

CLASSIFICATIONS AND BASIS ENUMERATIONS IN MANY-VALUED LOGICS  
 – A SURVEY –

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ABSTRACT

The functions in a closed subset  $C$  of  $P_k$  may be classified by their membership in the maximal subsets of  $C$ , leading to a natural classification of bases of  $C$  into equivalence classes (called aggregates). This paper presents classifications of functions and enumerations of aggregates for the sets  $P_2, P_3$ , their maximal sets, several modifications of algebra of logic and some closed subsets of  $P_k$ . Sheffer functions for these sets are also considered. Finally this paper states some problems and provides an extensive bibliography.

**1. Functional completeness problem and classification in  $P_k$**

Let  $k$  be a fixed positive integer and let  $E_k = \{0, 1, \dots, k-1\}$ . The set of  $k$ -valued (logical) functions (i.e. maps  $f : E_k^n \rightarrow E_k$  for  $n = 1, 2, \dots$ ) is denoted by  $P_k$ . A subset  $F$  of  $P_k$  is said to be closed if it contains all compositions (or superpositions) of its members (cf. [21,67]). More precisely, for  $f \in P_k$   $m$ -ary and  $g \in P_k$   $n$ -ary put  $r := m + n - 1$  and define the  $r$ -ary  $h = f * g$  by setting

$$h(x_1, \dots, x_r) := f(g(x_1, \dots, x_n), x_{n+1}, \dots, x_r) \quad (1)$$

for all  $x_1, \dots, x_r \in E_k$ . Further, for  $m > 1$  put

$$(\zeta f)(x_1, \dots, x_m) := f(x_2, \dots, x_m, x_1),$$

$$(\tau f)(x_1, \dots, x_m) := f(x_2, x_1, x_3, \dots, x_m),$$

$$(\Delta f)(x_1, \dots, x_{m-1}) := f(x_1, x_1, \dots, x_{m-1})$$

for all  $x_1, \dots, x_m \in E_k$  and  $\zeta f = \tau f = \Delta f = f$  for  $f$  unary ( $m = 1$ ). Now  $F$  is closed if  $f * g, \zeta f, \tau f$  and  $\Delta f \in F$  whenever  $f, g \in F$ .

For closed sets  $F$  and  $H$  such that  $F \subset H$  (proper inclusion),  $F$  is  $H$ -maximal set if there is no closed set  $G$  such that  $F \subset G \subset H$  (i.e.  $H$  covers  $F$  in the set of closed sets ordered by  $\subseteq$ ). A subset  $X$  of  $H$  is complete in  $H$  if  $H$  is the least closed set containing  $X$ . In the sequel we always assume that  $H$  has the following property: Each proper closed subset of  $H$  extends to an  $H$ -maximal set. (This property need not hold, in fact there is an example of such  $P_3$ -maximal set [45, 97]). It is known that then there are finitely many  $H$ -maximal sets, say  $H_1, \dots, H_m$ . It is known and easy to see that a subset of functions in  $H$  is complete in  $H$  if and only if it is contained in no  $H$ -maximal set (completeness condition)(cf. [67]).

Investigations of completeness and related topics, usually called functional completeness problems, are mathematically important and have a wide range of applications including their direct relationship to logical circuit design. A complete set  $X$  in  $H$  is called a base of  $H$  if no proper subset of  $X$  is complete in  $H$ . The rank of a base is the number of its elements. A function  $f$  is Sheffer for  $H$  if  $\{f\}$  is a base (of rank 1) of  $H$ . In other words, a function  $f$  is Sheffer for  $H$  if and only if every  $g \in H$  is a composition of a finite number of copies of  $f$ . Clearly  $f$  is Sheffer for  $H$  if and only if it belongs to no  $H$ -maximal sets. Typical examples of binary functions (i.e. Boolean two-variable functions) that are Sheffer for  $P_2$  are the Sheffer (or better Nicode's) strokes NAND and NOR of the algebra of logic. A Sheffer stroke describes the "operation" of a two-input one-output gate (or element)  $G$  such that every Boolean function  $f(x_1, \dots, x_n)$  may be represented by the output of a combinatorial (i.e. feedback-free) circuit with inputs  $x_1, \dots, x_n$  and built solely from copies of  $G$  (however, the number of the gates needed for the representation may be

large). A comprehensive survey on Sheffer functions can be found in [67]. A variation of the definition of completeness is the concept of "complete with constants", abbreviated *c-complete*, which assumes that for composition besides  $f$  one can freely utilize constant-valued functions. More precisely, let  $Q$  denote the set of unary constant functions from  $H$ . A subset  $X$  of  $H$  is *c-complete* in  $H$  if  $X \cup Q$  is complete in  $H$ . This makes sense in real combinatorial circuits, since the constant-valued functions (i.e. constant signals) are usually obtained with no extra cost. In particular,  $f$  is *c-Sheffer* for  $H$  means  $\{f\}$  is *c-complete* in  $H$ .

