

ELG3175 Introduction to  
Communication Systems

# Introduction to Error Control Coding



# Types of Error Control Codes



- **Block Codes**
  - **Linear**
    - Hamming, LDPC
  - Non-Linear
  - Cyclic
    - BCH, RS
- Convolutional Codes
- Turbo Codes





# Parity Bits

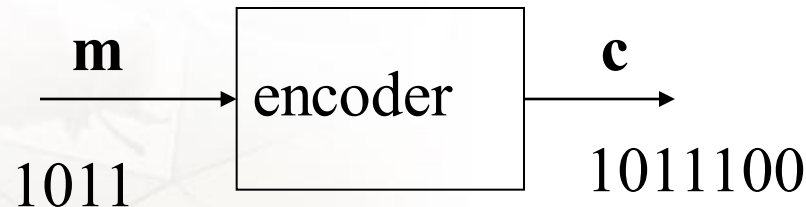
- Suppose we wish to transmit  $\mathbf{m}=[1001001]$ .
- Let us assume that the second bit is received in error,  $\mathbf{r} = [1101001]$ .
- The receiver has no way of knowing that the second bit has been incorrectly detected, therefore we must accept the consequences of the detection error.
- Suppose, before transmission, we add an even parity bit to the message to be transmitted,  $\mathbf{m}_c = [10010011]$ .
- Now, let us assume that the second bit is in error,  $\mathbf{r} = [11010011]$ . There are now 5 1's, which is not permitted. Therefore the error is detected and the receiver can request a retransmission.
- The detection of the error was made possible by the addition of the parity bit.





# Block Codes

- The data is grouped into segments of  $k$  bits.
- Each block of  $k$  bits is encoded to produce a block of  $n$  bits, where  $n > k$ . The encoder adds redundancy to the data to be transmitted.
- The code rate is  $r = k/n$ .





# Binary addition and multiplication

- $0+0 = 0$ ,  $0+1 = 1$ ,  $1+0 = 1$  and  $1+1=0$  (there is no carry).
- $0x = 0$  where  $x = 0$  or  $1$ .  $1x = x$  where  $x = 0$  or  $1$ .
- Examples  $1010 + 1100 = 0110$ .  $0(10010) = (00000)$ .



# Linear Block Codes

- Let  $C$  be a code made up of the vectors  $\{\mathbf{c}_1, \mathbf{c}_2, \dots, \mathbf{c}_K\}$ .
- $C$  is a linear code if for any  $\mathbf{c}_i$  and  $\mathbf{c}_j$  in  $C$ ,  $\mathbf{c}_i + \mathbf{c}_j$  is also in  $C$ .
- Example  $C = \{\mathbf{c}_1 = 0000, \mathbf{c}_2 = 0110, \mathbf{c}_3 = 1001, \mathbf{c}_4 = 1111\}$ .
- $\mathbf{c}_1 + \mathbf{c}_x = \mathbf{c}_x$  for  $x = 1, 2, 3$  ou 4.
- $\mathbf{c}_x + \mathbf{c}_x = \mathbf{c}_1$ .
- $\mathbf{c}_2 + \mathbf{c}_3 = \mathbf{c}_4, \mathbf{c}_3 + \mathbf{c}_4 = \mathbf{c}_2, \mathbf{c}_2 + \mathbf{c}_4 = \mathbf{c}_3$ .
- $C$  is a linear code.
- $C_2 = \{\mathbf{c}_1 = 0001, \mathbf{c}_2 = 0111, \mathbf{c}_3 = 1000, \mathbf{c}_4 = 1110\}$ .
- $\mathbf{c}_x + \mathbf{c}_x = 0000$  which is not in  $C_2$ .
- $C_2$  is not linear.



