



uOttawa

L'Université canadienne
Canada's university

ELG 5372 Error Control Coding

Lecture 11: Erasure Decoding,
Modifications to Linear Codes, and
Introduction to Cyclic Codes

Université d'Ottawa | University of Ottawa



uOttawa.ca

Erasure Decoding

- An erasure is a symbol where the probability of error is high.
 - For example, in BPSK, if the decision variable is close to 0, the certainty of the detection is low. Decoder may declare this symbol to be an erasure.
 - In packet transmissions, codewords may be interleaved over multiple packets. If one packet is not received, then the symbols contained in that packet are “erased”.
- When the decoder declares an erasure, then we essentially have a symbol error with a known location.

Erasure decoding (2)

- Consider the all 0 codeword in Hamming (7,4). Assume we receive the following:
 - 000X00X, where X is an erasure.
 - 0000000 and 0001000 0000001 or 0001001 are the possible received vectors.
 - Decoding the first yields 0000000
 - Decoding the second yields 0000000
 - Decoding the third yields 0000000
 - Decoding the fourth yields 0001101
- Comparing all to the non-erased bits of the received word, the fourth has 1 bit different, where the other three are the same. Therefore, the decoder outputs 0000000.

Erasure decoding 3

- We don't need to consider all combinations.
- Only when replacing all erasures with 0 and all erasures with 1 do we get distinct outputs from the decoder.
 - Binary erasure decoding algorithm
 1. Place 0's in all erased coordinates and decode as \mathbf{c}_0 .
 2. Place 1's in all erased coordinates and decode as \mathbf{c}_1
 3. Output codeword for which $\text{HD}(\mathbf{c}_i, \mathbf{r})$ is minimum.

Erasure capability of code

- Consider a linear block code with minimum distance d_{min} .
- A single erased symbol leaves a code with minimum distance at least $d_{min}-1$.
- Therefore f erased symbols can be filled provided $f < d_{min}$.
 - In previous example, assuming no errors in the non erased bits, only 1 codeword has all zeros in the non-erased bits.
- If there are errors as well as erasures: For a code experiencing f erasures, then the minimum distance for the code left by the non-erased symbols is at least $d_{min}-f$.
- The number of errors that can be corrected is:

$$t_f = \lfloor (d_{min} - f - 1) / 2 \rfloor$$

- Therefore $2e + f < d_{min}$.

Why does binary erasure algorithm work?

- Suppose we have f erasures and e errors, such that $2e+f < d_{min}$.
- Replacing all erasures by 0 introduces e_0 errors into the received codeword, therefore we have $e+e_0$ total errors. Also $e_0 \leq f$.
- Replacing all erasures by 1 introduces e_1 errors into the received codeword, therefore we have $e+e_1$ total errors. Also $e_1 \leq f$ and $e_0+e_1 = f$.
- In the worst case, $e_0 = e_1 = f/2$. therefore both words to be decoded contain $e+f/2$ errors.
- If $e_0 \neq e_1$, then there will be one of the words that has less than $e+f/2$ errors. $2(e+f/2) = 2e+f < d_{min}$. Therefore, there is always one that is below the error correcting capability of the code.

Non Binary Erasure Decoding

- For non binary codes, erasure decoding is more complicated and depends on the structure of the code
- Erasure decoding is popular for decoding of RS codes.
- Erasure decoding of RS codes will be discussed later in the course.

Modifications to Linear Codes

- Extending a code
 - An (n,k,d) code is extended by adding an additional redundant coordinate to produce an $(n+1,k,d+1)$ code.
 - For example we can use even parity to extend Hamming $(7,4)$ to an $(8,4)$ code with $d_{min} = 4$.

$$\mathbf{G} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \end{bmatrix} \quad \mathbf{H} = \begin{bmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Modifications to Linear Codes 2

- A code is punctured by deleting one of its parity bits
 - A (n,k) code becomes an $(n-1, k)$ code.
 - If the punctured symbol is in a non-zero coordinate of the minimum weight codeword, the minimum distance will also be reduced by 1.
 - Puncturing corresponds to removing a column from the generator matrix.

Modifications to Linear Codes 3

- Expurgating a code means to produce a new code by deleting some of its codewords
 - $(n,k) \rightarrow (n, k-1)$.
 - The results may or may not be a linear block code.
 - The minimum distance cannot decrease, but it may increase.
- Augmenting a code is achieved by adding codewords.
 - $(n,k) \rightarrow (n,k+1)$
 - New code may or may not be linear
 - Distance may decrease.
- A code is shortened by deleting a message symbol:
 - $(n,k) \rightarrow (n-1, k-1)$
- A code is lengthened by adding a message symbol
 - $(n,k) \rightarrow (n+1, k+1)$

Introduction to Cyclic Codes

- For linear block codes, the standard array (or the syndrome lookup) can be used for decoding.
- However, for long codes, the storage and computation time of this method can be prohibitive.
- There is no mechanism by which we can design a generator matrix (or parity check matrix) to achieve a given minimum distance.
- Cyclic codes are based on polynomial operations.