The functions from  $H$  may be classified by their membership in the  $H$ -maximal sets. Let  $H_1, \dots, H_m$  be the  $H$ -maximal sets. As mentioned above, a subset  $X$  of  $H$  is complete in  $H$  if and only if for each  $1 \leq i \leq m$  there is  $f_i \in X \cap (H \setminus H_i)$  (the  $f_i$ 's need not be distinct). This leads to the following: Define the map  $\varphi : H \rightarrow \{0, 1\}^m$  by setting  $\varphi(f) := a_1 \dots a_m$  where  $a_i = 0$  if  $f \in H_i$  and  $a_i = 1$  if  $f \notin H_i$  (here  $a_1 \dots a_m$  stands for the more customary  $\langle a_1 \dots a_m \rangle$  or  $\langle a_1 \dots a_m \rangle$ ). We call  $\varphi(f)$  the *characteristic vector* of  $f$ . We put  $f \equiv g$  if  $f, g \in H$  have the same characteristic vector, i.e. if  $\varphi(f) = \varphi(g)$ . Clearly  $\equiv$  is an equivalence relation on  $H$  (it is the standard kernel of  $\varphi$ ) and so it partitions  $H$  into pairwise disjoint nonempty sets called (*equivalence*) *classes*. Note that for  $f \equiv g$  we have either  $f, g \in H_i$  or  $f, g \notin H_i$  for all  $i = 1, \dots, m$ . We write  $AB$  for  $A \cap B$ ,  $A^1$  for  $A$  and  $A^0$  for  $H \setminus A$  ( $A, B$  subsets of  $H$ ). Clearly each class is of the form  $H_1^{a_1} \dots H_m^{a_m}$  where  $(1 - a_1) \dots (1 - a_m)$  is a characteristic vector (i.e. it is a non-empty set of the form  $H_1^{a_1} \dots H_m^{a_m}$  with  $a_1 \dots a_m \in \{0, 1\}^m$ ). If  $f \in X \subseteq H$  and  $f \equiv g$  then clearly  $X$  is complete in  $H$  if and only if  $(X \setminus \{f\}) \cup \{g\}$  is complete in  $H$ . In other words, it suffices to study the completeness in  $H$  up to the equivalence  $\equiv$ . It is easy to see that  $X \subseteq H$  is complete in  $H$  if and only if  $s = \sum_{f \in X} \varphi(f)$  (the usual componentwise sum of real  $m$ -vectors) is positive (i.e. has all coordinates  $\geq 1$ ). Once we know all the characteristic vectors, we can find all complete sets in  $H$  and all bases by a direct combinatorial check (which may be done by a simple computer program). If to  $a_1 \dots a_m \in \{0, 1\}^m$  we associate  $A = \{i : a_i = 1\}$  and if  $A_1, \dots, A_l$  are the subsets of  $\{1, \dots, m\}$  corresponding

to the characteristic vectors, the completeness problem is reduced to the listing of  $\{A_1, \dots, A_l\}$  covering  $\{1, \dots, m\}$  and the basis problem to the listing of such coverings which are irredundant (no proper subset covers  $\{1, \dots, m\}$ ). The study of classes also provides information on the closed sets which are the intersections of families of  $H$ -maximal sets, which is of independent interest (e.g. for  $H = P_3$  with one exception the least nontrivial intersections are all minimal clones). The characteristic vectors can also be applied to seek the set of classes of functions which makes a given incomplete set complete.

For the description of closed sets containing all projections (i.e. functions  $e_i^n$  defined by setting  $e_i^n(x_1, \dots, x_n) := x_i$  for all  $x_1, \dots, x_n \in E_k$ ,  $1 \leq i \leq n$ ) called *clones*, we need the following essential concept of "functions preserving a relation" [cf. 67].

Let  $h \geq 1$ . An  $h$ -ary relation  $\rho$  on  $E_k$  is a subset of  $E_k^h$  (i.e. a set of  $h$ -tuples over  $E_k$ ) whose elements are written as columns. Given row  $n$ -vectors  $\mathbf{a}_i = (a_{i1}, \dots, a_{in})$  ( $i = 1, \dots, h$ ) we write  $(\mathbf{a}_1, \dots, \mathbf{a}_h)^T \in \rho^n$  to indicate that  $(a_{1j}, \dots, a_{hj})^T \in \rho$  for all  $j = 1, \dots, n$ , where  $T$  denotes the transpose (this means that the  $h \times n$  matrix with rows  $\mathbf{a}_1, \dots, \mathbf{a}_h$  has all columns in  $\rho$ ). We say that an  $n$ -ary  $f \in P_k$  preserves  $\rho$  if

$$(f(\mathbf{a}_1), \dots, f(\mathbf{a}_h))^T \in \rho \text{ whenever } (\mathbf{a}_1, \dots, \mathbf{a}_h)^T \in \rho^n.$$

Then the set of functions preserving  $\rho$  is denoted by  $\text{Pol } \rho$ :  

$$\text{Pol } \rho = \{f \mid (\mathbf{a}_1, \dots, \mathbf{a}_h)^T \in \rho^n \Rightarrow (f(\mathbf{a}_1), \dots, f(\mathbf{a}_h))^T \in \rho\}.$$

It is known that each  $\text{Pol } \rho$  is a clone and conversely to each clone  $C$  there are relations  $\rho_1, \rho_2, \dots$  such that  $\text{Pol } \rho_1 \supseteq \text{Pol } \rho_2 \supseteq \dots \supseteq C$  and  $C = \bigcap_{i=1}^{\infty} \text{Pol } \rho_i$ . In particular, if  $H$  is a clone, then all  $H$ -maximal sets are of the form  $\text{Pol } \rho$  for some relation  $\rho$ .