# Hamming Weight

- For codeword  $\mathbf{c}_x$  of code  $C$ , its *Hamming Weight* is the number of symbols in  $\mathbf{c}_x$  that are not 0.
- $C = \{0000 \ 0110 \ 1001 \ 1111\}$
- $H.W\{0000\} = 0$
- $H.W\{0110\} = 2$
- $H.W\{1001\} = 2$
- $H.W\{1111\} = 4$





# Hamming Distance

- The *Hamming Distance* between codewords  $\mathbf{c}_i$  and  $\mathbf{c}_j$  of  $C$  is the number of positions in which they differ.
- |      | 0000 | 0110 | 1001 | 1111 |
|------|------|------|------|------|
| 0000 | 0    | 2    | 2    | 4    |
| 0110 | 2    | 0    | 4    | 2    |
| 1001 | 2    | 4    | 0    | 2    |
| 1111 | 4    | 2    | 2    | 0    |
- $\mathbf{c}_i + \mathbf{c}_j = 0$  in the positions in which they are the same and  $\mathbf{c}_i + \mathbf{c}_j = 1$  in the positions in which they differ.  
Therefore  $\text{HD}\{\mathbf{c}_i, \mathbf{c}_j\} = \text{HW}\{\mathbf{c}_i + \mathbf{c}_j\}$ .





# Minimum Distance

- A code's minimum distance is the minimum Hamming distance between two different codewords in the code.
- In our example,  $d_{min} = 2$ .
- We saw previously  $HD\{\mathbf{c}_i, \mathbf{c}_j\} = HW\{\mathbf{c}_i + \mathbf{c}_j\} = HW\{\mathbf{c}_x\}$  where, in the case of linear block codes,  $\mathbf{c}_x$  is another codeword in  $C$  excluding the all-zero codeword.
  - Therefore for linear block codes,  $d_{min} =$  minimum Hamming weight of all codewords in  $C$  excluding the all-zero codeword.
- In our example, if we exclude codeword 0000, the remaining codewords are 0110, 1001 and 1111. The minimum Hamming weight is 2. Therefore  $d_{min} = 2$ .



# Basis of a linear block code

- C is a linear block code.
- Let us choose  $k$  linearly independent codewords,  $\mathbf{c}_1, \mathbf{c}_2, \dots, \mathbf{c}_k$ . None of these  $k$  codewords can be expressed as a linear combination of the others.
- All codewords in C can then be expressed as a linear combination of these  $k$  codewords.
  - The  $k$  codewords selected form the basis of code C.
- $\mathbf{c}_x = a_1\mathbf{c}_1 + a_2\mathbf{c}_2 + a_3\mathbf{c}_3 + \dots + a_k\mathbf{c}_k$  where  $a_i = 0$  ou 1 (binary block codes).
- In our example, we can select 0110 and 1111, or 0110 and 1001 or 1001 and 1111.
- Example, let us select  $\mathbf{c}_1 = 0110$  and  $\mathbf{c}_2 = 1111$  as the basis of the code.
  - $0000 = 0\mathbf{c}_1 + 0\mathbf{c}_2$ ,  $0110 = 1\mathbf{c}_1 + 0\mathbf{c}_2$ ,  $1001 = 1\mathbf{c}_1 + 1\mathbf{c}_2$  et  $1111 = 0\mathbf{c}_1 + 1\mathbf{c}_2$ .





# Generator Matrix

$$\mathbf{G} = \begin{bmatrix} \mathbf{c}_1 \\ \mathbf{c}_2 \\ \vdots \\ \mathbf{c}_k \end{bmatrix}$$

$$\mathbf{c}_x = \mathbf{m}_x \mathbf{G}$$

Example

$$\mathbf{G} = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

$$[0 \ 0] \mathbf{G} = [0 \ 0 \ 0 \ 0]$$

$$[0 \ 1] \mathbf{G} = [1 \ 1 \ 1 \ 1]$$

$$[1 \ 0] \mathbf{G} = [0 \ 1 \ 1 \ 0]$$

$$[1 \ 1] \mathbf{G} = [1 \ 0 \ 0 \ 1]$$

The dimensions of  $\mathbf{G}$  are  $k \times n$ .