Basic Definitions

- Let $\mathbf{c} = (c_0, c_1, \dots, c_{n-1})$ be a codeword.
- Let $\mathbf{c}^R = (c_{n-1}, c_0, c_1, \dots, c_{n-2})$ be a right cyclic shift of \mathbf{c} .
- Let $\mathbf{c}^L = (c_1, c_2, \dots, c_{n-1}, c_0)$ be a left cyclic shift of \mathbf{c} .
- We can show that $\mathbf{c}^L = \mathbf{c}^{RR\dots R}$ ($n-1$ times).
- Definition of a cyclic code
 - Let C be a linear (n,k) block code. C is a cyclic code if for every codeword \mathbf{c} in C , then \mathbf{c}^R is also in C .

Example

codeword	HW(c)	codeword	HW(c)
0000000	0	1000110	3
0001101	3	1001011	4
0010111	4	1010001	3
0011010	3	1011100	4
0100011	3	1100101	4
0101110	4	1101000	3
0110100	3	1110010	4
0111001	4	1111111	7

It is easy to see that this code is a cyclic code

Polynomial representation

- $\mathbf{c} = (c_0, c_1, \dots, c_{n-1}) \rightarrow c(x) = c_0 + c_1x + \dots + c_{n-1}x^{n-1}$.
- A shift left (not cyclic) is thus $xc(x) = c_0x + c_1x^2 + \dots + c_{n-1}x^n$.
- Vectorially, this is represented as $(0, c_0, c_1, c_2, \dots, c_{n-1})$
- Let $p(x)$ be a polynomial and let $d(x)$ be a divisor. Then $p(x) = q(x)d(x) + r(x)$, where $q(x)$ is the quotient and $r(x)$ is the remainder.
- $\mathbf{c}^R = (c_{n-1}, c_0, \dots, c_{n-2}) \rightarrow c^R(x) = c_{n-1} + c_0x + \dots + c_{n-2}x^{n-1}$.
- Therefore $xc(x) = c^R(x) + c_{n-1}x^n - c_{n-1}$.
- Or $xc(x) = c_{n-1}(x^n - 1) + c^R(x)$.
- $c^R(x)$ is the remainder when we divide $xc(x)$ by $x^n - 1$.
- $c^R(x) = xc(x) \bmod (x^n - 1)$.

Example

- $(0001101) = x^3 + x^4 + x^6$.
- $xc(x) = x^4 + x^5 + x^7$.
- $(x^7 + x^5 + x^4) \div (x^7 + 1) = 1$ remainder $1 + x^4 + x^5 = (1000110)$.

Rings

- A ring R is a set with two binary operations defined on it ($+$ and \cdot) such that
 1. R is a commutative group over $+$. The additive identity is denoted by 0 .
 2. The \cdot operation (multiplication) is associative $(a \cdot b) \cdot c = a \cdot (b \cdot c)$.
 3. The left and right distributive laws apply:
 - $a \cdot (b + c) = a \cdot b + a \cdot c$
 - $(a + b) \cdot c = a \cdot c + b \cdot c$
 4. The ring is said to be a commutative ring if $a \cdot b = b \cdot a$ for every a and b in R .
 - The ring is a ring with identity if there exists a multiplicative identity denoted as 1 .
 - Multiplication need not form a group and there may not be a multiplicative inverse

Rings (2)

- Some elements in a ring with identity may have a multiplicative inverse.
- For an a in R , if there exist another element such that $a \cdot a^{-1} = 1$, then a is referred to as a unit of R .
- Example: Z_4

+	0	1	2	3
0	0	1	2	3
1	1	2	3	0
2	2	3	0	1
3	3	0	1	2

•	0	1	2	3
0	0	0	0	0
1	0	1	2	3
2	0	2	0	2
3	0	3	2	1

- Although Z_4 does not form a group over multiplication, it does satisfy the requirement to be a ring over $+$ and \cdot

Rings (3)

- We can also show that $GF(q = p^m)$ form rings over $+$ and \cdot .

+	0	1
0	0	1
1	1	0

\times	0	1
0	0	0
1	0	1

For example, it is easy to show that $GF(2)$ satisfies the requirements of being ring.