Throughout this paper by  $x + y$  and  $xy$  we denote  $x + y \pmod k$  and  $xy \pmod k$ , respectively. Intersection of sets  $X_1, \dots, X_r$  will be denoted by  $X_1 \dots X_r$ . Finally, let  $x^r$  denote  $x \dots x$  ( $r$  times) whenever  $x$  is a component of a vector. A relation  $\rho$  is often written as matrix whose columns list all the elements of  $\rho$  (in some order).

Let  $f \in P_k$  be  $n$ -ary. The dual  $f^+$  of  $f$  is defined by

setting

$$f^+(x_1, \dots, x_n) := f(x_1 + 1, \dots, x_n + 1) + 1$$

for all  $x_1, \dots, x_n \in E_2$ . We say that  $f$  is *self-dual* if  $f = f^+$ . Again, we say that  $f$  is *linear* if there are  $a_0, \dots, a_n \in E_k$  so that  $f(x_1, \dots, x_n) = a_0 + a_1x_1 + \dots + a_nx_n$  holds for all  $x_1, \dots, x_n \in E_k$ . Finally,  $f$  is *monotone* (isotone, order-preserving) if  $f(x_1, \dots, x_n) \leq f(y_1, \dots, y_n)$  whenever  $x_1 \leq y_1, \dots, x_n \leq y_n$  (here  $\leq$  denotes the natural order  $0 < 1 < \dots < k - 1$ ).

**Theorem 1.1.** [62]  $P_2$  has exactly the following 5 maximal sets:

$$\begin{aligned} T_0 &= Pol(0) = \{f \mid f(0, \dots, 0) = 0\} \\ &\quad \text{(the set of functions preserving 0),} \\ T_1 &= Pol(1) = \{f \mid f(1, \dots, 1) = 1\} \\ &\quad \text{(the set of functions preserving 1),} \\ S &= Pol \begin{pmatrix} 01 \\ 10 \end{pmatrix} \text{ (the set of selfdual functions),} \\ L &= Pol(\{(a, b, c, d)^T \in E_2^4 \mid a + b = c + d\}) \\ &\quad \text{(the set of linear functions),} \\ M &= Pol \begin{pmatrix} 010 \\ 011 \end{pmatrix} \text{ (the set of monotone functions).} \end{aligned}$$

The  $P_k$ -maximal sets for  $k > 2$  are known [21,64] and some of them are discussed in Sections 4–6.

## 2. Classifications of functions and bases in $P_2$

There are 15 classes of functions of  $P_2$  [20,16,27]. We present them by their characteristic vectors. The components of the characteristic vectors are given with respect to the order  $T_0, T_1, S, L, M$  of the  $P_2$ -maximal sets.

- |           |           |           |           |           |
|-----------|-----------|-----------|-----------|-----------|
| 1. 11111  | 2. 11011  | 3. 01111  | 4. 10111  | 5. 11001  |
| 6. 10101  | 7. 01101  | 8. 00111  | 9. 10100  | 10. 01100 |
| 11. 00110 | 12. 00011 | 13. 00010 | 14. 00001 | 15. 00000 |

For instance, the class 6 represents the set  $\overline{T_0}T_1\overline{S}L\overline{M}$ , where  $\overline{X}$  denotes  $P_2 \setminus X$ . The class 9 (10) consists only of the constant function 1 (0).

There are two conditions for  $X \subseteq P_2$  to be a base: completeness and irredundancy. As mentioned above,  $X$  is complete iff  $\sum_{f \in X} \varphi(f)$  is a positive integer vector and  $X$  is irredundant iff for each  $g \in X$  the sum  $\sum_{f \in X \setminus \{g\}} \varphi(f)$  has at least one 0 coordinate. An *aggregate* is the set of all bases having the same set of characteristic vectors.

There are 42 aggregates for  $P_2$  [16,27]:

1 aggregate of rank 1: (1);

17 aggregates of rank 2:

- (2,3),(2,4),(2,6),(2,7),(2,8),(2,9),  
(2,10),(2,11),(3,4),(3,5),(3,6),(3,9),  
(4,5),(4,7),(4,10),(5,8),(5,11);

22 aggregates of rank 3:

- (5,6,12),(5,6,13),(5,7,12),(5,7,13),(5,9,12),  
(5,9,13),(5,10,12),(5,10,13),(6,7,8),(6,7,11),  
(6,7,12),(6,7,13),(6,8,10),(6,10,11),(6,10,12),  
(6,10,13),(7,8,9),(7,9,11),(7,9,12),(7,9,13),  
(8,9,10),(9,10,12);

2 aggregates of rank 4: (9,10,11,14),(9,10,13,14).

Note that there are only three aggregates containing constant functions: (9,10,12), (9,10,11,13) and (9,10,13,14).