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# Equivalent codes

- The codes generated by  $\mathbf{G}_1$  and  $\mathbf{G}_2$  are equivalent if they generate the same codewords but with a different mapping to message words.
- Example

$$\mathbf{G}_1 = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix} \quad \mathbf{G}_2 = \begin{bmatrix} 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

|          |    |      |      |
|----------|----|------|------|
| <b>m</b> | 00 | 0000 | 0000 |
|          | 01 | 1111 | 1111 |
|          | 10 | 0110 | 1001 |
|          | 11 | 1001 | 0110 |





# Systematic codes

- A code is systematic if the message bits can be found at the beginning of the codeword.
- $\mathbf{c} = [\mathbf{m}|\mathbf{p}]$ .
- $\mathbf{G}_{syst} = [\mathbf{I}_k|\mathbf{P}]$ .
- Any generator matrix can be transformed into  $\mathbf{G}_{syst}$  using linear transformation.

|              |    |      |
|--------------|----|------|
| $\mathbf{m}$ | 00 | 0000 |
|              | 01 | 0110 |
|              | 10 | 1001 |
|              | 11 | 1111 |

$$\mathbf{G}_{syst} = \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$



# Parity Check Matrix

- A parity check matrix  $\mathbf{H}$  is a matrix with property  $\mathbf{c}\mathbf{H}^T = \mathbf{0}$ .
- $\mathbf{c}\mathbf{H}^T = 0$  can be written as  $\mathbf{m}\mathbf{G}\mathbf{H}^T = \mathbf{0}$
- Therefore  $\mathbf{G}\mathbf{H}^T = \mathbf{0}$ .
- We can find  $\mathbf{H}$  from  $\mathbf{G}_{\text{syst}}$ .
- $\mathbf{H} = [\mathbf{P}^T | \mathbf{I}_{n-k}]$ .

$$\mathbf{H} = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{bmatrix}$$

- $\mathbf{H}$  has dimensions  $(n-k) \times n$ .



## Example Hamming (7,4) code

$$\mathbf{G} = \begin{bmatrix} 1 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

Find all of the codewords, find  $d_{\min}$ , find  $\mathbf{H}$ .





# Decoding

- The received word,  $\mathbf{r} = \mathbf{c} + \mathbf{e}$ , where  $\mathbf{e}$  = error pattern.
- For example if  $\mathbf{c} = (1\ 1\ 0\ 0\ 1\ 1\ 0\ 1)$  and  $\mathbf{r} = (1\ 0\ 0\ 0\ 1\ 1\ 0\ 1)$ , then  $\mathbf{e} = (0\ 1\ 0\ 0\ 0\ 0\ 0\ 0)$ .
- Assuming that errors occur independently with probability  $p < 0.5$ 
  - Therefore, code bits are correctly detected with probability  $(1-p)$
- Lower weight error patterns are more probable than higher weight ones.





## Example

- $C = \{(00000) (01011) (10110) (11101)\}$
- $\mathbf{r} = (11111)$
- If  $\mathbf{c} = (00000)$ , then  $\mathbf{e} = (11111)$  which occurs with probability  $p^5$ .
- If  $\mathbf{c} = (01011)$ , then  $\mathbf{e} = (10100)$  which occurs with probability  $p^2(1-p)^3$ .
- If  $\mathbf{c} = (10110)$ , then  $\mathbf{e} = (01001)$  which occurs with probability  $p^2(1-p)^3$ .
- If  $\mathbf{c} = (11101)$ , then  $\mathbf{e} = (00010)$  which occurs with probability  $p(1-p)^4 > p^2(1-p)^3 > p^5$ .
- Therefore receiver selects  $\mathbf{c} = (11101)$  as most likely transmitted codeword and outputs message that corresponds to this codeword.