Rings of Polynomials

- Let R be a ring and let $f(x)$ be a polynomial of degree n with coefficients in R . ($a_n \neq 0$).

$$f(x) = \sum_{i=0}^n a_i x^i$$

- The symbol x is called an indeterminate.
- The set of all polynomials with indeterminate x and coefficients in R form a ring called a polynomial ring (arithmetic is defined as in R).
 - We denote this as $R[x]$.

Examples

- $Z_4[x]$ contains all polynomials with coefficients from Z_4 .
 - $(2+3x) + (1+2x+x^3) = 3+x+x^3$.
 - $(2+3x)(1+2x+x^3) = 2+2x^3+3x+2x^3+3x^4 = 2+3x+3x^4$.
- $GF(2)[x]$ is a ring of polynomials whose coefficients are either 0 or 1 with operations in modulo-2 arithmetic.
 - $(1+x)(1+x) = 1+x^2$.
 - $(1+x+x^3)(1+x^2+x^3)(1+x) = 1+x^7$.
 - $(1+x+x^2)+(x+x^3) = 1+x^2+x^3$.

Quotient Rings

- Consider the ring of polynomials $\text{GF}(2)[x]$.
- Let S_0 be the set of all polynomials that are divisible by x^{n+1} .
 - $S_0 = \{0, x^{n+1}, x^{n+1}+x, x^{n+1}+x^n+x+1, \dots\}$
 - For simplicity, let $n=3$.
 - Therefore $S_0 = \{0, x^3+1, x^4+x, x^4+x^3+x+1, \dots\}$
- Let S_1 be the set of polynomials for which $f(x) \bmod(x^3+1) = 1$
 - $S_1 = \{1, x^3, x^4+x+1, x^4+x^3+x, \dots\} = 1+S_0$.
- Let S_2 be the set of polynomials for which $f(x) \bmod(x^3+1) = x$.
 - $S_2 = \{x, x^n+x+1, x^4, x^4+x^3, \dots\} = x+S_0$.

Quotient Rings (2)

- $S_3 = \text{all polynomials mod}(x^3+1) = x+1 = x+1+S_0.$
- $S_4 = \text{all polynomials mod}(x^3+1) = x^2 = x^2+S_0.$
- $S_5 = \text{all polynomials mod}(x^3+1) = x^2+1 = x^2+1+S_0.$
- $S_6 = \text{all polynomials mod}(x^3+1) = x^2+x = x^2+x+S_0.$
- $S_7 = \text{all polynomials mod}(x^3+1) = x^2+x+1 = x^2+x+1+S_0.$
- We can see that S_0 - S_7 form the cosets $\text{GF}(2)[x]$ under addition.
- Had we taken $n = 4$, we would have found 16 cosets, $n = 5$, 32 cosets etc.

Quotient Rings (3)

+	s_0	s_1	s_2	s_3	s_4	s_5	s_6	s_7
s_0	s_0	s_1	s_2	s_3	s_4	s_5	s_6	s_7
s_1	s_1	s_0	s_3	s_2	s_5	s_4	s_7	s_6
s_2	s_2	s_3	s_0	s_1	s_6	s_7	s_4	s_5
s_3	s_3	s_2	s_1	s_0	s_7	s_6	s_5	s_4
s_4	s_4	s_5	s_6	s_7	s_0	s_1	s_2	s_3
s_5	s_5	s_4	s_7	s_6	s_1	s_0	s_3	s_2
s_6	s_6	s_7	s_4	s_5	s_2	s_3	s_0	s_1
s_7	s_7	s_6	s_5	s_4	s_3	s_2	s_1	s_0

Quotient Rings (4)

\bullet	S_0	S_1	S_2	S_3	S_4	S_5	S_6	S_7
S_0	S_0	S_0	S_0	S_0	S_0	S_0	S_0	S_0
S_1	S_0	S_1	S_2	S_3	S_4	S_5	S_6	S_7
S_2	S_0	S_2	S_4	S_6	S_1	S_3	S_5	S_7
S_3	S_0	S_3	S_6	S_5	S_5	S_6	S_3	S_0
S_4	S_0	S_4	S_1	S_5	S_2	S_6	S_3	S_7
S_5	S_0	S_5	S_3	S_6	S_6	S_3	S_5	S_0
S_6	S_0	S_6	S_5	S_3	S_3	S_5	S_6	S_0
S_7	S_0	S_7	S_7	S_0	S_7	S_0	S_0	S_7

Quotient Rings (5)

- Let $R = \{S_0, S_1, \dots, S_7\}$.
 - R forms a commutative group under $+$ with S_0 as identity.
 - $R - S_0$ does not form a group under \cdot .
 - Therefore R is not a field.
 - However, R does form a ring, with S_1 as multiplicative identity.