The number of bases of  $P_2$  consisting of  $n$ -ary functions (functions with at most  $n$  variables) in an aggregate can be calculated as a product of the numbers of  $n$ -ary functions in the classes determined by the characteristic vectors. Summing these numbers for all aggregates for a rank we obtain corresponding data for the bases of the rank and finally the number of all bases containing  $n$ -ary functions.

Each function of the class 1 (i.e.  $\overline{T_0}\overline{T_1}\overline{S}L\overline{M}$ ) is a base of  $P_2$  i.e. a Sheffer function. There is a well-known condition for a function to be Sheffer function in  $P_2$  (cf. [67,13]). A function  $f$  is Sheffer if and only if  $f \notin T_0 \cup T_1 \cup S$ . The number of Sheffer functions of  $n$  variables is  $2^{2^n - 2} - 2^{2^{n-1} - 1}$  (cf. [67,13]). Thus for large  $n$  almost 25% of  $n$ -ary operations are Sheffer.

The  $c$ -completeness in  $P_2$  is sometimes called completeness in *ES-algebra*, where ES stands for extended superposition. Clearly  $X$  is  $c$ -complete if and only if  $X \not\subseteq M$  and  $X \not\subseteq L$ . There are four classes of functions and two aggregates in ES-algebra [71]. A function  $f$  is  $c$ -Sheffer for  $P_2$  if and only if  $f \notin M \cup L$  [20,15]. Thus the number of Boolean  $c$ -Sheffer functions is  $2^{2^n} - 2^{n+1} + n + 2 - \Psi(n)$  [15], where  $\Psi(n)$  denotes the number of monotone functions of  $n$  variables and called the Dedekind number. When  $n$  is large, almost all Boolean functions are  $c$ -Sheffer [15].

The set  $M$  contains four maximal sets [93,13]:  $M^0 = MT_0, M^1 = MT_1, D$  and  $C$ , where  $D = \{0, 1, \vee\}$  (the

set of constants and disjunctions) and  $C = \{0, 1, \wedge\}$  (the set of constants and conjunctions). There are 6 classes of functions and two aggregates in  $M$  [71]. There is no Sheffer function for the set  $M$ .

The set  $T_0$  contain 4 maximal sets [93,13]:  $LT_0$ ,  $M^0$ ,  $T_0T_1$  and  $N_0$ , where

$$N_0 = Pol \begin{pmatrix} 010 \\ 100 \end{pmatrix}.$$

There are 10 classes of functions and 14 aggregates [71].

The map  $f \rightarrow f^+$  (introduced in Section 1) respects composition (i.e. is compatible with  $*, \zeta, \tau$  and  $\Delta$ ). In particular, it induces an (order) automorphism of closed sets (i.e.  $A \subseteq B \Leftrightarrow A^+ \subseteq B^+$  where  $A^+ := \{f^+ : f \in A\}$ ) and carries complete sets and bases onto complete sets and bases. As  $T_0^+ = T_1$ , all statements concerning  $T_0$  translate to  $T_1$ . For example, the  $T_1$ -maximal sets are  $LT_1$ ,  $M^1$ ,  $T_0T_1$  and  $N_1 = Pol \begin{pmatrix} 101 \\ 011 \end{pmatrix}$  (for the latter: given an  $h$ -ary relation  $\rho$  put  $\rho^+ := \{(a_1 + 1, \dots, a_n + 1) | (a_1, \dots, a_n) \in \rho\}$ , then  $(Pol \rho)^+ = Pol \rho^+$ ).

The sets  $L$  and  $S$  will be considered in Section 5 as subsets of  $P_k$ .

### 3. Classifications for various modifications of algebra of logic

In Section 1 we have defined composition and closed sets in a way suitable for propositional logic and universal algebra. There are several variations of composition depending on the method of constructing a network from gates or restrictions imposed by real circuit requirements. We list them mostly by names and references. The corresponding classifications are given in [78,71,52,17,18] and Sheffer (c-Sheffer) functions in [71,56].

The operation  $*$  defined by (1) in Section 1 was replaced by a special composition in the Algebra  $\Phi^0$  [7,8]. The notions of composition under *r-line coding* and *up-to-coding completeness* were introduced in [12]. A similar completeness was studied in [17,25]. This is also related to the so-called *SP algebra* described in [13].

So far we have considered gates with the output immediately reacting to changes on the inputs. Real gates react

with a certain delay. The completeness for the gates having unit-delay or having positive-integer-delays was studied in [30] and its modifications for the unit-delay in [17,18]. Still another construction method for unit-delay called *sequential circuit completeness* was introduced in [60]. The notion of *GS-algebra* described in [13] is also related to the above concept. The situation is complex and cannot be adequately explained here (a short survey can be found in [56]). We refer the reader to [30,88,59,14] for more details.

### 4. Classifications of $P_3$ and its maximal sets

Determination of maximal sets for the set  $P_k$  and its closed sets has been the subject of investigation in a large number of papers [62,93,20,21,64,65,39,1,10,31,33-36].