# Standard Array Decoding

- Lookup table that maps received words to most likely transmitted codewords.
- Each received word points to a memory address which holds the value of the most likely transmitted word.

| 00000 | 01011 | 10110 | 11101 |
|-------|-------|-------|-------|
| 00001 | 01010 | 10111 | 11100 |
| 00010 | 01001 | 10100 | 11111 |
| 00100 | 01111 | 10010 | 11001 |
| 01000 | 00011 | 11110 | 10101 |
| 10000 | 11011 | 00110 | 01101 |
| 10001 | 11010 | 00111 | 01100 |
| 11000 | 10011 | 01110 | 00101 |



# How to Build Standard Array

- Write out all possible received words.
- Remove all codewords and place at top of columns with all-zero codeword at left side (left most column corresponds to error pattern)
- Take lowest weight vector from remaining words and place in left column. Add this vector to all codewords and place result below that codeword.
  - Remove all of these results from list of all possible received words.
- Repeat until list of possible received words is exhausted



# Syndrome decoding

- $\mathbf{S} = \mathbf{rH}^T$ .
- $\mathbf{r} = \mathbf{c} + \mathbf{e}$ , therefore  $\mathbf{S} = (\mathbf{c} + \mathbf{e})\mathbf{H}^T = \mathbf{cH}^T + \mathbf{eH}^T = \mathbf{eH}^T$ .
- All vectors in the same row of the standard array produce the same syndrome.
- Syndrome points to a memory address which contains the most likely error pattern, then decoder computes  $\mathbf{c} = \mathbf{r} + \mathbf{e}$ .



# Example

- For our code:

$$\mathbf{G} = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 \end{bmatrix}$$

$$\mathbf{H} = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$





## Example continued

- Suppose  $\mathbf{r} = (01001)$ , then

$$(0 \ 1 \ 0 \ 0 \ 1) \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}$$

- This indicates that the 4<sup>th</sup> bit is in error :  $\mathbf{e} = (00010)$  and  $\mathbf{c} = (01011)$ .

# Error correcting and Error Detecting Capabilities of a code



- $t$  = number of error that decoder can always correct.
- $J$  = number of errors that decoder can always detect.
- $t = (d_{\min}-1)/2$  ( $d_{\min}$  is odd) or  $(d_{\min}-2)/2$  ( $d_{\min}$  is even).
- $J = d_{\min} - 1$
- We can have codes that both correct and detect errors, then  $t+j = d_{\min} - 1$  where  $j > t$ .





# Performance: Decoder Failure

- Probability of decoder failure = probability that decoder selects the incorrect codeword = probability that error pattern is not one of the error patterns that it can correct
  - In our example, the decoder can correct all 5 error patterns of weight 1 and 2 error patterns of weight two. The probability that the error pattern IS one of these is  $(1-p)^5 + 5p(1-p)^4 + 2p^2(1-p)^3$ . Therefore  $P(E) = 1 - (1-p)^5 - 5p(1-p)^4 - 2p^2(1-p)^3$
  - In many cases, the code has too many codewords to construct a standard array.
  - But we usually know  $d_{\min}$ , therefore we know  $t$ .



# Performance: Decoder Failure



$$P(E) = 1 - \sum_{i=0}^t \binom{n}{i} p^i (1-p)^{n-i}$$



# Performance: Bit Error Rate



- $(1/k)P(E) < P_b < P(E)$



# Performance: Probability Undetected Error



- $P(U)$  = probability that an error is undetected = probability that syndrome = 0 even if error pattern is not 0 = probability that error pattern is same as a codeword.
- In our example  $P(U) = 2p^3(1-p)^2 + p^4(1-p)$ .
- If we don't know the codewords because code is too large, then  $P(U) < \text{probability error pattern has weight greater than } j = 1 - \text{probability that error pattern has weight } j \text{ or less}$

$$P(U) < 1 - \sum_{i=0}^j \binom{n}{i} p^i (1-p)^{n-i}$$