Cun(1985),D. Rumelhart, G. Hinton, R. Williams (1986)

□ Single layer ANNs are suitable to only solving linearly separable classification problems. Multiple feedforward layers can give an ANN greater freedom. Any reasonable function can be modeled by a two layer architecture: a sigmoid layer feeding a linear output layer.

□ Single layer ANNs are only able to solve linearly Widrow-Hoff learning applies to single layer networks. ==> generalized W-H algorithm ( $\Delta$  -rule) ==> **back-propagation learning**.



#### >>Back-Propagation

□ Back-propagation is an iterative steepest descent algorithm, in which the performance index is the mean square error E [e<sup>2</sup>] between the desired response and network's actual response:



#### EXAMPLE:

#### **Function Approximation by Back-Propagation**

Demo BP4 in the" MATLAB Neural Network Toolbox User's Guide"





## ANNs / Neurocomputers ==>architectures optimized for neuron model implementation

- *general-purpose*, able to emulate a wide range of NN models;
- *special-purpose*, dedicated to a specific NN model.

Hardware NNs consisting of a collection of simple neuron circuits provide the massive computational parallelism allowing for a higher modelling speed.



#### **ANN VLSI Architectures:**

- analog ==> compact, high speed, asynchronous, no quantization errors, convenient weight "+"and "X";
- digital ==> more efficient VLSI technology, robust, convenient weight storage;

#### **Pulse Data Representation:**

- *Pulse Amplitude Modulation* (PAM) not satisfactory for NN processing;
- Pulse Width Modulation (PWM);
- Pulse Frequency Modulation (PFM).

## $\square$

**Pulse Stream ANNs**: combination of different pulse data representation methods and opportunistic use of both analog and digital implementation techniques.

Interactive VE applications require **real-time** rendering of **complex NN models** 

## HARDWARE NEURAL NETWORK ARCHITECTURES USING RANDOM-PULSE DATA REPRESENTATION

Looking for a model to prove that algebraic operations with analog variables can be performed by logical gates, **von Neuman** advanced in 1956 the idea of representing analog variables by the mean rate of random-pulse streams [*J. von Neuman, "Probabilistic logics and the synthesis of reliable organisms from unreliable components," in Automata Studies, (C.E. Shannon, Ed.), Princeton, NJ, Princeton University Press, 1956].* 

The "**random-pulse machine**" concept, [*S.T. Ribeiro, "Random-pulse machines," IEEE Trans. Electron. Comp., vol. EC-16, no. 3, pp. 261-276,1967*], a.k.a. "noise computer", "stochastic computing", "dithering" deals with analog variables represented by the mean rate of random-pulse streams allowing to use digital circuits to perform arithmetic operations. This concept presents a good tradeoff between the electronic circuit complexity and the computational accuracy. The resulting neural network architecture has a high packing density and is well suited for very large scale integration (VLSI).

### ✤ HARDWARE ANN USING RANDOM-PULSE DATA REPRESENTATION

[E.M. Petriu, K. Watanabe, T. Yeap, "Applications of Random-Pulse Machine Concept to Neural Network Design," IEEE Trans. Instrum. Meas., Vol. 45, No.2, pp.665-669, 1996.]



One-Bit "Analog / Random Pulse" Converter

>>> Random-Pulse Hardware ANN



>>> Random-Pulse Hardware ANN



Random Pulse Implementation of a Synapse

Moving Average 'Random Pulse -to- Digital " Conversion





Random Pulse Multiplication



### HARDWARE ANN USING MULTI-BIT RANDOM-DATA REPRESENTATION

 [ E.M. Petriu, L. Zhao, S.R. Das, and A. Cornell, "Instrumentation Applications of Random-Data Representation," *Proc. IMTC/2000, IEEE Instrum. Meas. Technol. Conf.*, pp. 872-877, Baltimore, MD, May 2000]
[ L. Zhao, "Random Pulse Artificial Neural Network Architecture," *M.A.Sc. Thesis, University of Ottawa*, 1998]



Generalized *b*-bit analog/random-data conversion and its quantization characteristics

Quantization levels	Relative mean square error	
2	72.23	
3	5.75	
4	2.75	
8	1.23	
analog	1	



Mean square errors function of the moving average window size



Stochastic adder for random-data.



	Y	0	1	-1
x	$\overline{}$	00	01	10
0	00	<b>0</b> 00	<b>0</b> 00	<b>0</b> 00
1	01	<b>0</b> 00	<b>1</b> 01	<b>-1</b> 10
-1	10	<b>0</b> 00	<b>-1</b> 10	<b>1</b> 01

2-bit random-data multiplier.



Example of 2-bit random-data multiplication.



Multi-bit random-data implementation of a synapse



Multi-bit random-data implementation of a neuron body.

>>> Random-Pulse Hardware ANN



Auto-associative memory NN architecture







Recovery of 30% occluded patterns



Training set