**Theorem 4.1.** [21]  $P_3$  has exactly 18 maximal sets.

The first attempt to derive classes of functions of  $P_3$  was done in [46]. But, it counted several characteristic vectors twice as different classes, consequently the number of classes of functions reported there was incorrect; this was corrected in [69]. The numbers of classes of functions and aggregates for  $P_3$  and for all  $P_3$ -maximal sets are reported in [53] (the numbers of irredundant incomplete sets are also given there). Two algorithms for the enumeration of aggregates are given in [47,51] and [69,51,75,77]. They are compared in [51,75].

The set  $P_3$  has exactly 6,239,721 aggregates [69]. The number of aggregates containing the constant functions  $\{0,1,2\}$  is exactly 1,391 [47]: There are 2 such aggregates of rank 4, 633 of rank 5 and 756 of rank 6. There are two proofs that the maximal rank of a base of  $P_3$  is 6: computational [47] and theoretical [79]. Note that there is no base of rank 7 while there exist irredundant incomplete sets with 7 elements. Also note that there is no Sheffer function in some maximal sets of  $P_3$  (cf. [87,94]).

Sheffer functions in  $P_3$  have been studied (cf. [67,82]). The number of  $n$ -ary ( $n \geq 2$ ) Sheffer functions in  $P_3$  is [82]:

$$8 \cdot 3^{3^n-3} - 2 \cdot 3^{3^{n-1}-1} - 2^{2^n-1} 3^{3^n-2^n} - 6 \prod_{i=1}^{n-1} (2^{2^i} + 1) \binom{n}{i} + 6 \prod_{i=1}^{n-1} (2^{2^{i-1}} + 1) \binom{n}{i}$$

Thus the number of Sheffer functions with 2 and 3 variables are 3,774 and 2,110,663,244,298, respectively [42,82]. The corresponding formula for the number of symmetric Sheffer functions is given in [95].

## 5. Classifications of the functions for some closed subsets of $P_k$

There are several classification results for closed sets in  $P_k$  [74,71,54,55]. A function is *linear* if there are  $a_0, \dots, a_n \in E_k$  so that

$$f(x_1, \dots, x_n) = a_0 + a_1x_1 + \dots + a_nx_n$$

holds for all  $x_1, \dots, x_n \in E_k$ . The set of linear functions has been investigated (cf. [1,2,36]). It is  $P_k$ -maximal if and only if  $k$  is a prime number [21]. Let  $L$  be the set of linear functions of  $P_k$  and  $T_m = \{f \mid f(m, \dots, m) = m\}$  the set of functions preserving  $m$  ( $0 \leq m \leq k-1$ ).

**Theorem 5.1.** [1,2] *There are exactly  $p+2$  maximal sets of  $L$  in prime-valued logic  $P_p$ :*

$$\begin{aligned} L_m &= LT_m, 0 \leq m \leq p-1, \\ L_S &= LS = \{a_0 + a_1x_1 + \dots + a_nx_n \mid a_1 + \dots + a_n = 1\} \\ &\quad \text{(the set of linear selfdual functions),} \\ L^{(1)} &= \{a_0 + a_1x_i \mid a_0, a_1 \in \{0, 1, \dots, p-1\}, i > 0\} \\ &\quad \text{(the set of essentially unary linear functions).} \end{aligned}$$

There are exactly  $2p+4$  classes of functions of the set  $L$  [74]. Their characteristic vectors listed with respect to the above order of maximal sets are:

$$\begin{aligned} 1: & \quad 0^{p+2} \text{ (i.e. } p+2 \text{ zeros)} \\ 2: & \quad 0^{p+1}1 \\ 3 \leq r \leq p+3: & \quad 1^{r-3}01^{p+3-r}0 \\ p+4 \leq r \leq 2p+4: & \quad 1^{r-p-4}01^{2p+5-r}. \end{aligned}$$

Let  $f(x_1, \dots, x_n) = a_0 + a_1x_1 + \dots + a_nx_n$  be a linear function in  $P_p$ . The function  $x$  is in the class 1, and the function  $a_1x_1 + \dots + a_nx_n$  is in the class 2 for  $n \geq 2$  and  $a_1 + \dots + a_n = 1$ . The functions  $a_0 + x$  are in the class  $p+3$  for  $a_0 \neq 0$ , and the functions  $a_0 + a_1x_1 + \dots + a_nx_n$  for  $a_0 \neq 0$  and  $a_1 + \dots + a_n = 1$ ,  $n \geq 2$  are in the class  $2p+4$ . The constant function  $f = i$  belongs to the class  $i+3$  ( $0 \leq i \leq p-1$ ). Let  $a_1 + \dots + a_n \neq 1$  and let  $a$  be the number determined uniquely by  $a(1 - a_1 - \dots - a_n) = a_0$ , i.e.  $a_0 + a_1a + \dots + a_na = a$  ( $a \in E_p$ ). Then the function

$f(x_1, \dots, x_n) = a_0 + a_1x_1 + \dots + a_nx_n$  belongs to the class  $p+4+a$ , because it preserves  $a$ .

No Sheffer function for  $L$  exists. However, each  $f \in L \setminus L^{(1)}$  is c-Sheffer as  $0 \notin T_m$  ( $m \geq 1$ ),  $1 \notin T_0$ ,  $0 \notin S$ . The number of such  $n$ -ary functions is  $p^{n+1} - np(p-1) - p$  ( $n \geq 2$ ). As  $n \rightarrow \infty$  the proportion of c-Sheffer  $n$ -ary linear functions (among  $n$ -ary linear functions) goes rapidly to 1.

Bases of rank 2 are composed of any two functions of classes  $i$  and  $j$ , where  $i$  and  $j$  satisfy the condition

- a)  $p+4 \leq i < j \leq 2p+4$ , or
- b)  $3 \leq i \leq p+3 < j \leq 2p+4$  and  $j \neq i+p+1$ .

Bases of rank 3 contain a function of the class 2 and two functions, one each from the classes  $i$  and  $j$ , where  $3 \leq i < j \leq p+3$ . Thus  $L$  contains exactly  $4 \binom{p+1}{2}$  aggregates;  $3 \binom{p+1}{2}$  of rank 2 and  $\binom{p+1}{2}$  of rank 3. The maximal rank of a base of  $L$  is 3.

The  $H$ -maximal sets for the above  $p+2$   $L$ -maximal sets  $H$  ( $p$  prime) are determined in [1] and their classification is in [74].

Let  $S = Pol \begin{pmatrix} 0 & 1 & \dots & k-2 & k-1 \\ 1 & 2 & \dots & k-1 & 0 \end{pmatrix}$ . It is easy to verify that  $S$  is a set of selfdual functions in  $k$ -valued logic (i.e.  $f$  such that  $f(x_1+1, \dots, x_n+1) = f(x_1, \dots, x_n) + 1$ ). Note that there are another types of selfdual functions (cf. [65]).

**Theorem 5.2.** [96] *There are exactly two  $S$ -maximal sets in prime-valued logic  $P_p$ :*

$$S_L = SL \text{ and } S_0 = ST_0.$$

A linear function  $a_0 + a_1x_1 + \dots + a_nx_n$  is selfdual if  $a_1 + \dots + a_n = 1$ . If this holds, the function  $a_1x_1 + \dots + a_nx_n$  belongs to the set  $S_LS_0$  (class 00) and the functions  $a_0 + a_1x_1 + \dots + a_nx_n$  for  $a_0 \neq 0$  belong to the set  $S_L\bar{S}_0$  (class 01).

The number of  $n$ -ary Sheffer functions in  $S$  is  $(p-1)p^{n-1}(p^{p^{n-1}-1} - 1)$ . Note that c-Sheffer is the same as Sheffer because no constant function belongs to  $S$ . There are exactly two aggregates for  $S$ ; one each for ranks 1 and 2.

Let  $P_{k,2}$  be the set of functions with domain  $E_k$  and range  $E_2$ . Let  $f$  and  $g$  be  $n$ -ary functions such that  $f \in P_{k,2}$

and  $g \in P_2$ . Then  $pr f = g$  if and only if  $f(\mathbf{a}) = g(\mathbf{a})$  for all  $\mathbf{a} \in E_2^n$ . For  $X \subseteq P_2$ , the inverse image of  $X$  is the subset

$$X' := pr^{-1}(X) = \{f \in P_{k,2} \mid pr f \in X\}.$$

**Theorem 5.3.** [5,31,36]  $P_{k,2}$  has  $5 + (k-2)(k+1)/2$  maximal sets:

$$T'_0, T'_1, S', L', M' \text{ and} \\ Z_{i,t} := P_{k,2}Pol \left( \begin{array}{c} 01i \\ 01t \end{array} \right), \quad 0 \leq t < i \leq k-1, \quad i \geq 2,$$

where  $T_0, T_1, S, L$  and  $M$  are the  $P_2$ -maximal sets.

For each function  $f \in P_{k,2}$  define a binary relation  $Q_f$  on the set  $E_k$ :

$$(i, t) \in Q_f \Leftrightarrow \left( \begin{array}{c} \mathbf{a} \\ \mathbf{b} \end{array} \right) \in \left( \begin{array}{c} 01i \\ 01t \end{array} \right) \Rightarrow f(\mathbf{a}) = f(\mathbf{b})$$

for  $0 \leq t < i \leq k-1, i \geq 2 (\mathbf{a}, \mathbf{b} \in E_k^n)$ . Then we have [54]:

$$f \in Z_{i,t} \Leftrightarrow (i, t) \in Q_f \text{ and} \\ f \text{ is a constant on the set } E_2^n \Leftrightarrow (0, 1) \in Q_f.$$

In fact, if  $\left( \begin{array}{c} \mathbf{a} \\ \mathbf{b} \end{array} \right) \in \left( \begin{array}{c} 01i \\ 01t \end{array} \right)$  then  $\mathbf{a} = (a_1, \dots, a_n)$  and  $\mathbf{b} = (b_1, \dots, b_n)$  satisfy  $b_j = a_j$  for  $a_j \in \{0, 1\}$  and  $b_j = t$  for  $a_j = i$  ( $1 \leq j \leq n$ ). From  $f \in Z_{i,t}$  follows  $\left( \begin{array}{c} f(\mathbf{a}) \\ f(\mathbf{b}) \end{array} \right) \in \left( \begin{array}{c} 01i \\ 01t \end{array} \right)$ , i.e.  $f(\mathbf{a})=f(\mathbf{b})=0, f(\mathbf{a})=f(\mathbf{b})=1$  or  $f(\mathbf{a})=i, f(\mathbf{b})=t$ . However, from  $\{i, t\} \not\subseteq E_2$  the last case is not possible. Therefore  $f(\mathbf{a})=f(\mathbf{b})$  and  $(i, t) \in Q_f$ .  $Q_f$  is an equivalence relation. This equivalence relation describes in a way the structure of  $P_{k,2}$ , because classes of functions of  $P_{k,2}$  directly correspond to equivalence relations. More precisely, for each function of  $P_{k,2}$  we associate an equivalence relation  $Q$  according to its characteristic vector. Then the relation is determined uniquely by the class of functions. Conversely, for each  $Q$  there corresponds exactly one characteristic vector of  $P_{k,2}$  up to the maximal sets  $\{Z_{i,t}\}$ . The remaining part ("P<sub>2</sub>"-part) of it is determined by the 15 classes of functions of  $P_2$  described in Section 1. Recall that among 15 classes of functions of  $P_2$  two classes contain constant functions only (the case  $(0, 1) \in Q$ ). The remaining 13 classes of functions correspond to the case  $(0, 1) \notin Q$ . Thus, we have 13 or 2 classes of functions of

$P_{k,2}$  for each  $Q$ , depending on  $(0, 1) \in Q$ . Hence the number of classes of functions of  $P_{k,2}$  is  $13A_k - 11A_{k-1}$ , where  $A_k$  denotes the number of equivalence relations on the set of  $k$  elements. Although the number of maximal sets of  $P_{k,2}$  is  $O(k^2)$  and the number of classes of functions is  $O(k!)$ , the maximal rank of a base of  $P_{k,2}$  is  $k+2$  [54]. Similar investigations for all the 6 families of maximal sets of  $P_{k,2}$  were done except  $M'$  (the monotone set). The maximal rank of a base for each of the above maximal sets of  $P_{k,2}$  is also  $O(k)$  [55]. The enumeration of Sheffer functions in  $P_{k,2}$  is an open problem as well as the classification of  $M'$ .

## 6. An overview and some open problems

The number of  $P_k$ -maximal sets was approximated in [83,84] and the exact formula for it was determined in [66]:

$k$	2	3	4	5	6	7
maximal sets	5	18	82	643	15,182	7,848,984

Classification of  $P_k$  is barely possible for  $k=4$ . We give some subsets of  $P_k$  whose maximal sets are known. Perhaps the most interesting  $P_k$ -maximal set is the set  $L$  of linear functions. Let  $k = p_1^{\alpha_1} \dots p_m^{\alpha_m}$ ,  $\alpha_1, \dots, \alpha_m \geq 1, p_1, \dots, p_m$ : prime numbers. All the maximal sets of  $L$  are described as follows [36]:

### 1) $2^m - 1$ maximal sets

$$T_d := L_d \cup \bigcup_{n \geq 1} \{f \in L \mid \exists b, a_0, \dots, a_n : \\ b \mid d \wedge b \neq 1 \wedge f(\mathbf{x}) = a_0 + b \sum_{i=1}^n a_i x_i\}, \\ \text{where } \mathbf{x} = (x_1, \dots, x_n) \text{ and} \\ L_d := \bigcup_{n \geq 1} \{f \in L \mid \exists a_0, \dots, a_n, j : \\ f(\mathbf{x}) = a_0 + a_j x_j + d \sum_{i=1, i \neq j}^n a_i x_i, d = p_1 \dots p_i, \\ \{p_1, \dots, p_i\} \subseteq \{p_1, \dots, p_m\}, 1 \leq i \leq m\}.$$

### 2) $m$ maximal sets of type

$$L_{*, p_i} := \bigcup_{n \geq 1} \{f \in L \mid \exists a_0, \dots, a_n \in E_k : \\ f(\mathbf{x}) = a_0 + \sum_{i=1}^n a_i x_i \wedge a_1 + \dots + a_n = 1 \pmod{p_i}\}, \\ 1 \leq i \leq m.$$

### 3) $p_1 + \dots + p_m$ maximal sets

$$L \cap Pol(j, p_i + j, 2p_i + j, \dots, k - p_i + j) \text{ for all } j \\ \text{satisfying } 0 \leq j \leq p_i - 1, 1 \leq i \leq m.$$

The special case of  $k = p^m$  or  $k = 2 \cdot p$  ( $m > 1, p > 2, p$ : prime) is also investigated in [36].

Another interesting maximal set is the set of special self-dual functions  $S$  (cf. Section 4) for  $k$  not a prime number

[35]. All  $2 \prod_{i=1}^m (\alpha_i + 1) - 3$  maximal sets of  $S$  are described as

$$\{S \cap Pol \gamma_r, S \cap Pol \rho_t \mid r \in T \setminus \{1\}, t \in T \setminus \{1, k\}\},$$

where  $T := \{x \mid k \equiv 0 \pmod{x}\}$ ,  $\gamma_r := \{x \in E_k \mid x \equiv 0 \pmod{r}\}$  and  $\rho_t := \{(x, y) \in E_k^2 \mid y - x \equiv 0 \pmod{t}\}$ . Some cases of selfdual functions are also described in [40].

Compositions of partial  $k$ -valued functions are investigated in [11,37,63]. Define  $P_{k,l} := \bigcup_{n \geq 1} \{f(x_1, \dots, x_n) \mid f : \{0, 1, \dots, k-1\}^n \rightarrow \{0, \dots, k, \dots, l-1\}\}$ ,  $l > k$ , with the operation of composition defined by:

$$f \circ g = \begin{cases} f * g & \text{if } W(g) \subseteq \{0, \dots, k-1\}, \\ f & \text{otherwise,} \end{cases}$$

where  $W(g)$  denotes the range of  $g$ .  $P_{k,l}$  is a generalization of the partial  $k$ -valued logic.  $P_{2,l}$  has exactly the following 8 maximal sets [89]:

$$\{f \in P_{2,l} \mid |W(f)| \leq l-1, Pol^*(0), Pol^*(1), \\ Pol^* \begin{pmatrix} 0 \\ 1 \end{pmatrix}, Pol^* \begin{pmatrix} 01 \\ 10 \end{pmatrix}, Pol^* \begin{pmatrix} 001 \\ 011 \end{pmatrix}, \\ Pol^* \begin{pmatrix} 000111 \\ 001101 \\ 010011 \\ 011001 \end{pmatrix}, Pol^* \begin{pmatrix} 00011011 \\ 00110101 \\ 01001101 \\ 01100011 \end{pmatrix}\},$$

where  $Pol^* \rho := \{f \in P_{2,l} \mid (a_1, \dots, a_n)^T \in \rho^n \Rightarrow (f(a_1), \dots, f(a_n))^T \in \rho \cup (\{0, \dots, l-1\}^h \setminus \{0, 1\}^h)^T\}$ .  $P_{2,l}$  is being classified. Besides, it is known that  $P_{3,l}$ ,  $l > 3$  has exactly 58 maximal sets ([89], a slightly different number of the maximal sets is reported in [63]).

Define  $P_3(2) := \bigcup_{n \geq 1} \{f(x_1, \dots, x_n) \in P_3 \mid |W(f)| \leq 2\}$ .  $P_3(2)$  has exactly the following 13 maximal sets [89]:  $\bigcup_{n \geq 1} \{f \mid \exists f_0, \dots, f_n \in P_3^1(2) \text{ (the set of unary functions) : } f(x_1, \dots, x_n) = f_0(f_1(x_1) + f_2(x_2) + \dots + f_n(x_n) \pmod{2})\}$  and the classes  $P_3(2) \cap \rho$  where  $\rho \in$

$$\left\{ \begin{pmatrix} 012001 \\ 012122 \end{pmatrix}, \begin{pmatrix} 012112 \\ 012200 \end{pmatrix}, \begin{pmatrix} 012220 \\ 012011 \end{pmatrix}, \begin{pmatrix} 01201 \\ 01210 \end{pmatrix}, \right. \\ \left. \begin{pmatrix} 01202 \\ 01220 \end{pmatrix}, \begin{pmatrix} 01212 \\ 01221 \end{pmatrix}, (0, 1), (0, 2), (1, 2), \begin{pmatrix} 0120102 \\ 0121020 \end{pmatrix}, \right. \\ \left. \begin{pmatrix} 0120121 \\ 0121012 \end{pmatrix}, \begin{pmatrix} 0120212 \\ 0122021 \end{pmatrix} \right\}.$$

Define  $P_{\{0,1\},\{a,3\}} := \bigcup_{n,m \geq 1} \{f^{n,m} \mid f^{n,m} : \{0, 1\}^n \times \{a, 3\}^m \rightarrow \{0, 1\}\}$ ,  $a \in \{0, 2\}$ , and with a similar generalization of

superposition.  $P_{\{0,1\},\{a,3\}}$  has exactly 10 maximal sets for  $a = 0$  and 21 maximal ones for  $a = 2$  ([85] also cf. [32]). The maximal sets for  $Pol(0)$  are also known [33].

Finding maximal sets for other subsets of  $P_k$  and under various modifications of composition are open problems. Among them we find part of automata theory [9,30], where some maximal sets are given. Uniform delay composition with unit-delay for  $P_3$  was solved in [58], and with positive-integer-delays for  $P_3$  in [14] (30 and 49 maximal sets). Composition with delay was also treated in the general case in [41,68].

The enumeration of Sheffer functions as well as c-Sheffer may be considered in many of the above cases (cf. [67]). For example, the number of  $n$ -ary 3-valued c-Sheffer functions is known only for  $n=2$  [57].

Maximal rank of a base is an open problem in many cases. The problem is mentioned early in [21,6] especially for  $P_k$ . It is known that some closed subsets of  $P_k$ ,  $k \geq 3$  have an infinite base or no base [24]. Also it is known that for  $k \geq 8$  some  $P_k$ -maximal sets have no finite basis [45].

The classification and basis enumeration can be used to calculate the number of  $n$ -ary bases [75,81,29,61,3,4]. In many cases, this has not yet been done. The corresponding classifications and basis enumerations for the symmetric functions are surveyed in [76].

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